



Andy Beshear
GOVERNOR

CABINET FOR HEALTH AND FAMILY SERVICES
OFFICE OF INSPECTOR GENERAL

Eric Friedlander
SECRETARY

Melissa A. Moore, Director
Division of Regulated Child Care
Eastern Branch
455 Park Place, Suite 120A
Lexington, KY 40511

Adam Mather
INSPECTOR GENERAL

Phone: (859) 246-2301 Fax: (859) 246-2307
<https://chfs.ky.gov/agencies/os/oig>

Inspection Report

Provider Name: Mt. Sterling After School Child Care	Provider Information	CLR No: L354289
Provider Address: 6601 Indian Mound Drive, Mt. Sterling, KY, 40353	Provider Type: LICENSED TYPE I	Capacity: 231
Owner(s): Montgomery County Public Schools		Director(s): Whitaker, Lesia Sizemore

Inspection Type: Renewal Application	Inspection Information	Inspection No: 247852
Date Initiated: 01/15/2019 10:40 AM	Date Concluded: 01/15/2019 1:20 PM	
	No. of Children Present: 9	

Inspection Report	
Background Checks	In Compliance
Supervision	In Compliance
Staffing Requirements	In Compliance
General Administration	In Compliance
Director Requirements	Not In Compliance

330 - Regulatory Compliance/Staff in Charge **Not In Compliance**

922 KAR 2:090. Section 10. Director Requirements and Responsibilities.
(1) A director shall:
(d) Ensure:
1. Compliance with 922 KAR 2:120, 922 KAR 2:280, and this administrative regulation; and
2. The designation of one (1) adult staff person in charge to carry out the director's duties if the director is not present in the child-care center during operating hours. The director shall be responsible for the actions of the designee during the director's absence;

Findings:

General: Based on interview, upon arrival at the facility it was found that the site supervisor was not present and the other staff person that is normally in charge in the mornings until the site supervisor arrives was out sick. The staff person stated she did not have access to staff files and other required documents. She stated that the site supervisor works before school care and then leaves and comes back at 12:00 p.m. and works until the facility closes. The staff person contacted the site supervisor. The site supervisor came to the facility and provided access to the required documents.

Inspection Report

Employee Records

Not In Compliance

385 - Personnel File

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(e) A current personnel file for each child-care center staff person to include:

1. Name, address, date of birth, and date of employment;
2. Proof of educational qualifications;
3. Record of annual performance evaluation;
4. Documentation of compliance with tuberculosis screening in accordance with Section 11(1)(b) of this administrative regulation; and
5. The results of background checks conducted in accordance with 922 KAR 2:280;

Findings:

General: Based on review of documentation presented at the time of the survey and a review of KARES, it was found that eight (8) staff (Hire dates: 4/12/16, 10/26/18, 8/15/18, 10/14/18, 9/28/17 and 2/14/18) had evidence on file at the facility of having their fingerprints taken. However, there was no evidence or documentation to verify these staff have been determined eligible for employment. Only one (1) of these staff was listed under this facility in KARES. An interview with the staff person in charge found that these staff are listed in KARES under different locations owned by the licensee.

410 - Training

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:

(a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;

(b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 1/2) hours of cabinet-approved pediatric abusive head trauma training; and

(c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 1/2) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.

Findings:

General: Based on review of documentation presented at the time of the survey and a review of ECE-TRIS, it was found that a staff person used as a substitute hired 10/6/17 did not complete the required six (6) hours of Cabinet approved Orientation I&II training until 1/14/18. This exceeds the three (3) month time frame for obtaining this training. There was an additional staff person who is a substitute for this location. A list of course work the staff person has taken in college was in the staff person's file. However, there was not a date on the documentation to verify the courses were taken during this staff person's training year.

Programming

Not In Compliance

420 - Program of Activities Followed

Not In Compliance

922 KAR 2:120. Section 2. Child Care Services.

(4) The child-care center shall provide a daily planned program:

(a) Posted in writing in a conspicuous location with each age group and followed;

(b) Of activities that are individualized and developmentally appropriate for each child served;

(c) That provides experience to promote the individual child's physical, emotional, social, and intellectual growth and well-being; and

(d) Unless the child-care center is a before- or after-school program that operates part day or less, that offers a variety of creative activities including:

1. Art or music;
2. Math or numbers;
3. Dramatic play;
4. Stories and books;
5. Science or nature;
6. Block building or stacking;
7. Tactile or sensory activity;
8. Multi-cultural exposure;
9. Indoor or outdoor play in which a child makes use of both small and large muscles;
10. A balance of active and quiet play, including group and individual activity;
11. An opportunity for a child to:
 - a. Have some free choice of activities;
 - b. If desired, play apart from the group at times;

Findings:

General: Based on observation at the time of the survey, a posted planned program of activities was observed on the wall in the Preschool Room (222). However, the date on this posted plan had December 2018 at the top of the page. Therefore, the posted planned program of activities was not current.

Premises

Not In Compliance

580 - Floors, Walls, Ceilings

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

(9) Floors, walls, and ceilings shall be smooth, in good repair, and constructed to be easily cleaned.

Findings:

General: Based on observation, during an inspection of the room used for the preschool children (Room 222) the wall near the door where the music instruments are stored were numerous areas of chipping and peeling paint. Chipping and peeling paint were also observed on the wall above the bulletin board mounted on the same wall where the shelf with the music instruments is located. The wall under the Smart Board located in the block area had numerous areas of chipping and peeling paint.

Hygienic Practices

In Compliance

Inspection Report

First Aid/Medication

Not In Compliance

730 - Medication

Not In Compliance

922 KAR 2:120. Section 7. First Aid and Medicine.

- (6) Medication, including refrigerated medication, shall be:**
 - (a) Stored in a separate and locked place, out of the reach of a child unless the medication is:**
 - 1. A first aid supply and is maintained in accordance with subsection (1) of this section;**
 - 2. Diaper cream, sunscreen, or toothpaste. Diaper cream, sunscreen, or toothpaste shall be inaccessible to a child;**
 - 3. An epinephrine auto-injector. A licensed child-care center shall comply with KRS 199.8951 and 311.646, including:**
 - a. An epinephrine auto-injector shall be inaccessible to a child;**
 - b. A child-care center shall have at least one (1) person onsite who has received training on the administration of an epinephrine auto-injector if the child-care center maintains an epinephrine auto-injector;**
 - c. A child-care center shall seek emergency medical care for a child if an auto-injector is administered to the child; and**
 - d. A child-care center shall report to the child's parent and the cabinet in accordance with 922 KAR 2:090, Section 12(1)(b) if an epinephrine auto-injector is administered to a child; or**
 - 4. An emergency or rescue medication for a child in care, such as medication to respond to diabetic or asthmatic condition, as prescribed by the child's physician. Emergency or rescue medication shall be inaccessible to a child in care;**
 - (b) Kept in the original bottle; and**
 - (c) Properly labeled.**
- (7) Medication shall not be given to a child if the medication's expiration date has passed.**

Findings:

General: Based on observation of the first aid kit, a packet of antibiotic ointment was observed. The packet of ointment was not labeled for an individual child or for staff.

Outdoor Play Area

In Compliance

Equipment

Not In Compliance

810 - Individual Bedding Storage

Not In Compliance

922 KAR 2:120. Section 6. Sleeping and Napping Requirements.

- (9) Individual bedding shall be stored in a sanitary manner.**

Findings:

General: Based on observation, several blue and red tri-fold vinyl nap mats were observed stored in a cabinet by the door that enters the classroom. The mats were stored in a manner that allowed the mats to touch. An interview with the staff in the room found that the mats are cleaned on Fridays. Further inspection of the room found blankets and pillows stored in a pile inside the cabinet under a changing table that is used for storage. An interview with the staff in the room found that the blankets are taken home on Fridays and washed. Due to these times not being cleaned until Friday of each week, the manner in which they are stored is unsanitary and allows for cross contamination of germs.

815 - Toys/Furniture

Not In Compliance

922 KAR 2:120. Section 11. Toys and Furnishings.

- (1) All toys and furniture contacted by a child shall be:**
 - (a) Kept clean and in good repair; and**
 - (b) Free of peeling, flaking, or chalking paint.**

Findings:

General: Based on observation, in the Preschool Room (Room 222) a large round multicolored cushion was observed on the floor behind the block shelf. The cushion was stained and soiled. In the book area there were several yellow pillows that were soiled and stained. A gray rug in the same area had several stains. In the dramatic play area there was an area rug that was soiled and stained. In the block area there was a multicolored rug that was soiled and stained.

Transportation

In Compliance

Food Service/Food Program

In Compliance

Food Service

Not In Compliance

1130 - Menu

Not In Compliance

922 KAR 2:120. Section 9. Food and Meal Requirements.

- (16) A weekly menu shall be:**
 - (a) Prepared;**
 - (b) Dated;**
 - (c) Posted in advance in a conspicuous place;**
 - (d) Kept on file for thirty (30) days; and**
 - (e) Amended in writing with any substitutions on the day the meal is served.**

Findings:

General: Based on observation, the posted snack menu was dated for December 2018. Therefore, a current menu was not posted.

Inspection Report

Children's Records

Not In Compliance

1140 - Enrollment Information

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(b) A written record for each child:

- 1. Completed and signed by the child's parent;**
- 2. Retained on file on the first day the child attends the child-care center; and**
- 3. To contain:**
 - a. Identifying information about the child, which includes, at a minimum, the child's name, address, and date of birth;**
 - b. Contact information to enable a person in charge to contact the child's:**
 - (i) Parent at the parent's home or place of employment;**
 - (ii) Family physician; and**
 - (iii) Preferred hospital;**
 - c. The name of each person who is designated in writing to pick-up the child;**
 - d. The child's general health status and medical history including, if applicable:**
 - (i) Allergies;**
 - (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and**
 - (iii) Permission from the parent for third-party professional services in the child-care center;**
 - e. The name and phone number of each person to be contacted in an emergency involving or impacting the child;**
 - f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;**

Findings:

General: Based on interview at the time of the survey, it was found that two (2) children who were present had started attending on 1/14/19. The staff person stated she did not have the children's file that contained all required information. The staff person stated that the site supervisor had the information. The staff person contacted the site supervisor who arrived not long after the surveyor arrived. The staff person stated the site supervisor normally works the before school hours and then leaves and comes back around 12:00 p.m. each day and works until the facility closes at 6:00 p.m. An interview with the site supervisor found that she had the children's files in her car because she needed to make copies of the information to keep on file at the facility before giving the information to their Central Office. The site supervisor provided the documents once she arrived at the facility.

Written Documentation

In Compliance

Posted Documentation

Not In Compliance

1215 - Daily Activities

Not In Compliance

922 KAR 2:090. Section 10. Director Requirements and Responsibilities.

(1) A director shall:

(h) Post a schedule of daily activities, to include dates and times of activities to be conducted with the children in each classroom;

Findings:

General: Based on observation, it was found that the diagram indicating where to go in the event of a fire, tornado or earthquake was posted on the wall by the door used to enter the cafeteria. The school age children use this space for care. The written plan for these drills was found posted on a different wall near the front of the cafeteria and not with the diagram.

Animals

Not Applicable

Signature of Provider/Representative

Title

Date