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**Inspection Report**

<b>Provider Name:</b> Rosie's Playschool Learning Center and Childcare	<b>Provider Information</b> <b>Provider Type:</b> LICENSED TYPE I	<b>CLR No:</b> L383489
<b>Provider Address:</b> 278 Recreation Park Drive, Flemingsburg, KY, 41041		<b>Capacity:</b> 37
<b>Owner(s):</b> Bannister, Rosetta LeAnn		<b>Director(s):</b> Bannister, Rosetta LeAnn

<b>Inspection Type:</b> Renewal Application	<b>Inspection Information</b>	<b>Inspection No:</b> 218050
<b>Date Initiated:</b> 01/19/2017 10:00 AM	<b>Date Concluded:</b> 01/19/2017 11:30 AM	
	<b>No. of Children Present:</b> 15	

Inspection Report	
<b>Supervision</b>	<b>In Compliance</b>
<b>Staffing Requirements</b>	<b>In Compliance</b>
<b>General Administration</b>	<b>Not In Compliance</b>
<b>75 - Liability Insurance</b>	<b>Not In Compliance</b>
<b>922 KAR 2:090. Section 6. License Issuance.</b> <b>(11) To qualify for a preliminary license, or maintain a regular license, a child-care center shall:</b> <b>(d) Provide written proof of liability insurance coverage of at least \$100,000 per occurrence;</b>	
<b>Findings:</b>	
General: Based on Review of Documentation, there was no documentation made available at the time of the survey regarding current liability insurance.	
<b>Director Requirements</b>	<b>Not In Compliance</b>
<b>255 - Staff Meeting</b>	<b>Not In Compliance</b>
<b>922 KAR 2:110. Section 4. Director Requirements and Responsibilities.</b> <b>(1) Effective with the adoption of this administrative regulation, a director shall:</b> <b>(i) Conduct, manage, and document in writing staff meetings;</b>	
<b>Findings:</b>	
General: Based on Review of Documentation, there was no documentation of staff meetings being conducted during the current licensure year presented at the time of the survey.	
<b>260 - Staff Evaluation</b>	<b>Not In Compliance</b>
<b>922 KAR 2:110. Section 4. Director Requirements and Responsibilities.</b> <b>(1) Effective with the adoption of this administrative regulation, a director shall:</b> <b>(j) Assess each staff person's interaction with children in care and classroom performance through an annual written performance evaluation;</b>	
<b>Findings:</b>	
General: Based on Review of Documentation, none of the staff eligible for an annual evaluation had evidence of an evaluation on file in the facility.	

Inspection Report

275 - Caregiver Alone

Not In Compliance

922 KAR 2:110. Section 4. Director Requirements and Responsibilities.

(1) Effective with the adoption of this administrative regulation, a director shall:

(n) Assure that a person acting as a caregiver of a child in care shall not be left alone with a child, if the licensee has not received the results of the background checks as described in Section 3(1)(e)6 of this administrative regulation;

Findings:

General: Based on Review of Documentation, staff person hired 7/5/16 did not have the results of or evidence of the application for a criminal background check on file in the facility. This staff person was observed alone with three (3) children in the Infant classroom while the other teacher in the classroom left to mop up after a sick child in the Preschool classroom.

Employee Records

Not In Compliance

300 - Background checks/left alone

Not In Compliance

922 KAR 2:090. Section 6. License Issuance.

(5) An individual described in subsection (4) of this section shall:

(a) Submit to background checks described in paragraph (b) of this subsection;

(b) May be employed or work with a child on a probationary basis for up to ninety (90) calendar days, pending completion of a:

- 1. Child abuse or neglect check using the central registry in accordance with 922 KAR 1:470;
- 2. Criminal records check required by KRS 199.896(19);
- 3. Criminal records check for any previous state of residence if the person resided outside the state of Kentucky in the last five (5) years; and
- 4. An address check of the Sex Offender Registry; and

(c) Not be left alone in the presence of a child until copies of the background checks in accordance with paragraph (b) of this subsection have been received by the licensee.

Findings:

General: Based on Review of Documentation, staff person hired 7/5/16 did not have the results of or evidence of the application for a criminal background check on file in the facility. This staff person was observed alone with three (3) children in the Infant classroom while the other teacher in the classroom left to mop up after a sick child in the Preschool classroom.

340 - Training

Not In Compliance

922 KAR 2:110. Section 5. Staff Requirements.

(14) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:

- (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;
- (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 1/2) hours of pediatric abusive head trauma training; and
- (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 1/2) hours of pediatric abusive head trauma training completed once every five (5) years.

Findings:

General: Based on Review of Documentation, 1. Staff persons hired 7/5/16 and 5/3/16 did not have evidence of completing the required orientation training in the first three (3) months of employment. A review of ECE-TRIS records supports the information found during the survey. 2. None of the staff employed for more than one (1) year had evidence of fifteen (15) hours of annual training on file for the last full year of employment. A review of ECE-TRIS records supports the information found during the survey. 3. None of the staff employed for more than one (1) year had evidence of completing the Pediatric Abusive Head Trauma training within the first year of employment. A review of ECE-TRIS records supports the information found during the survey.

Programming

In Compliance

Premises

Not In Compliance

460 - Inaccessible Items

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

(7) Except in accordance with subsection (8) of this section, the following shall be inaccessible to a child in care:

- (a) Toxic cleaning supplies, poisons, and insecticides;
- (b) Knives and sharp objects;
- (c) Matches, cigarettes, lighters, and flammable liquids;
- (d) Plastic bags;
- (e) Litter and rubbish;
- (f) Bar soap; and
- (g) Personal belongings and medications of staff.

Findings:

General: Based on Observation, there was a pair of adult scissors and several plastic grocery/trash bags being stored in bins on a lower shelf in the hallway. These items were accessible to the children in care.

**Inspection Report**

**510 - Pest Control**

**Not In Compliance**

**922 KAR 2:120. Section 4. Premises Requirements.**

- (7) Measures shall be utilized to control the presence of:**
  - (a) Rodents;**
  - (b) Flies;**
  - (c) Roaches; and**
  - (d) Other vermin.**

**Findings:**

General: Based on Observation, there was a roach crawling along the counter in the facility's kitchen. In an interview with the Director it was stated that she and the owner of the building had been working on eradicating the insects from the building, however; there were packages of food sitting on the counter that had been opened and not properly re-sealed.

**520 - Floors, Walls, Ceilings**

**Not In Compliance**

**922 KAR 2:120. Section 4. Premises Requirements.**

- (9) Floors, walls, and ceilings shall be smooth, in good repair, and constructed to be easily cleaned.**

**Findings:**

General: Based on Observation, there were numerous small nail holes and areas of chipping paint on the walls throughout the facility.

**585 - Sink**

**Not In Compliance**

**922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.**

- (3) A sink shall be:**
  - (a) Located in or immediately adjacent to toilet rooms;**
  - (b) Equipped with hot and cold running water that allows for hand washing;**
  - (c) Equipped with hot water at a minimum temperature of ninety (90) degrees Fahrenheit and a maximum of 120 degrees Fahrenheit;**
  - (d) Equipped with liquid soap;**
  - (e) Equipped with hand-drying blower or single use disposable hand drying material;**
  - (f) Equipped with an easily cleanable waste receptacle; and**
  - (g) Immediately adjacent to a changing area used for infants and toddlers.**

**Findings:**

General: Based on Observation, the water temperature at the sink in the bathroom in the Toddler classroom registered sixty (60) degrees Fahrenheit when measured with a calibrated thermometer. The children use this sink for hand washing.

**Hygienic Practices**

**In Compliance**

**First Aid/Medication**

**Not In Compliance**

**670 - Medication**

**Not In Compliance**

**922 KAR 2:120. Section 7. First Aid and Medicine.**

- (6) Medication, including refrigerated medication, shall be:**
  - (a) Stored in a separate and locked place, out of the reach of a child;**
  - (b) Kept in the original bottle; and**
  - (c) Properly labeled.**
- (7) Medication shall not be given to a child if the expiration date on the bottle has passed.**

**Findings:**

General: Based on Observation, 1. A diaper bag that was sitting on the floor in the main hallway contained a bottle of Cough & Cold medication. The bottle was not labeled with a child's name and was accessible to the children in care. 2. There was a tube of A & D ointment being stored in a lower drawer in the Toddler classroom. The ointment was not labeled with a child's name and was accessible to the children in care. 3. There was a bottle of Equate Children's Pain & Fever medication and package of adult Mucinex tablets sitting on the counter in the kitchen. The medications were not labeled with a child's name. The door to the kitchen was propped open making the medications accessible.

**Outdoor Play Area**

**Not In Compliance**

**690 - Playground Conditions**

**Not In Compliance**

**922 KAR 2:120. Section 4. Premises Requirements.**

- (20) An outdoor play area shall be:**
  - (d) Safe from foreseeable hazard;**
  - (e) Well drained;**
  - (f) Well maintained;**
  - (g) In good repair; and**
  - (h) Visible to staff at all times.**

**Findings:**

General: Based on Observation, the black garden liner was coming up through the mulch in several places on the playground. The liner poses a tripping hazard.

**Equipment**

**In Compliance**

**Transportation**

**Not Applicable**

**Inspection Report**

**Food Service**

**Not In Compliance**

**960 - Bottles**

**Not In Compliance**

**922 KAR 2:120. Section 8. Kitchen Requirements.**

- (9) Bottles shall be:**
- (a) Individually labeled;**
  - (b) Promptly refrigerated;**
  - (c) Covered when not in use; and**
  - (d) Consumed within one (1) hour of being heated or removed from the refrigerator.**

**Findings:**

General: Based on Observation, there was one (1) uncovered bottle of formula found in the refrigerator in the Infant classroom.

**1050 - Menu**

**Not In Compliance**

**922 KAR 2:120. Section 9. Food and Meal Requirements.**

- (16) A weekly menu shall be:**
- (a) Prepared;**
  - (b) Dated;**
  - (c) Posted in advance in a conspicuous place;**
  - (d) Kept on file for thirty (30) days; and**
  - (e) Amended in writing with any substitutions on the day the meal is served.**

**Findings:**

General: Based on Review of Documentation, there was nothing listed on the weekly menu for Thursday or Friday. In an interview with the Director it was stated that the menu is filled in day-by-day when the cook decides what he is going to fix for the children each day.

**Children's Records**

**In Compliance**

**Written Documentation**

**Not In Compliance**

**1105 - Professional Development**

**Not In Compliance**

**922 KAR 2:110. Section 3. Records.**

- (1) A child-care center shall maintain:**
- (f) A written annual plan for child-care staff professional development;**

**Findings:**

General: Based on Review of Documentation, none of the staff eligible for an annual professional development plan had evidence of a plan on file in the facility.

**1115 - Earthquake/Tornado Drills**

**Not In Compliance**

**922 KAR 2:110. Section 3. Records.**

- (1) A child-care center shall maintain:**
- (h) A written record of quarterly practiced earthquake drills and tornado drills detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;**

**Findings:**

General: Based on Review of Documentation, the recorded drills did not include the names of the children that participated in the drills.

**1120 - Fire Drills**

**Not In Compliance**

**922 KAR 2:110. Section 3. Records.**

- (1) A child-care center shall maintain:**
- (i) A written record of practiced fire drills conducted monthly detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;**

**Findings:**

General: Based on Review of Documentation, the recorded drills did not include the names of the children that participated in the drills.

**1135 - Orientation Procedure**

**Not In Compliance**

**922 KAR 2:120. Section 2. Child Care Services.**

- (3)(b) The program shall include:**
- 2. Written policy that specifies that the procedures that were taught at the orientation training shall be implemented by each child-care center staff member.**

**Findings:**

General: Based on Review of Documentation, there was no evidence of an orientation procedure for staff on file in the facility.

**Posted Documentation**

**Not In Compliance**

**1165 - Daily Activities**

**Not In Compliance**

**922 KAR 2:110. Section 4. Director Requirements and Responsibilities.**

- (1) Effective with the adoption of this administrative regulation, a director shall:**
- (h) Post a schedule of daily activities, to include dates and times of activities to be conducted with the children in each classroom;**

**Findings:**

General: Based on Observation, a current schedule of daily activities, including lists and dates of activities to be conducted with the children was not posted in the Infant, Toddler and School-age classrooms.

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1170 - Diapering/Handwashing

Not In Compliance

922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.  
(9) The proper methods of diapering and hand-washing shall be posted at each diaper changing area.

Findings:

General: Based on Observation, the proper methods of diapering and hand washing were not posted in the changing area in the Toddler classroom.

Animals

Not Applicable

Signature of Provider/Representative

Title

Date