



**CABINET FOR HEALTH AND FAMILY SERVICES
OFFICE OF INSPECTOR GENERAL**

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Inspection Report

Provider Name: Bluegrass Early Care and Education	Provider Information	CLR No: L358655
Provider Address: 201 Champion Way, Suite 2, Georgetown, KY, 40324	Provider Type: LICENSED TYPE I	Capacity: 91
Owner(s): Bluegrass Early Care And Education Llc		Director(s): Shrout, Karmyn Moody

Inspection Type: Renewal Application	Inspection Information	Inspection No: 291758
Date Initiated: 12/04/2019 8:35 AM	Date Concluded: 12/04/2019 11:40 AM	
	No. of Children Present: 50	

Inspection Report	
Background Checks	Not In Compliance
20 - Out of state background checks	Not In Compliance
<p>922 KAR 2:280. Section 5. Checks of Other States.</p> <p>(1) In accordance with 45 C.F.R. 98.43(b)(3), a prospective child care staff member who resides in or has resided in another state within the last five (5) years shall:</p> <p>(a) Request from each state of current or prior residency, in accordance with the state's laws, policies, and procedures, with a courtesy notice to the cabinet:</p> <ol style="list-style-type: none"> 1. An in-state criminal records check by: <ol style="list-style-type: none"> a. Means of fingerprints for the state of residence; or b. Any means accepted by a state of prior residency; 2. A check of the state's sex offender registry or repository; and 3. A check of the state-based child abuse and neglect registry and database; and <p>(b) Direct results of the checks required in paragraph (a) of this subsection to the Department for Community Based Services, Division of Child Care, 275 East Main Street, 3C-F, Frankfort, Kentucky 40601.</p> <p>Findings:</p> <p>General: Based on observation and review of documentation, this regulatory requirement was not met. The following was found:</p> <ol style="list-style-type: none"> 1. A staff member that was hired on 6/14/19, did not have written evidence of completing an out-of-state criminal background check. The staff member lived in Illinois within the last five years. The staff member was not working alone during the renewal inspection. 2. A staff member that was hired on 10/15/19, did not have written evidence of completing an out-of-state criminal background check. The staff member lived in Florida within the last five years. The staff member was not working alone during the renewal inspection. 	
Supervision	In Compliance
Staffing Requirements	In Compliance
General Administration	In Compliance

Inspection Report

Director Requirements

Not In Compliance

345 - Staff Evaluation

Not In Compliance

922 KAR 2:090. Section 10. Director Requirements and Responsibilities.

(1) A director shall:

- (j) Assess each staff person's interaction with children in care and classroom performance through an annual written performance evaluation;**

Findings:

General: Based on observation and review of documentation, this regulatory requirement was not met. A staff hired on 9/19/18, did not have a current evaluation on file.

Employee Records

Not In Compliance

385 - Personnel File

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(e) A current personnel file for each child-care center staff person to include:

- 1. Name, address, date of birth, and date of employment;**
- 2. Proof of educational qualifications;**
- 3. Record of annual performance evaluation;**
- 4. Documentation of compliance with tuberculosis screening in accordance with Section 11(1)(b) of this administrative regulation; and**
- 5. The results of background checks conducted in accordance with 922 KAR 2:280;**

Findings:

General: Based on observation and review of documentation, this regulatory requirement was not met. Four staff files did not have written documented dates of hire. The staff in charge verbally gave the surveyor the hire dates of these staff members.

390 - Educational Requirements

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

(a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:

- 1. High school diploma;**
- 2. GED or qualifying documentation from a comparable educational entity; or**
- 3. Commonwealth Child Care Credential as described in 922 KAR 2:250;**

Findings:

General: Based on observation and review of documentation, this regulatory requirement was not met. The following was found:

- 1. A staff hired on 10/24/19, did not have proof of education on file.
- 2. Two staff members hired on 10/15/19, did not have proof of education on file.
- 3. A staff hired on 11/18/19, did not have proof of education on file.
- 4. A staff hired on 7/31/19, did not have proof of education on file.
- 5. A staff hired on 1/25/19, did not have proof of education on file.
- 6. A staff hired on 7/16/19, did not have proof of education on file.
- 7. A staff hired on 12/03/19, did not have proof of education on file.

395 - TB Verification

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

(b) Shall provide, prior to employment and every two (2) years thereafter:

- 1. A statement from a health professional that the individual is free of active tuberculosis; or**
- 2. A copy of negative tuberculin results.**

Findings:

General: Based on observation and review of documentation, this regulatory requirement was not met. The following was found:

- 1. A staff hired on 12/03/19, did not have written documentation that they are free of active TB or a copy of the results of a negative tuberculin test on file.
- 2. A staff hired on 11/01/17, did not have a current copy of a TB skin test on file. The TB skin test on file was dated 11/02/17.
- 3. A staff hired on 6/14/19, did not have a current copy of a TB skin test on file. The TB skin test on file was dated 11/20/17.

410 - Training

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.
(16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:
(a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;
(b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and
(c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.

Findings:

General: Based on observation and review of documentation, this regulatory requirement was not met. The following was found:

1. A staff hired on 11/01/17, did not complete fifteen hours of cabinet-approved early care and education training within their completed training year. This staff member completed three hours of training. In addition, this staff did not have current pediatric abusive head trauma training on file. The pediatric abusive head trauma training on file was dated 9/23/13. The ECE-TRIS system was reviewed.
2. A staff hired on 9/19/18, did not complete 15 hours of cabinet-approved early care and education training within their completed training year. This staff member completed 12 hours of training. The ECE-TRIS system was reviewed.
3. A staff member hired on 3/27/17, did not have a current pediatric abusive head trauma training on file. The pediatric abusive head trauma training on file was dated 3/27/17. The ECE-TRIS system was reviewed.
4. A staff hired on 4/15/19, did not complete orientation training within three months of employment. The ECE-TRIS system was reviewed.
5. A staff hired on 7/31/19, did not completed orientation training within three months of employment. The ECE-TRIS system was reviewed.
6. A staff hired on 7/16/19, did not complete orientation training within three months of employment. The ECE-TRIS system was reviewed.

Programming

In Compliance

Premises

Not In Compliance

540 - Premises Requirements

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.
(1) The premises shall be:
(a) Suitable for the purpose intended;
(b) Kept clean and in good repair;

Findings:

General: Based on observation, this regulatory requirement was not met. The following was found:

1. Bathroom #2, located in the hallway, contained a cracked light switch plate.
2. The bathroom located inside the Terrific Tot's Room contained a large accumulation of extra toys and equipment. The area contained a cot, a bookshelf, chairs, children's books, and toys. The toilet and sink in the bathroom could not be accessed.

Hygienic Practices

Not In Compliance

685 - Diaper Changing Area/Surface

Not In Compliance

922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.
(10) When a child is diapered, the child shall:
(b) Be placed on a surface that is:
1. Clean;
2. Padded;
3. Free of holes, rips, tears, or other damage;
4. Nonabsorbent;
5. Easily cleaned; and
6. Free of any items not used for diaper changing.

Findings:

General: Based on observation, this regulatory requirement was not met. The hall bathroom #1 contained a diaper changing pull down station that was not padded.

First Aid/Medication

In Compliance

Outdoor Play Area

In Compliance

Equipment

Not In Compliance

815 - Toys/Furniture

Not In Compliance

922 KAR 2:120. Section 11. Toys and Furnishings.
(1) All toys and furniture contacted by a child shall be:
(a) Kept clean and in good repair; and
(b) Free of peeling, flaking, or chalking paint.

Findings:

General: Based on observation, this regulatory requirement was not met. A toy shelf in the Kinder Kids Room contained areas of chipped paint on the surface.

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820 - Indoor/Outdoor Equipment

Not In Compliance

922 KAR 2:120. Section 11. Toys and Furnishings.
(2) Indoor and outdoor equipment shall:
(a) Be clean, safe, and in good repair;
(b) Meet the physical, developmental needs, and interests of children of different age groups;
(c) Be free from sharp points or corners, splinters, protruding nails or bolts, loose or rusty parts, hazardous small parts, lead-based paint, poisonous material, and flaking or chalking paint; and
(d) Be designed to guard against entrapment or situations that may cause strangulation.

Findings:

General: Based on observation, this regulatory requirement was not met. The refrigerator in the Terrific Tot's Room contained a yellow sticky substance in the bottom of the unit.

Transportation

Not Applicable

Food Service/Food Program

In Compliance

Food Service

Not In Compliance

1025 - Refrigerator

Not In Compliance

922 KAR 2:120. Section 8. Kitchen Requirements.
(4) A cold-storage facility used for storage of perishable food in a nonfrozen state shall:
(a) Have an indicating thermometer or other appropriate temperature measuring device;
(b) Be in a safe environment for preservation; and
(c) Be forty (40) degrees Fahrenheit or below.

Findings:

General: Based on observation, this regulatory requirement was not met. The refrigerator in the Terrific Tot's Room did not contain a thermometer. This is where the bottles were stored.

1050 - Bottles

Not In Compliance

922 KAR 2:120. Section 8. Kitchen Requirements.
(9) Bottles shall be:
(a) Individually labeled;
(b) Promptly refrigerated;
(c) Covered while not in use; and
(d) Consumed within one (1) hour of being heated or removed from the refrigerator.

Findings:

General: Based on observation, this regulatory requirement was not met. The refrigerator in the Terrific Tot's Room contained an uncovered bottle. The refrigerator in the Bouncing Babies Room contained an uncovered and an unlabeled bottle.

Children's Records

Not In Compliance

1140 - Enrollment Information

Not In Compliance

922 KAR 2:090. Section 9. Records.
(1) A child-care center shall maintain:
(b) A written record for each child:
1. Completed and signed by the child's parent;
2. Retained on file on the first day the child attends the child-care center; and
3. To contain:
a. Identifying information about the child, which includes, at a minimum, the child's name, address, and date of birth;
b. Contact information to enable a person in charge to contact the child's:
(i) Parent at the parent's home or place of employment;
(ii) Family physician; and
(iii) Preferred hospital;
c. The name of each person who is designated in writing to pick-up the child;
d. The child's general health status and medical history including, if applicable:
(i) Allergies;
(ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and
(iii) Permission from the parent for third-party professional services in the child-care center;
e. The name and phone number of each person to be contacted in an emergency involving or impacting the child;
f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;

Findings:

General: Based on observation and review of documentation, this regulatory requirement was not met. The following was found:

1. A child's enrollment paperwork was missing a physician's name and phone number. The child was enrolled on 10/23/19.
2. A child's enrollment paperwork was missing a physician's phone number. The child was enrolled on 8/05/19.

Inspection Report

Written Documentation

Not In Compliance

1150 - Evacuation Plan

Not In Compliance

922 KAR 2:090. Section 5. Evacuation Plan.

(1) A licensed child-care center shall have a written evacuation plan in the event of a fire, natural disaster, or other threatening situation that may pose a health or safety hazard for a child in care in accordance with KRS 199.895 and 42 U.S.C. 9858c(c)(2)(U).

Findings:

General: Based on observation and review of documentation, this regulatory requirement was not met. The facility did not have written evidence that the emergency preparedness plan was submitted annually. The last documented submission on file was dated 10/01/17.

Posted Documentation

In Compliance

Animals

Not Applicable

Signature of
Provider/Representative

Title

Date