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Inspection Report

Provider Name: Hazard Preschool Plus	Provider Information	CLR No: L383610
Provider Address: 601 Broadway, Hazard, KY, 41701	Provider Type: LICENSED TYPE I	Capacity: 17
Owner(s): Hazard Independent Schools		Director(s): Sherman, Ashley Danielle

Inspection Type: Renewal Application	Inspection Information	Inspection No: 307143
Date Initiated: 09/07/2021 9:30 AM	Date Concluded: 09/07/2021 12:00 PM	
	No. of Children Present: 16	

Inspection Report	
Background Checks	Not In Compliance
5 - Background check/left alone/dismissed/relocated	Not In Compliance
<p>922 KAR 2:280. Section 3. Implementation and Enforcement.</p> <p>(1) A person who is a child care staff member prior to January 1, 2018, shall submit to and complete background checks in accordance with this administrative regulation no later than September 30, 2018.</p> <p>(2) A child care staff member hired on or after April 1, 2018, shall:</p> <p>(a) Have completed the background checks required in accordance with this administrative regulation and been found to have no disqualifying offense prior to becoming a child care staff member; or</p> <p>(b) 1. Have submitted to the background checks required in accordance with this administrative regulation;</p> <p>2. Not be left unsupervised with a child in care pending the completion of the background checks in accordance with this administrative regulation; and</p> <p>3. Be dismissed or relocated from the residence if the person is found to have a disqualifying background check result.</p>	
Findings:	
<p>General: Based on review of documentation, the surveyor found that a staff's (DOH: 07/28/21) file did not contain documentation of background checks submitted through the Kentucky National Background Check Service. Based on review of the Kentucky National Background Check Service, the staff member did not have a completed background check; therefore, the staff person was hired prior to clearance for employment. During interview, staff-in-charge confirmed that a background check was not submitted through the Kentucky National Background Check Service. Staff-in-charge stated that the staff person was a substitute teacher and has not worked at the child-care center yet. The surveyor did not observe the staff person working at the child-care center on 09/07/21. The staff's file contained a completed Child Abuse/Neglect Background Check dated 08/03/21. The staff's file did not contain a completed Criminal Records Background Check (CRC). Staff-in-charge stated that the staff person should have a completed CRC and the documentation may be at the Central Office.</p>	
Supervision	In Compliance
Staffing Requirements	In Compliance

Inspection Report

General Administration

Not In Compliance

225 - Licensee Responsibility

Not In Compliance

922 KAR 2:090. Section 8. General.

(1) A licensee shall:

- (a) Be responsible for the operation of the child-care center pursuant to this administrative regulation, 922 KAR 2:120, and 922 KAR 2:280; and**
- (b) Protect and assure the health, safety, and comfort of each child.**

Findings:

General: Based on observation, the surveyor found the following:

1. A table placed in a corner of the hallway that leads to the playground with child sized chairs stacked on top of the table.
2. A ladder leaned against a stair case in the corner of the hallway that leads to the playground.
3. Two (2) large boxes leaned against a table placed in the corner of the hallway that leads to the playground that stated "Elmer's" on the side and were partially open containing white square boards.
4. Cafeteria tables turned upright placed at the bottom of the steps leading into the cafeteria.
5. A large brown cabinet placed on top of a counter in front of a set of windows that appeared to not be secured in the Preschool Plus Classroom. The cabinet was observed to be accessible to the children.
6. Several food items stacked on top of a gray shelf located beside a set of cubbies in the Preschool Plus Classroom.
7. Containers, boxes and what appeared to be supplies/crafts stacked beside a gray cabinet in the Preschool Plus Classroom.
8. Several black crates full of crafts/supplies stacked on top of each other and placed on top of a set of cubbies in the Preschool Plus Classroom.
9. Two (2) three drawer clear storage containers full of crafts stacked on top of each other and did not appear to be secured located beside the restroom door in the Preschool Plus Classroom.
10. A staff's (DOH: 05/06/21) file did not contain a completed Criminal Records Background Check (CRC). The staff's file contained a completed Child Abuse/Neglect Background Check (CAN) only dated for 05/06/21. During interview, staff-in-charge stated that the staff person has not worked alone with children. The surveyor did not observe the staff person working at the child-care center on the day of the visit, 09/07/21. Staff-in-charge stated that a CRC was completed for the staff person; however, the documentation may be at the Central Office. Based on review of the Kentucky National Background Check Service, the staff's determination date was showing "in process" and the staff person has not submitted to fingerprints as of 9/7/21.

Director Requirements

Not In Compliance

360 - Staff Evaluation

Not In Compliance

922 KAR 2:090. Section 10. Director Requirements and Responsibilities.

(1) A director shall:

- (j) Assess each staff person's interaction with children in care and classroom performance through an annual written performance evaluation;**

Findings:

General: Based on review of documentation, the surveyor found that a staff's (DOH: 10/14/19) file contained an annual written performance evaluation dated for 01/14/20; therefore, the evaluation was not completed annually. Through interview with staff-in-charge, the surveyor learned that an up-to-date annual written performance evaluation was not available for review for the staff mentioned.

Employee Records

Not In Compliance

400 - Educational Requirements

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

- (a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:**
 - 1. High school diploma;**
 - 2. GED or qualifying documentation from a comparable educational entity; or**
 - 3. Commonwealth Child Care Credential as described in 922 KAR 2:250;**

Findings:

General: Based on review of documentation, the surveyor found no verification of a High School Diploma, GED or Commonwealth Child Care Credential for a staff (DOH: 08/03/21).

405 - TB Verification

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

- (b) Shall provide, prior to employment and every two (2) years thereafter:**
 - 1. A statement from a health professional that the individual is free of active tuberculosis; or**
 - 2. A copy of negative tuberculin results.**

Findings:

General: Based on review of documentation, the surveyor found the following:

1. A staff's (DOH: 12/01/16) file did not contain documentation of a current TB skin test or a statement from a health professional stating that the adult was free from active tuberculosis. The staff's file contained a TB skin test that was no longer current as of 01/28/20. During interview, staff-in-charge confirmed that the staff person has not had a TB skin test.
2. A staff's (DOH: 10/14/19) file did not contain documentation of a current TB skin test or a statement from a health professional stating that the adult was free from active tuberculosis. The staff's file contained a TB skin test was no longer current as of 08/12/21. During interview, staff-in-charge confirmed that the staff person has not had a TB skin test.

Inspection Report

**Programming
Premises**

**In Compliance
Not In Compliance**

565 - Inaccessible Items

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.
(7) The following shall be inaccessible to a child in care:
(a) Toxic cleaning supplies, poisons, and insecticides;
(b) Matches, cigarettes, lighters, and flammable liquids; and
(c) Personal belongings and medications of staff.

Findings:

General: Based on observation, the surveyor found the following in the Preschool Plus Classroom:

1. An unlocked brown cabinet placed on a counter in front of a set of windows that contained that following items: Two (2) Snuggle Renuzits, a box of Mr. Clean Magic Erasers, Febreze, garbage bags, Snuggle Super Fresh Linen Spray, a bottle of Zarbees natural vitamins, and a bottle of Microban spray.
2. An orange plastic table cloth draped over a set of cubbies that contained the following items: gallons of bleach, and gallons of hand sanitizer gel.

585 - Premises Requirements

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.
(1) The premises shall be:
(a) Suitable for the purpose intended;
(b) Kept clean and in good repair;

Findings:

General: Based on observation, the surveyor found the following:

1. A green car rug that contained stains and debris in the Preschool Plus Classroom; therefore, the rug was not kept clean.
2. A blue rug with shapes that contained stains and debris in the Preschool Plus Classroom; therefore, the rug was not kept clean.
3. A blue rug with leaves that contained debris in the Preschool Plus Classroom; therefore, the rug was not kept clean.

625 - Floors, Walls, Ceilings

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.
(9) Floors, walls, and ceilings shall be smooth, in good repair, and constructed to be easily cleaned.

Findings:

General: Based on observation, the surveyor found a missing ceiling tile located above a window in the Preschool Plus Classroom; therefore, the ceiling was not in good repair. During interview, staff-in-charge stated that maintenance was supposed to replace the ceiling tile. Staff-in-charge stated that she would ask again to have the ceiling tile replaced.

695 - Toilet

Not In Compliance

922 KAR 2:120. Section 12. Toilet, Diapering, and Toiletry Requirements.
(4) Each toilet shall:
(a) Be kept in clean condition;
(b) Be kept in good repair;
(c) Be in a lighted room; and
(d) Have ventilation to outside air.

Findings:

General: Based on observation, the surveyor found that both sides of the base of the toilet contained green stains; therefore, the toilet was not kept in clean condition.

Hygienic Practices

In Compliance

First Aid/Medication

In Compliance

Outdoor Play Area

Not In Compliance

795 - Playground Conditions

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.
(20) An outdoor play area shall be:
(d) Safe from foreseeable hazard;
(e) Well drained;
(f) Well maintained;
(g) In good repair; and
(h) Visible to staff at all times.

Findings:

General: Based on observation, the surveyor found the following:

1. A bag of potting mix placed under a bench located on the playground.
2. A black garbage bag that appeared to be filled with garbage placed on the ground near the exit door on the playground.
3. A small cement block coming out from underneath the fence located in the grassy area of the playground.

Inspection Report

**Equipment
Transportation**

**In Compliance
Not In Compliance**

885 - Transportation Compliance

Not In Compliance

922 KAR 2:120. Section 14. Transportation.

(1) A center shall document compliance with KRS Chapter 186 and 603 KAR 5:072 pertaining to:

- (a) Vehicles;**
- (b) Drivers; and**
- (c) Insurance.**

Findings:

General: Based on review of documentation, the surveyor found no documentation of full coverage insurance for the vehicle used to transport children. During interview, staff-in-charge stated that the insurance documentation should be at Central Office.

Kitchen Requirements

Not In Compliance

1030 - Food Service Permit

Not In Compliance

922 KAR 2:120. Section 8. Kitchen Requirements.

(2) A child-care center required to have a food service permit shall be in compliance with 902 KAR 45:005 and this administrative regulation.

Findings:

General: Based on review of documentation, the surveyor was presented with a food service permit that had an expiration date of 12/31/20. During interview, staff stated that the Health Department had not sent the up-to-date food service permit.

Food Service

In Compliance

Meal Planning/Center Provides Meals

In Compliance

Meal Planning/Center Does Not Provide Meals

In Compliance

Children's Records

Not In Compliance

1245 - Immunization

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;

Findings:

General: Based on review of documentation, the surveyor found that a child's (DOE: 08/05/21) file contained an immunization certificate that was no longer current as of 11/10/20.

1250 - Enrollment Information

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(b) A written record for each child:

- 1. Completed and signed by the child's parent;**
- 2. Retained on file on the first day the child attends the child-care center; and**
- 3. To contain:**
 - a. Identifying information about the child, which includes, at a minimum, the child's name, address, and date of birth;**
 - b. Contact information to enable a person in charge to contact the child's:**
 - (i) Parent at the parent's home or place of employment;**
 - (ii) Family physician; and**
 - (iii) Preferred hospital;**
 - c. The name of each person who is designated in writing to pick-up the child;**
 - d. The child's general health status and medical history including, if applicable:**
 - (i) Allergies;**
 - (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and**
 - (iii) Permission from the parent for third-party professional services in the child-care center;**
 - e. The name and phone number of each person to be contacted in an emergency involving or impacting the child;**
 - f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;**

Findings:

General: Based on review of documentation, the surveyor found the following:

1. A child's (DOE: 08/05/21) file did not contain a contact telephone number for the child's preferred hospital.
2. A child's (DOE: 08/05/21) file did not contain a contact telephone number for the child's preferred family physician.
3. A child's (DOE: 08/05/21) file did not contain a contact telephone number for the child's preferred hospital.
4. A child's (DOE: 08/05/21) file did not contain the name of each person who is designated in writing to pick-up the child, the name and telephone number of each person to be contacted in an emergency involving or impacting the child, authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence, the names of the child's preferred hospital or contact telephone numbers for the child's preferred hospital and family physician.
5. A child's (DOE: 08/05/21) file did not contain contact information to enable a person in charge to contact the child's parents, the name of each person who is designated in writing to pick-up the child, the name and telephone number of each person to be contacted in an emergency involving or impacting the child, authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence, the names of the child's preferred hospital and family physician or contact telephone numbers for the child's preferred hospital and family physician.

Written Documentation

Not In Compliance

1260 - Evacuation Plan

Not In Compliance

922 KAR 2:090. Section 5. Evacuation Plan.

(1) A licensed child-care center shall have a written evacuation plan in the event of a fire, natural disaster, or other threatening situation that may pose a health or safety hazard for a child in care in accordance with KRS 199.895 and 42 U.S.C. 9858c(c)(2)(U).

Findings:

General: Based on review of documentation, the surveyor found that the written evacuation plan did not contain a date; therefore, the plan needs to be updated. During interview, staff-in-charge stated that she was unsure if the written evacuation plan was updated.

1270 - Daily Attendance Records

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(c) Daily attendance records documenting the arrival and departure time of each child, including records that are required in accordance with 922 KAR 2:160, Section 13, if a child receives services from the child-care center through the Child Care Assistance Program;

Findings:

General: Based on interview, the surveyor found that the daily attendance records documenting the arrival and departure time of each child were not completed for 09/07/2021. Through interview with staff-in-charge, the surveyor learned that the attendance records had not been completed and were not available for review.

1280 - Professional Development

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(f) A written annual plan for child-care staff professional development;

Findings:

General: Based on review of documentation, the surveyor found a staff's (DOH: 10/14/19) file contained a professional development plan that was not dated; therefore, the could not verify if the plan was completed annually.

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(h) A written record of quarterly practiced earthquake drills and tornado drills detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;

(i) A written record of practiced fire drills conducted monthly detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;

Findings:

General: Based on review of documentation, the surveyor found the following:

1. No documentation of fire drills conducted during the months of January, February, March, April, and May of 2021. During interview, staff-in-charge stated that she was unsure if the fire drills were completed.
2. No documentation of earthquake and tornado drills conducted during the first quarter of 2021. During interview, staff-in-charge stated that she was unsure if an earthquake and tornado drill was conducted in the first quarter of 2021.

Posted Documentation

In Compliance

Animals

In Compliance

Signature of Provider/Representative

Title

Date