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Inspection Report

Provider Name: Auntie's Academy	Provider Information	CLR No: L383530
Provider Address: 1394 West Lexington Ave., Winchester, KY, 40391	Provider Type: LICENSED TYPE I	Capacity: 98
Owner(s): Auntie's Academy, Llc		Director(s): Gay, Felicia Shacole

Inspection Type: Renewal Application	Inspection Information	Inspection No: 318423
Date Initiated: 11/05/2021 9:43 AM	Date Concluded: 11/05/2021 12:20 PM	
	No. of Children Present: 39	

Inspection Report	
Background Checks	In Compliance
Supervision	In Compliance
Staffing Requirements	In Compliance
General Administration	Not In Compliance
225 - Licensee Responsibility	Not In Compliance

922 KAR 2:090. Section 8. General.

(1) A licensee shall:

- (a) Be responsible for the operation of the child-care center pursuant to this administrative regulation, 922 KAR 2:120, and 922 KAR 2:280; and**
- (b) Protect and assure the health, safety, and comfort of each child.**

Findings:

General: Based on observation and interview, this regulatory requirement was not met. The following was found:

1. The surveyor observed two uncovered electrical outlets in the book area in the two year old classroom and another uncovered outlet next to a stack of cots in the same classroom.
2. Clear tape had been used to covered an electrical outlet in the preschool classroom. The surveyor observed a child's nap cot positioned beside the outlet during the inspection.
3. A large plastic climbing structure with two slides (approximately three feet tall) was observed in the gross motor room. The base of both slides were positioned approximately nine inches from the wall with windows and children were observed playing on the structure during the visit. This created a safety risk of the children hitting the wall after sliding down the slide.

Director Requirements	In Compliance
Employee Records	Not In Compliance
400 - Educational Requirements	Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

- (a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:**
 1. High school diploma;
 2. GED or qualifying documentation from a comparable educational entity; or
 3. Commonwealth Child Care Credential as described in 922 KAR 2:250;

Findings:

General: Based on review of documentation, two staff (hire dates: 10/5/21 and 10/18/21) did not have educational documentation on file.

405 - TB Verification

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

(b) Shall provide, prior to employment and every two (2) years thereafter:

- 1. A statement from a health professional that the individual is free of active tuberculosis; or**
- 2. A copy of negative tuberculin results.**

Findings:

General: Based on review of documentation, this regulatory requirement was not met. The following was found:

1. Two staff files (hire dates: 1/15/20 and 7/26/21) contained results of negative T.B. tests that are no longer current. The tests were dated 8/27/19 and 9/5/19, which exceeds the two year time frame.
2. Two staff (hire dates: 10/5/21 and 10/18/21) did not have T.B. documentation on file.

450 - Driver Requirements

Not In Compliance

922 KAR 2:120. Section 14. Transportation.

(18) A driver of a vehicle transporting a child for a center shall:

(a) Be at least twenty-one (21) years old;

(b) Complete:

1. The background checks as described in 922 KAR 2:280; and

2. An annual check of the:

- a. Kentucky driver history records in accordance with KRS 186.018; or**
- b. Driver history records through the state transportation agency that issued the driver's license;**
- (c) Hold a current driver's license which has not been suspended or revoked during the last five (5) years; and**
- (d) Not caused an accident that resulted in the death of a person.**

Findings:

General: Based on review of documentation and interview, this regulatory requirement was not met. A review of the transportation documentation on file found that one staff person identified as a van driver did not have a driver history record through the state transportation agency that issued the driver's license on file. The staff person in charge stated that new driver history records were run on both drivers in June 2021; however, she forgot to print the results to maintain on file.

Programming

Not In Compliance

540 - Bedding/Toys in Crib

Not In Compliance

922 KAR 2:120. Section 6. Sleeping and Napping Requirements.

(3) Rest time shall occur in an adequate space according to the child's age as follows:

(a) For an infant:

3. No bedding other than a clean tight-fitted sheet; and

4. No toys or other items except the infant's pacifier;

Findings:

General: Based on observation, this regulatory requirement was not met. Upon arrival (9:43AM), the surveyor observed an infant lying a crib with a Boppy pillow and a large fluffy blanket. The staff person in charge identified the child as being four/five-months-old. It is important to note that all of the cribs present in the infant classroom contained a Boppy pillow and a large fluffy blanket; however, no other children were observed lying in a crib at the time.

Premises

Not In Compliance

585 - Premises Requirements

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

(1) The premises shall be:

(a) Suitable for the purpose intended;

(b) Kept clean and in good repair;

Findings:

General: Based on observation, this regulatory requirement was not met. The following was found:

1. During a tour of the toddler classroom, the surveyor observed a metal floor vent that was in disrepair. The floor vent was bent upward and did not fit flush with the floor.
2. A piece of the wooden edging placed along the floor at the bottom of each wall in the school age classroom had come loose and was observed lying on the floor in the children's play area.

605 - Building Requirements

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

(5) The building shall be constructed to ensure the:

(a) Building is:

1. Dry;
2. Ventilated; and
3. Well lit, including clean light fixtures that are:
 - a. In good repair in all areas; and
 - b. Shielded or have shatter-proof bulbs installed; and

(b) Following are protected:

1. Windows;
2. Doors;
3. Stoves;
4. Heaters;
5. Furnaces;
6. Pipes; and
7. Stairs.

Findings:

General: Based on observation, this regulatory requirement was not met. The ceiling light in the preschool classroom bathroom had two exposed light bulbs. These bulbs were not shielded and were not shatter proof.

625 - Floors, Walls, Ceilings

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

(9) Floors, walls, and ceilings shall be smooth, in good repair, and constructed to be easily cleaned.

Findings:

General: Based on observation, this regulatory requirement was not met. The surveyor observed numerous areas of chipped paint and divots on the green walls in the two-year-old classroom.

Hygienic Practices

Not In Compliance

700 - Child Personal Care/Handwashing

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

(4) A child shall:

- (a) Be helped with personal care and cleanliness based upon his or her developmental skills;
- (b) Except as established in paragraph (c) of this subsection, wash his or her hands with liquid soap and warm running water:
 1. a. Upon arrival at the center; or
 - b. Within thirty (30) minutes of arrival for school-age children;
 2. Before and after eating or handling food;
 3. After toileting or diaper change;
 4. After handling animals;
 5. After touching an item or an area of the body soiled with body fluids or wastes; and
 6. After outdoor or indoor play time; and
- (c) Use hand sanitizer or hand-sanitizing wipes if liquid soap and warm running water are not available in accordance with paragraph (b) of this subsection. The child shall wash the child's hands as soon as practicable once liquid soap and warm running water are available.

Findings:

General: Based on observation, this regulatory requirement was not met. The surveyor observed four children in the one year old classroom get their diaper changed and return to the group without washing their hands with liquid soap and running water.

705 - Staff Hygiene/Handwashing

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

(5) Staff shall:

- (a) Maintain personal cleanliness;**
- (b) Conform to hygienic practices while on duty;**
- (c) Except as established in paragraph (d) of this subsection, wash their hands with liquid soap and running water:**
 - 1. Upon arrival at the center;**
 - 2. After toileting or assisting a child in toileting;**
 - 3. Before and after diapering each child;**
 - 4. After wiping or blowing a child's or own nose;**
 - 5. After handling animals;**
 - 6. After caring for a sick child;**
 - 7. Before and after feeding a child or eating;**
 - 8. Before dispensing medication;**
 - 9. After smoking or vaping; and**
 - 10. If possible, before administering first aid; and**
- (d) Use hand sanitizer or hand-sanitizing wipes if liquid soap and warm running water are not available in accordance with paragraph (c) of this subsection. The staff shall wash the staff's hands as soon as practicable once liquid soap and warm running water are available.**

Findings:

General: Based on observation, this regulatory requirement was not met. The surveyor observed the staff person in the toddler classroom diaper four children. The staff person wore a latex glove on one hand and changed the glove out between each child, but did not wash her hands with liquid soap and running water between diapering each child.

First Aid/Medication

In Compliance

Outdoor Play Area

In Compliance

Equipment

Not In Compliance

860 - Toys/Furniture

Not In Compliance

922 KAR 2:120. Section 13. Toys and Furnishings.

(1) All toys and furniture contacted by a child shall be:

- (a) Kept clean and in good repair; and**
- (b) Free of peeling, flaking, or chalking paint.**

Findings:

General: Based on observation, this regulatory requirement was not met. Contact paper had been used to secure pictures to the classroom table top surface in the toddler classroom. The contact paper was coming loose and curling up at the end of the table.

865 - Indoor/Outdoor Equipment

Not In Compliance

922 KAR 2:120. Section 13. Toys and Furnishings.

(2) Indoor and outdoor equipment shall:

- (a) Be clean, safe, and in good repair;**
- (b) Meet the physical, developmental needs, and interests of children of different age groups;**
- (c) Be free from sharp points or corners, splinters, protruding nails or bolts, loose or rusty parts, hazardous small parts, lead-based paint, poisonous material, and flaking or chalking paint; and**
- (d) Be designed to guard against entrapment or situations that may cause strangulation.**

Findings:

General: Based on observation, this regulatory requirement was not met. A smoke detector was heard beeping throughout the lower level of the building during the entire survey. This usually indicates a low battery or a malfunction of the device.

870 - Toys

Not In Compliance

922 KAR 2:120. Section 13. Toys and Furnishings.

(3) Toys shall be:

- (a) Used according to the manufacturer's safety specifications;**
- (b) Durable; and**
- (c) Without sharp points or edges.**

Findings:

General: Based on observation and interview, this regulatory requirement was not met. The surveyor observed a Little Tikes mini trampoline with a handrail on the playground. The mini trampoline was positioned on the blacktop, next to a storage shed and was accessible to the children. A review of the safety information attached to the piece of equipment found the following, "Only for domestic use", "The toy is intended for indoor use" and "Not recommended for children under 3 years of age and over 6 years of age". Therefore, the equipment is not being used according to the manufactures safety specifications.

The staff person in charge stated during interview that she had removed this piece of equipment from the storage shed to take it home with her; however, it would not fit in her car.

Inspection Report**Transportation****Not In Compliance****890 - Requirements for Transportation Services****Not In Compliance****922 KAR 2:120. Section 14. Transportation.****(2) A center providing or arranging transportation service shall:**

- (a) Be licensed and approved by the cabinet or its designee prior to transporting a child;
- (b) Have a written plan that details the type of transportation, staff schedule, transportation schedule, and transportation route; and
- (c) Have written policies and procedures, including emergency procedures practiced monthly by staff who transports children.

Findings:

General: Based on review of documentation, this regulatory requirement was not met. A review of the emergency drill log in the transportation binder found that the facility did not practice monthly emergency procedures during the months of August, September, or October 2021.

895 - Transportation Notification/Type and Vehicle**Not In Compliance****922 KAR 2:120. Section 14. Transportation.****(3) Prior to transporting a child, a center providing transportation services of a child shall notify the cabinet or its designee in writing of the:**

- (a) Type of transportation offered;
- (b) Type of vehicle used for transportation;

Findings:

General: Based on review of documentation, observation, and interview, the facility failed to notify the cabinet in writing of the type of vehicle used for transportation. One vehicle (15 passenger van) was identified as the vehicle used to transport children by the facility during the 11/5/20 renewal inspection. However, an interview with the staff person in charge during the inspection revealed that the facility purchased a 2012 mini bus a few months ago and occasionally uses it to transport children from three local schools to the facility. The staff person in charge stated that she thought another member of management had sent an email to the DRCC office as notification of the addition of the vehicle; however, this documentation could not be located during the visit. A review of DRCC records confirmed that the 2012 mini bus had not been approved for transporting children.

935 - Vehicle Inspection Documentation**Not In Compliance****922 KAR 2:120. Section 14. Transportation.****(6) A vehicle used to transport children shall comply with the requirements established in paragraphs (a) through (d) of this subsection.**

- (a) For a twelve (12) or more passenger vehicle, the child-care center shall maintain a current certification of inspection from the Transportation Cabinet.

Findings:

General: Based on review of documentation and interview, this regulatory requirement was not met. At the time of the survey it was found that Transportation Cabinet vehicle documentation could not be provided for the facility's 2012 Chevy Mini bus or the 2016 Chevy Express 15 passenger van. The staff person in charge stated that these vehicles are used to transport children on a regular basis during the school year.

1005 - Children's Transportation Records**Not In Compliance****922 KAR 2:120. Section 14. Transportation.****(17) Transportation services provided shall:**

- (a) Be recorded in writing and include:
 - 1. The first and last name of the child transported; and
 - 2. The time each child gets on and the time each child gets off;
- (b) Be completed by a staff member other than the driver; and
- (c) Be kept for five (5) years.

Findings:

General: Based on review of documentation, this regulatory requirement was not met. The facility failed to document the first and last name of each child transported on the van, as well as the times on and off for each child during the week of November 1 - 4, 2021. The staff person in charge stated that children were picked up from three local schools on these days and transported to the facility.

Kitchen Requirements**In Compliance****Food Service****In Compliance****Meal Planning/Center Provides Meals****In Compliance****Meal Planning/Center Does Not Provide Meals****In Compliance****Children's Records****Not In Compliance****1245 - Immunization****Not In Compliance****922 KAR 2:090. Section 9. Records.****(1) A child-care center shall maintain:**

- (a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;

Findings:

General: Based on review of documentation, this regulatory requirement was not met. The following was found:

- 1. Two children had immunization certificates that were no longer current as of 11/1/21 and 10/15/21.
- 2. Two children did not have an immunization certificate on file.

1250 - Enrollment Information

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(b) A written record for each child:

- 1. Completed and signed by the child's parent;**
- 2. Retained on file on the first day the child attends the child-care center; and**
- 3. To contain:**
 - a. Identifying information about the child, which includes, at a minimum, the child's name, address, and date of birth;**
 - b. Contact information to enable a person in charge to contact the child's:**
 - (i) Parent at the parent's home or place of employment;**
 - (ii) Family physician; and**
 - (iii) Preferred hospital;**
 - c. The name of each person who is designated in writing to pick-up the child;**
 - d. The child's general health status and medical history including, if applicable:**
 - (i) Allergies;**
 - (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and**
 - (iii) Permission from the parent for third-party professional services in the child-care center;**
 - e. The name and phone number of each person to be contacted in an emergency involving or impacting the child;**
 - f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;**

Findings:

General: Based on review of documentation, one child's file/enrollment documentation did not contain information for the preferred hospital.

Written Documentation

In Compliance

Posted Documentation

Not In Compliance

1310 - Posting Requirements

Not In Compliance

922 KAR 2:090. Section 8. General.

(6) In addition to the posting requirement of KRS 199.898(3), a child-care center shall post the following in a conspicuous place and make available for public inspection:

- (a) The provider's preliminary or regular license;**
- (b) Each statement of deficiency and civil penalty notice issued by the cabinet during the current licensure year;**
- (c) Each plan of correction submitted by the child-care center to the cabinet during the current licensure year;**
- (d) Information on the Kentucky Consumer Product Safety Program and the program's Web site as specified in KRS 199.897;**
- (e) A description of services provided by the child-care center, including:**
 - 1. Current rates for child care; and**
 - 2. Each service charged separately and in addition to the basic rate for child care;**
- (f) Minimum staff-to-child ratios and group size established in 922 KAR 2:120; and**
- (g) Daily planned program.**

Findings:

General: Based on review of documentation, this regulatory requirement was not met. A letter from the DRCC office stating the facility had submitted an acceptable plan of correction and would be recommended for relicensure was posted; however, the statement of deficiency and plan of correction from the 11/5/20 renewal inspection and a 1/26/21 complaint investigation was not posted.

1325 - Daily Activities

Not In Compliance

922 KAR 2:090. Section 10. Director Requirements and Responsibilities.

(1) A director shall:

- (h) Post a schedule of daily activities, to include dates and times of activities to be conducted with the children in each classroom;**

Findings:

General: Based on observation, this regulatory requirement was not met. The school age classroom did not have a schedule of daily activities posted.

Animals

In Compliance

Signature of Provider/Representative

Title

Date