CABINET FOR HEALTH AND FAMILY SERVICES
OFFICE OF INSPECTOR GENERAL

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Inspection Report

Provider Information

Provider Name: Academy of Great Beginnings
Provider Type: LICENSED TYPE I
CLR No: L383522
Provider Address: 101 Depot Street, Nicholasville, KY, 40356
Capacity: 61(Bldg 1: 88)
Owner(s): Academy Of Great Beginnings
Director(s): Lay, Gena Lynn

Inspection Information

Inspection Type: Renewal Application
Inspection No: 245580
Date Initiated: 08/20/2018 10:15 AM
Date Concluded: 08/20/2018 2:15 PM
No. of Children Present: 35

Inspection Report

Background Checks	**In Compliance**
Supervision	**In Compliance**

Staffing Requirements — Not In Compliance

125 - Ratios and Group Size — Not In Compliance

922 KAR 2:120. Section 2. Child Care Services.
(2) For an operating child-care center, minimum staff-to-child ratios and group size shall be maintained as established in the table established in this subsection.
Age of Children Ratio Maximum Group Size*
Infant
1 staff for 5 children 10
Toddler 12 to 24 months
1 staff for 6 children 12
Toddler 24 to 36 months
1 staff for 10 children 20
Preschool-age 3 to 4 years
1 staff for 12 children 24
Preschool-age 4 to 5 years
1 staff for 14 children 28
School-age 5 to 7 years
1 staff for 15 children 30
School-age 7 and older
1 staff for 25 children
(for before and after school) 30
1 staff for 20 children
(full day of care) 30
***Maximum Group Size shall be applicable only to Type I child-care centers.**
(b) The age of the youngest child in the group shall determine the:
1. Staff-to-child ratio; and
2. Maximum group size.

Findings:

General: Based on Observation, upon arrival at the facility at approximately 10:15 a.m. seven (7) children ages six (6) weeks to eleven (11) months were observed in the infant room with one (1) staff person. The staff person in the room stated that there was another staff person working with her but she had left the room to use the restroom. The staff person came back and went into the green room (not the infant room) located beside the infant room as another staff person brought in two (2) different children. The seven (7) children that were in the infant room were still being cared for by one (1) staff person as the surveyor went to another part of the facility. At 10:19 a.m. there were seven (7) one-year-old children in the purple room with one (1) staff person. At 10:21 a.m. the surveyor went back to the infant room and found the staff person with three (3) children and the staff person in the room next door with six (6) one-year-old children. The staff person in the infant room stated that four (4) of the children had been moved from her room to the green one-year-old room. It could not be determined as to how long the infant room and the purple one-year-old room were out of the required staff to child ratio prior to the surveyor entering the building.

General Administration — Not In Compliance

155 - Liability Insurance — Not In Compliance

922 KAR 2:090. Section 6. License Issuance.
(8) To qualify for a preliminary license, or maintain a regular license, a child-care center shall:
(d) Provide written proof of liability insurance coverage of at least $100,000 per occurrence;

Findings:

General: Based on Review of Documentation, it was found that the liability insurance presented for review was dated 4/21/17-4/21/18. The staff perosn in charge indicated the policy is current but she did not have the required documentation available for review at the time of the survey.

Director Requirements — Not In Compliance

340 - Staff Meeting — Not In Compliance

922 KAR 2:090. Section 10. Director Requirements and Responsibilities.
(1) A director shall:
(i) Conduct, manage, and document in writing recurring staff meetings;

Findings:

General: Based on Review of Documentation, there was no evidence available at the time of the survey to verify staff meetings have been conducted. The staff person in charge indicated meetings have been conducted but she could not find the documentation.

Employee Records — Not In Compliance

385 - Personnel File — Not In Compliance

922 KAR 2:090. Section 9. Records.
(1) A child-care center shall maintain:
(e) A current personnel file for each child-care center staff person to include:
1. Name, address, date of birth, and date of employment;
2. Proof of educational qualifications;
3. Record of annual performance evaluation;
4. Documentation of compliance with tuberculosis screening in accordance with Section 11(1)(b) of this administrative regulation; and
5. The results of background checks conducted in accordance with 922 KAR 2:280;

Findings:

General: Based on Review of Documentation, there were four (4) staff who did not have a documented date of hire. The staff person in charged acknowledged this information had not been documented.

395 - TB Verification — Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.
(1) Child-care center staff:
(b) Shall provide, prior to employment and every two (2) years thereafter:
1. A statement from a health professional that the individual is free of active tuberculosis; or
2. A copy of negative tuberculin results.

Findings:

General: Based on Review of Documentation, there were two (2) staff with a hire date of 7/11/16. One (1) of these staff had a T.B. skin test read on 5/6/16 and the other staff person had the results of a T.B. skin test read on 11/25/15. These T.B. skin tests exceed the two (2) year time frame. There was another staff person with a hire date of 7/12/18 who did not have evidence of having a T.B. test or a statement from a health professional stating the individual is free from the symptoms of T.B. The staff person in charge stated that the staff person hired 7/12/18 has a T.B. test but she could not find the document at the time of the survey.

410 - Training — Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.
(16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:
(a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;
(b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and
(c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.

Findings:

General: Based on Review of Documentation, and ECE- TRIS records there were two (2) staff with a hire date of 7/11/16 and one (1) staff person with a hire date of 11/14/16 who did not have evidence of having completed fifteen (15) hours of annual training.

Programming — In Compliance

Premises — Not In Compliance

580 - Floors, Walls, Ceilings — Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.
(9) Floors, walls, and ceilings shall be smooth, in good repair, and constructed to be easily cleaned.

Findings:

General: Based on Observation, a bathroom located across from the orange room had an area of the wall behind the toilet and the wall beside the toilet that had exposed drywall. These areas had not been painted.

650 - Toilet — Not In Compliance

922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.
(4) Each toilet shall:
(a) Be kept in clean condition;
(b) Be kept in good repair;
(c) Be in a lighted room; and
(d) Have ventilation to outside air.

Findings:

General: Based on Observation, in the purple one-year-old room the changing table was observed sitting against the bathroom door. It was found that the toilet in the bathroom overflows sometimes. The staff person in charge indicated she is going to get someone to renovate the bathroom.

Hygienic Practices — Not In Compliance

655 - Child Personal Care/Handwashing — Not In Compliance

922 KAR 2:120. Section 3. General Requirements.
(4) A child shall:
(a) Be helped with personal care and cleanliness based upon his or her developmental skills;
(b) Except as established in paragraph (c) of this subsection, wash his or her hands with liquid soap and warm running water:
1. a. Upon arrival at the center; or
b. Within thirty (30) minutes of arrival for school-age children;
2. Before and after eating or handling food;
3. After toileting or diaper change;
4. After handling animals;
5. After touching an item or an area of the body soiled with body fluids or wastes; and
6. After outdoor or indoor play time; and
(c) Use hand sanitizer or hand-sanitizing wipes if liquid soap and warm running water are not available in accordance with paragraph (b) of this subsection. The child shall wash the child's hands as soon as practicable once liquid soap and warm running water are available.

Findings:

General: Based on Observation, a staff person working in the orange room changed a child's diaper on a mat on the floor in front of the bathroom. Once the staff person finished changing the child's diaper she sent the child into the classroom without having the child wash their hands. A staff person in the purple one-year-old room was observed changing a child's diaper. Once the staff person finished changing the child's diaper she took the child off of the changing table and placed the child over the gate and into the play area of the room. The staff person did not wash the child's hands after the diaper change.

660 - Staff Hygiene/Handwashing — Not In Compliance

922 KAR 2:120. Section 3. General Requirements.
(5) Staff shall:
(a) Maintain personal cleanliness;
(b) Conform to hygienic practices while on duty;
(c) Except as established in paragraph (d) of this subsection, wash their hands with liquid soap and running water:
1. Upon arrival at the center;
2. After toileting or assisting a child in toileting;
3. Before and after diapering each child;
4. After wiping or blowing a child's or own nose;
5. After handling animals;
6. After caring for a sick child;
7. Before and after feeding a child or eating;
8. Before dispensing medication;
9. After smoking or vaping; and
10. If possible, before administering first aid; and
(d) Use hand sanitizer or hand-sanitizing wipes if liquid soap and warm running water are not available in accordance with paragraph (c) of this subsection. The staff shall wash the staff's hands as soon as practicable once liquid soap and warm running water are available.

Findings:

General: Based on Observation, a staff person working in the orange room changed a child's diaper on a mat on the floor in front of the bathroom. Once the staff person finished changing the child's diaper she sent the child into the classroom. The staff person then picked hte mat up off the floor and put it against the wall. The staff person then got two (2) children who were in the hallway and escorted them by their wrists into the room. The staff person then started picking toys up off the floor. The staff person did not wash her after diapering the child. A staff person in the purple one-year-old room was observed changing a child's diaper. Once the staff person finished changing the child's diaper she took the child off of the changing table and placed the child over the gate and into the play area of the room. The staff person then opened the gate with her hands and walked into the play area and started picking up toys. The staff person did not wash her hands after she changed the child's diaper.

685 - Diaper Changing Area/Surface — Not In Compliance

922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.
(10) When a child is diapered, the child shall:
(b) Be placed on a surface that is:
1. Clean;
2. Padded;
3. Free of holes, rips, tears, or other damage;
4. Nonabsorbent;
5. Easily cleaned; and
6. Free of any items not used for diaper changing.

Findings:

General: Based on Observation, a staff person working in the orange room changed a child's diaper on a mat on the floor in front of the bathroom. Upon completion the staff person picked the mat up off the floor and put it against the wall. The staff person did not sanitize the mat after she changed the child's diaper. A staff person in the purple one-year-old room was observed changing a child's diaper. Once the staff person finished changing the child's diaper she took the child off of the changing table and placed the child over the gate and into the play area of the room. The staff person then opened the gate with her hands and walked into the play area and started picking up toys. The staff person did not sanitize the mat after she changed the child's diaper. The changing mat in the green one-year-old room was observed to have numerous tears in the vinyl covering. The changing mat in the purple one-year-old room was also observed to have several tears.

710 - Toy Sanitation Procedure **Not In Compliance**

922 KAR 2:120. Section 11. Toys and Furnishings.
(4) A toy or another item that is considered a mouth contact surface by a child not toilet trained shall be sanitized daily by:
(a)1. Scrubbing in warm, soapy water using a brush to reach into crevices;
2. Rinsing in clean water;
3. Submerging in a sanitizing solution for at least two (2) minutes; and
4. Air dried; or
(b) Cleaning in a dishwasher if the toy or other item is dishwasher safe.

Findings:

General: Based on Interview, it was found that toys and other items that are considered mouth contact surfaces in the purple one-year-old room are sprayed daily with bleach water. In the green one-year-old room it was found that these are items are cleaned daily by soaking them in Lysol and then soaking them in hot water. Neither of these processes meet the requirements for sanitizing toys.

First Aid/Medication	**In Compliance**
Outdoor Play Area	**Not In Compliance**

755 - Protective Surface **Not In Compliance**

922 KAR 2:120. Section 4. Premises Requirements.
(21) A protective surface shall:
(a) Be provided for outdoor play equipment used to:
1. Climb;
2. Swing; and
3. Slide; and
(b) Have a fall zone equal to the height of the equipment.

Findings:

General: Based on Observation, it was found that a teeter totter with a red and a yellow horse for seats sits very high off the ground. The only protective surface beneath the piece of equipment was grass. There was a blue and green wooden climbing structure on a playground located beside the building on the left side. There were steps and a slide attached. The structure was sitting on dirt with grass around the edges. Grass is not an approved surface to be used under these items.

Equipment	**Not In Compliance**

820 - Indoor/Outdoor Equipment **Not In Compliance**

922 KAR 2:120. Section 11. Toys and Furnishings.
(2) Indoor and outdoor equipment shall:
(a) Be clean, safe, and in good repair;
(b) Meet the physical, developmental needs, and interests of children of different age groups;
(c) Be free from sharp points or corners, splinters, protruding nails or bolts, loose or rusty parts, hazardous small parts, lead-based paint, poisonous material, and flaking or chalking paint; and
(d) Be designed to guard against entrapment or situations that may cause strangulation.

Findings:

General: Based on Observation, in the purple one-year-old room there were two (2) overhead lights with a plastic cover. One of the covers had a piece broken out of one side.

830 - Children/Staff Seating **Not In Compliance**

922 KAR 2:120. Section 11. Toys and Furnishings.
(5) Tables and chairs shall be of suitable size for children.
(6) Chairs appropriate for staff shall be provided to use when feeding, holding, or playing with a child.

Findings:

General: Based on Observation, there was no adult seating available in the infant room, in the purple one-year-old room and in the green one-year-old room. At the time of the survey the staff persson in the infant rooom was observed feeding an infant their bottle while she stood and held the child.

Transportation	**In Compliance**
Food Service/Food Program	**In Compliance**
Food Service	**In Compliance**
Children's Records	**In Compliance**
Written Documentation	**In Compliance**
Posted Documentation	**Not In Compliance**

1215 - Daily Activities **Not In Compliance**

922 KAR 2:090. Section 10. Director Requirements and Responsibilities.
(1) A director shall:
(h) Post a schedule of daily activities, to include dates and times of activities to be conducted with the children in each classroom;

Findings:

General: Based on Observation, in the green one-year-old room the planned program of activities was titled "All About Me" with activities documented. However, there were no dates as to when these activities would take place.

Animals	**In Compliance**

Signature of Provider/Representative	Title	Date