



Andy Beshear
GOVERNOR

CABINET FOR HEALTH AND FAMILY SERVICES
OFFICE OF INSPECTOR GENERAL

Eric Friedlander
SECRETARY

Melissa A. Moore, Director
Division of Regulated Child Care
Eastern Branch
455 Park Place, Suite 120A
Lexington, KY 40511

Adam Mather
INSPECTOR GENERAL

Phone: (859) 246-2301 Fax: (859) 246-2307
<https://chfs.ky.gov/agencies/os/oig>

Inspection Report

Provider Name: Little Sprouts	Provider Information	CLR No: L383925
Provider Address: 605 Moorefield Road, Carlisle, KY, 40311	Provider Type: LICENSED TYPE I	Capacity: 36
Owner(s): Early Learning Center Carlisle Limited Liability Company		Director(s): Fryman , Anna

Inspection Type: Renewal Application	Inspection Information	Inspection No: 318923
Date Initiated: 09/22/2021 9:17 AM	Date Concluded: 09/22/2021 11:40 AM	
	No. of Children Present: 15	

Inspection Report	
Background Checks	Not In Compliance
10 - Submit background check	Not In Compliance
<p>922 KAR 2:280 - Section 4. Procedures and Payments.</p> <p>(1) To initiate the process for obtaining background checks on a prospective child care staff member, the child care provider shall:</p> <p>(a) Request that the prospective child care staff member provide a copy of his or her driver's license or other government-issued photo identification and verify that the photograph clearly matches the prospective child care staff member;</p> <p>(b) Request that the prospective child care staff member complete and sign the:</p> <ol style="list-style-type: none"> DCC-500, Applicant Child Care Staff Member Waiver Agreement and Statement; and DCC-501, Disclosures to Be Provided to and Signed by the Applicant Child Care Staff Member; and <p>(c) Log on to the NBCP portal and enter the prospective child care staff member's demographic information for a check of the:</p> <ol style="list-style-type: none"> Child abuse and neglect central registry pursuant to 922 KAR 1:470; National Crime Information Center's National Sex Offender Registry in accordance with 34 U.S.C. 20921; and Sex Offender Registry established in accordance with KRS 17.500 through 17.580. 	
<p>Findings:</p> <p>General: Based on review of documentation, this regulatory requirement was not met. Two staff (both hired 4/20/21) that were identified as substitutes, had a completed criminal records check (CRC) on file; however, they did not have evidence or results of a child abuse/neglect check (CAN). Required forms for the National Background Check Service (KARES) had not yet been filled out.</p> <p>The staff person in charge stated during interview that she had not yet initiated the process to obtain these employees' background checks in KARES.</p>	

Inspection Report

Supervision

Not In Compliance

90 - Children Supervised

Not In Compliance

922 KAR 2:120. Section 2. Child Care Services.

- (3)(a) Each center shall maintain a child-care program that assures each child shall be:**
 - 1. Provided with adequate supervision at all times by a qualified staff person who ensures the child is:**
 - a. Within scope of vision and range of voice; or**
 - b. For a school-age child, within scope of vision or range of voice;**

Findings:

General: Based on observation and interview, this regulatory requirement was not met. At the time of the visit (9:17AM) it was found that three staff were caring for a group of 13 children (age range: infant - four years old) in the preschool classroom. The surveyor toured the facility and found that two children (three months old and six months old) had been left alone and unsupervised in the infant classroom. The infant room is adjacent to the preschool classroom; however, the door to the infant classroom was closed and the lights were turned off. The only light in the classroom was from a television playing cartoons in the room. The three month old child was observed sitting in a swing and she was awake. The six month old child was observed asleep in a crib at the time.

The staff person assigned to the infant classroom stated during interview that she had taken one of the infants into the preschool classroom to perform a breathing treatment on the child. This staff person was observed sitting on a blanket in the preschool classroom with the infant child in her lap when the surveyor arrived. The staff person had a bag of chips and a soft drink located on the floor beside of her.

Staffing Requirements

Not In Compliance

125 - Ratios and Group Size

Not In Compliance

922 KAR 2:120. Section 2. Child Care Services.

(2) For an operating child-care center, minimum staff-to-child ratios and group size shall be maintained as established in the table established in this subsection.

Age of Children Ratio Maximum Group Size*

- Infant**
- 1 staff for 5 children 10**
- Toddler 12 to 24 months**
- 1 staff for 6 children 12**
- Toddler 24 to 36 months**
- 1 staff for 10 children 20**
- Preschool-age 3 to 4 years**
- 1 staff for 12 children 24**
- Preschool-age 4 to 5 years**
- 1 staff for 14 children 28**
- School-age 5 to 7 years**
- 1 staff for 15 children 30**
- School-age 7 and older**
- 1 staff for 25 children**
- (for before and after school) 30**
- 1 staff for 20 children**
- (full day of care) 30**

***Maximum Group Size shall be applicable only to Type I child-care centers.**

(b) The age of the youngest child in the group shall determine the:

- 1. Staff-to-child ratio; and**
- 2. Maximum group size.**

Findings:

General: Based on observation and interview, this regulatory requirement was not met. At the time of the visit (9:17AM) it was found that three staff were caring for a group of 13 children (age range: infant - four years old) in the preschool classroom. Since the youngest child in the group was an infant, the maximum group size would need to be 10 children. The facility exceeded the group size by three children.

Staff stated during interview that the one infant present with the group had been brought into the classroom for a breathing treatment. The rest of the children present with the group were two - four years old.

General Administration

Not In Compliance

160 - Liability Insurance

Not In Compliance

922 KAR 2:090. Section 6. License Issuance.

- (8) To qualify for a preliminary license, or maintain a regular license, a child-care center shall:**
 - (d) Provide written proof of liability insurance coverage of at least \$100,000 per occurrence;**

Findings:

General: Based on review of documentation and interview, this regulatory requirement was not met. At the time of the survey, it was found that there was no documentation of liability insurance at the facility.

The staff person in charge stated that the facility had current liability insurance; however, she did not present the documentation during the visit.

225 - Licensee Responsibility

Not In Compliance

922 KAR 2:090. Section 8. General.

(1) A licensee shall:

- (a) Be responsible for the operation of the child-care center pursuant to this administrative regulation, 922 KAR 2:120, and 922 KAR 2:280; and**
- (b) Protect and assure the health, safety, and comfort of each child.**

Findings:

General: Based on observation, this regulatory requirement was not met. The following was found:

1. The surveyor entered the facility at 9:17AM and conducted a tour of the facility. A staff person working in the preschool classroom opened the door for the surveyor to enter the infant classroom and two children (three months old and six months old) were observed present in the room. The three-month-old child was observed sitting in a swing and she was awake. The six-month-old child was observed asleep in a crib at the time. The children had been left alone/unsupervised because there was no adult or staff person present in the classroom. When the staff person opened the classroom door to enter, the only light in the room was the light coming from a television playing cartoons. A classroom being this dark, even during nap time, creates a safety hazard for the children and staff.
2. During a tour of the preschool classroom, the surveyor observed six electrical devices such as fish tank filters, lights, and a television, plugged into an electrical outlet beside a large fish tank. All of the electrical cords were hanging loose and accessible to the children.
3. The surveyor entered the children's bathroom with the diaper changing table and observed a tart warmer positioned on top of a wooden shelf beside of the toilet. The tart warmer was turned on and was full of hot liquid. Some of the hot liquid had spilled out on top of the wooden shelf. The shelf was approximately three feet tall; therefore, the tart warmer was accessible to the children.
4. A second bathroom in the preschool classroom contained an electrical outlet that did not contain a plate cover. An outlet cover was observed being used in one of the electrical outlets; however, the second one was uncovered. This electrical outlet is located beside the sink and accessible to the children.
5. The surveyor observed that the roll of toilet paper that the children use in one of the bathrooms is stored in an unsanitary manner, positioned on the back of the toilet. The toilet paper holder on the wall beside the toilet was broken.

Director Requirements

Not In Compliance

360 - Staff Evaluation

Not In Compliance

922 KAR 2:090. Section 10. Director Requirements and Responsibilities.

(1) A director shall:

- (j) Assess each staff person's interaction with children in care and classroom performance through an annual written performance evaluation;**

Findings:

General: Based on review of documentation, one staff person eligible for an annual performance review did not have documentation of a review on file in the facility.

Employee Records

Not In Compliance

395 - Personnel File

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(e) A current personnel file for each child-care center staff person to include:

- 1. Name, address, date of birth, and date of employment;**
- 2. Proof of educational qualifications;**
- 3. Record of annual performance evaluation;**
- 4. Documentation of compliance with tuberculosis screening in accordance with Section 11(1)(b) of this administrative regulation; and**
- 5. The results of background checks conducted in accordance with 922 KAR 2:280;**

Findings:

General: Based on review of documentation and interview, this regulatory requirement was not met. A review of staff files during the inspection found three staff that did not have the date of employment listed in their file. The staff person in charge reviewed information in these three staff files and then identified the date of hire based on the date of one person's background check (6/10/21) and the other two based on the date they filled out their application (both on 4/20/21). However, the dates of hire were not maintained in the personnel files.

400 - Educational Requirements

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

(a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:

- 1. High school diploma;**
- 2. GED or qualifying documentation from a comparable educational entity; or**
- 3. Commonwealth Child Care Credential as described in 922 KAR 2:250;**

Findings:

General: Based on review of documentation, two staff (hire dates: 8/18/19 and 4/20/21) did not have educational documentation on file.

A review of Division of Regulated Child Care files found that this regulatory violation was previously issued as a result of a renewal survey conducted on 9/9/20 for the same staff person with an 8/18/19 hire date.

405 - TB Verification

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

- (1) Child-care center staff:**
- (b) Shall provide, prior to employment and every two (2) years thereafter:**
 - 1. A statement from a health professional that the individual is free of active tuberculosis; or**
 - 2. A copy of negative tuberculin results.**

Findings:

General: Based on review of documentation, this regulatory requirement was not met. The following was found:

- 1. One staff file (hire date: 8/18/19) contained results of a negative T.B. test that is no longer current. The test was dated 7/1/19 which exceeds the two year time frame.
- 2. Two staff (both hired on 4/20/21) did not have T.B. documentation on file.

410 - CPR/First Aid Coverage

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

- (3) For a child-care center licensed for infant, toddler, or preschool-age children, at least one (1) person on duty and present with the children shall be currently certified by a cabinet-approved training agency in the following skills:**
 - (a) Infant and child cardiopulmonary resuscitation; and**
 - (b) Infant and child first aid.**
- (4) For a child-care center licensed for school-age children, at least one (1) person on duty and present with the children shall be currently certified by a cabinet-approved training agency in the following skills:**
 - (a) Adult cardiopulmonary resuscitation; and**
 - (b) First aid.**
- (5) Cardiopulmonary resuscitation (CPR) and first aid training shall be in addition to the fifteen (15) clock hours requirement in subsection (16) of this section.**

Findings:

General: Based on review of documentation, this regulatory requirement was not met. A review of staff files during the inspection found that none of the staff (eight staff total) had current CPR or first aid training documentation on file. The two administrative staff had CPR and first aid certification cards in their file that expired January 2021. Therefore, no one was present with the children on the day of the survey that was certified in CPR and first aid.

415 - CPR/First Aid Required Training

Not In Compliance

922 KAR 2:120. Section 7. First Aid and Medicine.

- (8) Each center shall ensure that every staff member has received training on first aid and cardiopulmonary resuscitation (CPR).**

Findings:

General: Based on review of documentation, this regulatory requirement was not met. Only two out of eight total staff had documentation of CPR and first aid training on file.

420 - Adequate Substitute(s)

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

- (6) Child-care centers shall have available in case of need:**
 - (a) One (1) qualified substitute staff person for a Type II child-care center; or**
 - (b) Two (2) qualified substitute staff persons for a Type I child-care center.**
- (7) Each qualified substitute staff person shall:**
 - (a) Meet the staff requirements of this administrative regulation; and**
 - (b) Provide the required documentation to verify compliance with this administrative regulation.**

Findings:

General: Based on review of documentation, this regulatory requirement was not met. The staff person in charge identified two substitute staff; however, neither person met staff requirements. Neither staff person (both hired on 4/20/21) had completed background checks on file. They both had a completed criminal records check (CRC); however, they did not have evidence or results of a child abuse/neglect check (CAN) on file. One of these staff had not completed the six hour orientation training required by the cabinet and the other staff person did not have T.B. documentation on file.

435 - Training

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

- (16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:**
 - (a) Six (6) hours of cabinet-approved orientation completed within the first three (3) months of employment in a child-care program;**
 - (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment in a child care program, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and**
 - (c) Fifteen (15) hours of cabinet-approved early care and education training completed between July 1 and the following June 30 of each subsequent year of employment in a child care program, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.**
- (17) A staff person's compliance with training requirements of this section shall be verified through the cabinet-designated database maintained pursuant to 922 KAR 2:240.**

Findings:

General: Based on review of documentation and the Training Records Information System (TRIS), the following was found:

- 1. Three staff (hire dates: 6/10/21, 5/4/21, and 4/20/21) did not complete the required Orientation I and II courses. These staff have been employed for more than three months.
- 2. Three staff (hire dates: 8/18/19 and two on 2/11/19) did not complete the required 15 hours of cabinet-approved training hours between 7/1/2020 and 6/30/21.

Inspection Report

Programming

Not In Compliance

465 - Electronic Viewing/Listening Devices

Not In Compliance

922 KAR 2:120. Section 2. Child Care Services.

(4) The child-care center shall provide a daily planned program:

(d) Unless the child-care center is a before- or after-school program that operates part day or less, that offers a variety of creative activities including the following:

12. The use of screen time, electronic viewing and listening devices if the:

- a. Material is developmentally appropriate to the child using the equipment;
- b. Material is not a replacement for active play or a substitute for engagement and interaction with other children and adults;
- c. Material does not include any violence, adult content viewing, or inappropriate language;
- d. Child is over twenty-four (24) months of age;
- e. Viewing or listening is discussed with parents beforehand; and
- f. Viewing or listening is designed as an educational tool used to help children explore, create, problem solve, interact, and learn with and from one another.

(5) Screen time shall be:

(a) Utilized for:

- 1. A maximum of thirty (30) minutes per day in a half-day program;
- 3. The time needed for school-age children to complete assigned non-traditional instruction; and
- (b) Prohibited for a child under twenty-four (24) months of age.

(6) A child who does not wish to use an electronic device during the planned program shall be offered other appropriate activities.

Findings:

General: Based on observation, this regulatory requirement was not met. The surveyor observed cartoons playing on the television in the infant classroom with two children present (3 months old and 6 months old). Television viewing is not developmentally appropriate for this age group.

540 - Bedding/Toys in Crib

Not In Compliance

922 KAR 2:120. Section 6. Sleeping and Napping Requirements.

(3) Rest time shall occur in an adequate space according to the child's age as follows:

(a) For an infant:

- 3. No bedding other than a clean tight-fitted sheet; and
- 4. No toys or other items except the infant's pacifier;

Findings:

General: Based on observation, this regulatory requirement was not met. The surveyor entered the infant classroom and observed a six-month-old child asleep in a crib with a fluffy blanket on top of the child.

Premises

Not In Compliance

565 - Inaccessible Items

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

(7) The following shall be inaccessible to a child in care:

- (a) Toxic cleaning supplies, poisons, and insecticides;
- (b) Matches, cigarettes, lighters, and flammable liquids; and
- (c) Personal belongings and medications of staff.

Findings:

General: Based on observation, this regulatory requirement was not met. The following was found:

1. The surveyor toured one of the children's bathrooms and found that a storage closet door in the bathroom contained a hook latch; however, it was not latched during the visit. The closet contained several gallons of interior paint, a gallon of bleach, Scrubbing Bubbles Bathroom Grime Fighter cleaner, Clean Freak Deep Cleaning Mist, Sprayway Glass Cleaner and a large container of Roundup Weed Killer spray. The labels on these containers all read "Keep Out of Reach of Children"; however, they were all accessible to the children in care.
2. The surveyor observed a gallon jug of Germ-X hand sanitizer located on the floor under the kitchen sink. The door between the preschool classroom and the kitchen was observed propped open throughout the entire visit; therefore, this item was accessible to the children present in the preschool classroom. It is important to note that the surveyor observed a female preschool child enter the kitchen area twice during the visit. The child entered the kitchen and attempted to open the refrigerator door. A staff person working in the preschool classroom immediately instructed the child to return to the classroom each time this occurred.

570 - Items Accessible Only During Activity

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

(8) The following shall be inaccessible to a child in care unless under direct supervision and part of planned program of instruction:

- (a) Knives and sharp objects;
- (b) Litter and rubbish;
- (c) Bar soap; and
- (d) Plastic bags not used for personal belongings.

Findings:

General: Based on observation, this regulatory requirement was not met. The surveyor observed a large sharp knife in an unlocked kitchen drawer beside the stove. The door between the preschool classroom and the kitchen was observed propped open throughout the entire visit; therefore, this item was accessible to the children present in the preschool classroom. It is important to note that the surveyor observed a female preschool child enter the kitchen area twice during the visit. The child entered the kitchen and attempted to open the refrigerator door. A staff person working in the preschool classroom immediately instructed the child to return to the classroom each time this occurred.

Inspection Report

585 - Premises Requirements

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

- (1) The premises shall be:
 - (a) Suitable for the purpose intended;
 - (b) Kept clean and in good repair;

Findings:

General: Based on observation and interview, this regulatory requirement was not met. The following was found:

1. The wall-to-wall carpet in the school age classroom contained numerous dark spots and stains. The staff person in charge stated that she was in the process of getting it replaced.
2. A ceiling vent in the one year old classroom contained an accumulation of dust.

695 - Toilet

Not In Compliance

922 KAR 2:120. Section 12. Toilet, Diapering, and Toiletry Requirements.

- (4) Each toilet shall:
 - (a) Be kept in clean condition;
 - (b) Be kept in good repair;
 - (c) Be in a lighted room; and
 - (d) Have ventilation to outside air.

Findings:

General: Based on observation, the toilet in the children's bathroom with the diaper changing table contained a dark ring on the inside of the toilet bowl.

Hygienic Practices

Not In Compliance

750 - Toothbrush/Toothpaste

Not In Compliance

922 KAR 2:120. Section 12. Toilet, Diapering, and Toiletry Requirements.

- (15) Toothbrushes shall be:
 - (a) Individually identified;
 - (b) Allowed to air dry; and
 - (c) Protected from contamination.
- (16) Toothpaste used by multiple children shall be dispensed onto an intermediate surface, such as waxed paper, to avoid cross contamination.

Findings:

General: Based on observation, this regulatory requirement was not met. The surveyor toured the school age classroom and observed the following in a cabinet above the handwashing sink:

1. Two toothbrushes were stored together in a plastic ziplock bag.
 2. One toothbrush and a tube of toothpaste was stored in a second plastic ziplock bag.
- None of the items were labeled with a child's name.

First Aid/Medication

Not In Compliance

775 - Medication

Not In Compliance

922 KAR 2:120. Section 7. First Aid and Medicine.

- (6) Medication, including refrigerated medication, shall be:
 - (a) Stored in a separate and locked place, out of the reach of a child unless the medication is:
 1. A first aid supply and is maintained in accordance with subsection (1) of this section;
 2. Diaper cream, sunscreen, or toothpaste. Diaper cream, sunscreen, or toothpaste shall be inaccessible to a child;
 3. An epinephrine auto-injector. A licensed child-care center shall comply with KRS 199.8951 and 311.646, including:
 - a. An epinephrine auto-injector shall be inaccessible to a child;
 - b. A child-care center shall have at least one (1) person onsite who has received training on the administration of an epinephrine auto-injector if the child-care center maintains an epinephrine auto-injector;
 - c. A child-care center shall seek emergency medical care for a child if an auto-injector is administered to the child; and
 - d. A child-care center shall report to the child's parent and the cabinet in accordance with 922 KAR 2:090, Section 13(1)(b) if an epinephrine auto-injector is administered to a child; or
 4. An emergency or rescue medication for a child in care, such as medication to respond to diabetic or asthmatic condition, as prescribed by the child's physician. Emergency or rescue medication shall be inaccessible to a child in care;
 - (b) Kept in the original bottle; and
 - (c) Properly labeled.
- (7) Medication shall not be given to a child if the medication's expiration date has passed.

Findings:

General: Based on observation, this regulatory requirement was not met. The surveyor observed two bottles of sunscreen positioned on a cart with a small refrigerator in the kitchen. The door between the preschool classroom and the kitchen was observed propped open throughout the entire visit; therefore, the sunscreen was accessible to the children present in the preschool classroom. It is important to note that the surveyor observed a female preschool child enter the kitchen area twice during the visit. The child entered the kitchen and attempted to open the refrigerator door. A staff person working in the preschool classroom immediately instructed the child to return to the classroom each time this occurred.

Inspection Report

Outdoor Play Area

Not In Compliance

795 - Playground Conditions

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

- (20) An outdoor play area shall be:
 - (d) Safe from foreseeable hazard;
 - (e) Well drained;
 - (f) Well maintained;
 - (g) In good repair; and
 - (h) Visible to staff at all times.

Findings:

General: Based on observation, this regulatory requirement was not met. One of the wooden landscaping boards was loose and no longer connected to the frame.

800 - Protective Surface

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

- (21) A protective surface shall:
 - (a) Be provided for outdoor play equipment used to:
 1. Climb;
 2. Swing; and
 3. Slide; and
 - (b) Have a fall zone equal to the height of the equipment.

Findings:

General: Based on observation, this regulatory requirement was not met. A multicolored play structure with two slides did not have a sufficient amount of fall zone surfacing underneath. The surface under the structure consisted of grass and a thin black mat, which is not sufficient. Furthermore, the base of the green slide on this structure was positioned within a few inches of a wooden landscape timber. This does not provide an adequate fall zone.

Equipment

Not In Compliance

865 - Indoor/Outdoor Equipment

Not In Compliance

922 KAR 2:120. Section 13. Toys and Furnishings.

- (2) Indoor and outdoor equipment shall:
 - (a) Be clean, safe, and in good repair;
 - (b) Meet the physical, developmental needs, and interests of children of different age groups;
 - (c) Be free from sharp points or corners, splinters, protruding nails or bolts, loose or rusty parts, hazardous small parts, lead-based paint, poisonous material, and flaking or chalking paint; and
 - (d) Be designed to guard against entrapment or situations that may cause strangulation.

Findings:

General: Based on observation, this regulatory requirement was not met. The following was found in the school age classroom:

1. A child-size couch contained a large tear down the middle of the seat which exposed the foam filling.
2. The top surface of a card table contained a large hole in the vinyl covering.
3. Several of the children's riding toys on the playground contained embedded dirt and grime.

Transportation

Not Applicable

Kitchen Requirements

In Compliance

Food Service

Not In Compliance

1180 - All Food Components

Not In Compliance

922 KAR 2:120. Section 9. Food and Drink Requirements for All Child-Care Centers.

- (20) Children shall be served all daily food components required by Section 10 or 11 of this administrative regulation.

Findings:

General: Based on interview, this regulatory requirement was not met. Staff stated during interview that the children were served pop tarts and juice for breakfast on the day of the inspection; therefore, all required food components were not served.

Meal Planning/Center Provides Meals

Not In Compliance

1220 - Menu

Not In Compliance

922 KAR 2:120. Section 10. Meal Planning Requirements for a Center that Provides Meals.

- (9) A weekly menu shall be:
 - (a) Prepared;
 - (b) Dated;
 - (c) Posted in advance in a conspicuous place;
 - (d) Kept on file for thirty (30) days; and
 - (e) Amended in writing with any substitutions on the day the meal is served.

Findings:

General: Based on review of documentation, the facility's posted menu did not contain a date.

Meal Planning/Center Does Not Provide Meals

In Compliance

Inspection Report

Children's Records

Not In Compliance

1245 - Immunization

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;

Findings:

General: Based on review of documentation, the following was found:

- 1. One child did not have an immunization certificate on file.
- 2. Three children had immunization certificates that were no longer current as of 9/6/21, 8/29/21, and 5/1/21.
- 3. One child's file had an immunization certificate that did not have the required information (expiration date); therefore, it could not be determined whether or not the immunization certificate was current.

1250 - Enrollment Information

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(b) A written record for each child:

- 1. Completed and signed by the child's parent;
- 2. Retained on file on the first day the child attends the child-care center; and
- 3. To contain:
 - a. Identifying information about the child, which includes, at a minimum, the child's name, address, and date of birth;
 - b. Contact information to enable a person in charge to contact the child's:
 - (i) Parent at the parent's home or place of employment;
 - (ii) Family physician; and
 - (iii) Preferred hospital;
 - c. The name of each person who is designated in writing to pick-up the child;
 - d. The child's general health status and medical history including, if applicable:
 - (i) Allergies;
 - (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and
 - (iii) Permission from the parent for third-party professional services in the child-care center;
 - e. The name and phone number of each person to be contacted in an emergency involving or impacting the child;
 - f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;

Findings:

General: Based on review of documentation, this regulatory requirement was not met. One child's file/enrollment paperwork did not have physician contact information.

Written Documentation

Not In Compliance

1260 - Evacuation Plan

Not In Compliance

922 KAR 2:090. Section 5. Evacuation Plan.

(1) A licensed child-care center shall have a written evacuation plan in the event of a fire, natural disaster, or other threatening situation that may pose a health or safety hazard for a child in care in accordance with KRS 199.895 and 42 U.S.C. 9858c(c)(2)(U).

Findings:

General: Based on review of documentation, the emergency preparedness plan on file had not been updated since 2019.

1270 - Daily Attendance Records

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(c) Daily attendance records documenting the arrival and departure time of each child, including records that are required in accordance with 922 KAR 2:160, Section 13, if a child receives services from the child-care center through the Child Care Assistance Program;

Findings:

General: Based on review of documentation and interview, this regulatory requirement was not met. The facility does not sign out the school age children when they are picked up by the school bus in the mornings. The surveyor reviewed the facility attendance sheet during the visit and found six children with an arrival time listed that were not currently present at the facility. The staff person in charge stated that these six children were dropped off by their parents at the arrival time documented beside their name; however, they were not present because the school bus picked them up for school at approximately 7:15AM.

1280 - Professional Development

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(f) A written annual plan for child-care staff professional development;

Findings:

General: Based on review of documentation, one staff person eligible for an annual professional development plan did not have documentation of a plan on file.

Inspection Report

Posted Documentation

Not In Compliance

1325 - Daily Activities

Not In Compliance

922 KAR 2:090. Section 10. Director Requirements and Responsibilities.

(1) A director shall:

(h) Post a schedule of daily activities, to include dates and times of activities to be conducted with the children in each classroom;

Findings:

General: Based on review of documentation, all of the classrooms had a schedule of daily activities posted that was dated September 7 – 10, 2021; therefore, they were no longer current.

Animals

In Compliance

Signature of Provider/Representative

Title

Date