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**Inspection Report**

<b>Provider Name:</b> Lexington Friends Preschool, Inc.	<b>Provider Information</b>	<b>CLR No:</b> L383451
<b>Provider Address:</b> 649 Price Avenue, Lexington, KY, 40508	<b>Provider Type:</b> LICENSED TYPE I	<b>Capacity:</b> 49
<b>Owner(s):</b> Lexington Friends Preschool, Inc.		<b>Director(s):</b> Johnson, Anne Chapin

<b>Inspection Type:</b> Renewal Application	<b>Inspection Information</b>	<b>Inspection No:</b> 246652
<b>Date Initiated:</b> 10/08/2018 9:20 AM	<b>Date Concluded:</b> 10/08/2018 12:40 PM	
	<b>No. of Children Present:</b> 31	

Inspection Report	
<b>Background Checks</b>	<b>In Compliance</b>
<b>Supervision</b>	<b>In Compliance</b>
<b>Staffing Requirements</b>	<b>In Compliance</b>
<b>General Administration</b>	<b>Not In Compliance</b>

**295 - Construction/Renovation Notification** **Not In Compliance**

**922 KAR 2:120. Section 4. Premises Requirements.**  
**(19) The Department of Housing, Buildings and Construction, State Fire Marshal's Office, and cabinet shall be contacted concerning a planned new building, addition, or major renovation prior to construction.**

**Findings:**

General: Based on Observation, at the time of the survey an opening (doorway) into another classroom was observed. An interview with the staff person in charge found that over the summer the doorway had been made from an existing wall. The doorway leads to another classroom. Further interview found that this change to the structure of the wall had not been reported to the Division of Regulated Child Care.

**Director Requirements** **Not In Compliance**

**350 - Health, Safety, Comfort** **Not In Compliance**

**922 KAR 2:090. Section 10. Director Requirements and Responsibilities.**  
**(1) A director shall:**  
**(l) Assure the health, safety, and comfort of each child;**

**Findings:**

General: Based on observation in the Dandelion Room an electrical cord connected to a light table and an electrical cord connected to a room diffuser were observed plugged into an outlet located under the window. The diffuser was sitting in the window. The cords hung loosely from the wall. Part of the cord from the light table was observed lying on the ground. A child-size stool straddled the cord. Children were observed sitting on the stool and standing around the light table. The cords were accessible to the children and caused a tripping hazard due to their location.

**Inspection Report**

**Employee Records**

**Not In Compliance**

**390 - Educational Requirements**

**Not In Compliance**

**922 KAR 2:090. Section 11. Staff Requirements.**

**(1) Child-care center staff:**

- (a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:**
  - 1. High school diploma;**
  - 2. GED or qualifying documentation from a comparable educational entity; or**
  - 3. Commonwealth Child Care Credential as described in 922 KAR 2:250;**

**Findings:**

General: Based on review of documentation, there were three (3) staff (hire dates: 9/28/18, 9/25/18 and 9/4/18) who did not have a high school diploma, GED or other qualifying documentation on file at the time of the survey.

**410 - Training**

**Not In Compliance**

**922 KAR 2:090. Section 11. Staff Requirements.**

**(16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:**

- (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;**
- (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and**
- (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.**

**Findings:**

General: Based on review of documentation and ECE-TRIS records there was one (1) staff person hired 1/15/18 who did not complete the required Orientation training until 6/8/13. This exceeds the ninety-day time frame. There were two (2) staff (hire dates: 8/10/15, 8/12/16) who did not have evidence of completing fifteen (15) hours of training for the 2017-2018 training year. There were two (2) staff with the hire date of 8/1/17 who did not have evidence of completing fifteen (15) hours of training for the 2017-2018 training year. In addition, a staff person hired 8/10/15 had completed the required pediatric abusive head trauma training on 6/4/12. This staff person has not renewed this training as of the date of this survey.

**Programming**

**In Compliance**

**Premises**

**In Compliance**

**Hygienic Practices**

**In Compliance**

**First Aid/Medication**

**In Compliance**

**Outdoor Play Area**

**Not In Compliance**

**755 - Protective Surface**

**Not In Compliance**

**922 KAR 2:120. Section 4. Premises Requirements.**

**(21) A protective surface shall:**

- (a) Be provided for outdoor play equipment used to:**
  - 1. Climb;**
  - 2. Swing; and**
  - 3. Slide; and**
- (b) Have a fall zone equal to the height of the equipment.**

**Findings:**

General: Based on observation in the outdoor play area there were two (2) large and tall tree stumps and three (3) large tree trunks lying on their side. An interview with the staff person in charge found that the stumps and the logs are used for the children to sit and climb on. The mulch around these areas was sparse. Dirt and grass was visible in many areas around the logs and stumps. In the same area there was a tree with a knotted rope attached to the bottom branch. A child sized chair was observed sitting beside the rope under the tree. The staff person in charge stated the children are allowed to climb the rope and that the chair is used for them to stand on to help them get on the rope. The mulch under the tree and rope was sparse. There were many areas of bare dirt visible.

**Equipment**

**Not In Compliance**

**820 - Indoor/Outdoor Equipment**

**Not In Compliance**

**922 KAR 2:120. Section 11. Toys and Furnishings.**

**(2) Indoor and outdoor equipment shall:**

- (a) Be clean, safe, and in good repair;**
- (b) Meet the physical, developmental needs, and interests of children of different age groups;**
- (c) Be free from sharp points or corners, splinters, protruding nails or bolts, loose or rusty parts, hazardous small parts, lead-based paint, poisonous material, and flaking or chalking paint; and**
- (d) Be designed to guard against entrapment or situations that may cause strangulation.**

**Findings:**

General: Based on observation there was a sensory table located in the outdoor garden area used by the children. The basin of the sensory table was cracked in one (1) corner. There were other areas that appeared to have been repaired with purple and white Duct tape. A child sized watering can and a puddle of water with what appeared to be a small amount of sand were also observed in the basin. In the large outdoor area used for gross motor play there was a large wooden spool shaped object sitting on it's end forming a table with child sized chair sitting around the outside edge. The wood surface of the spool was rotted and splintered.

**Transportation**

**Not Applicable**

**Food Service/Food Program**

**In Compliance**

**Inspection Report**

**Food Service**

**Not In Compliance**

**1025 - Refrigerator**

**Not In Compliance**

**922 KAR 2:120. Section 8. Kitchen Requirements.**

- (4) A cold-storage facility used for storage of perishable food in a nonfrozen state shall:**
  - (a) Have an indicating thermometer or other appropriate temperature measuring device;**
  - (b) Be in a safe environment for preservation; and**
  - (c) Be forty (40) degrees Fahrenheit or below.**

**Findings:**

General: Based on observation the refrigerator in the kitchen and in the Lavender room did not have an indicating temperature thermometers. Both refrigerators are used to store food served to the children.

**1070 - Food Protected From Contamination**

**Not In Compliance**

**922 KAR 2:120. Section 9. Food and Meal Requirements.**

- (1) Food shall be:**
  - (h) Protected against contamination from:**
    - 1. Dust;**
    - 2. Flies;**
    - 3. Rodents and other vermin;**
    - 4. Unclean utensils and work surfaces;**
    - 5. Unnecessary handling;**
    - 6. Coughs and sneezes;**
    - 7. Cuts in skin;**
    - 8. Communicable disease;**
    - 9. Flooding;**
    - 10. Drainage; and**
    - 11. Overhead leakage.**

**Findings:**

General: Based on observation an uncovered stick of butter was observed sitting on a plate in the refrigerator located in the kitchen. In the refrigerator located in the Lavender Room a bowl of sliced red peppers and a bowl of sliced avocados was observed sitting in uncovered bowls on the bottom shelf. The items were not protected from contamination.

**Children's Records**

**Not In Compliance**

**1135 - Immunization**

**Not In Compliance**

**922 KAR 2:090. Section 9. Records.**

- (1) A child-care center shall maintain:**
  - (a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;**

**Findings:**

General: Based on review of documentation, out of ten (10) children's records reviewed there was one (1) child enrolled 8/16/18 who's immunization record was no longer current.

**1140 - Enrollment Information**

**Not In Compliance**

**922 KAR 2:090. Section 9. Records.**

- (1) A child-care center shall maintain:**
  - (b) A written record for each child:**
    - 1. Completed and signed by the child's parent;**
    - 2. Retained on file on the first day the child attends the child-care center; and**
    - 3. To contain:**
      - a. Identifying information about the child, which includes, at a minimum, the child's name, address, and date of birth;**
      - b. Contact information to enable a person in charge to contact the child's:**
        - (i) Parent at the parent's home or place of employment;**
        - (ii) Family physician; and**
        - (iii) Preferred hospital;**
      - c. The name of each person who is designated in writing to pick-up the child;**
      - d. The child's general health status and medical history including, if applicable:**
        - (i) Allergies;**
        - (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and**
        - (iii) Permission from the parent for third-party professional services in the child-care center;**
      - e. The name and phone number of each person to be contacted in an emergency involving or impacting the child;**
      - f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;**

**Findings:**

General: Based on review of documentation, there was one child enrolled on 8/16/18 who's enrollment information did not include a preferred hospital. The word "closest" had been put down instead of the name of a hospital.

**Inspection Report**

**Written Documentation**

**Not In Compliance**

**1155 - Policies and Procedures**

**Not In Compliance**

**922 KAR 2:090. Section 8. General.**

**(4) Program policies and procedures shall:**

**(a) Be in writing; and**

**(b) Include:**

**1. Staff policies;**

**2. Job descriptions;**

**3. An organization chart;**

**4. Chain of command; and**

**5. Other procedures necessary to ensure implementation of:**

**a. KRS 199.898, Rights for children in child-care programs and their parents, custodians, or guardians - posting and distribution requirements;**

**b. 922 KAR 2:120, Child-care center health and safety standards;**

**c. 922 KAR 2:280, Background checks for child care staff members, reporting requirements, and appeals; and**

**d. This administrative regulation.**

**Findings:**

General: Based on review of documentation, it was found that the facility did not have a written policy for reporting suspected abuse and neglect.

**1175 - Earthquake/Tornado/Fire Drills**

**Not In Compliance**

**922 KAR 2:090. Section 9. Records.**

**(1) A child-care center shall maintain:**

**(h) A written record of quarterly practiced earthquake drills and tornado drills detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;**

**(i) A written record of practiced fire drills conducted monthly detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;**

**Findings:**

General: Based on review of documentation, there was no documentation to verify that quarterly earthquake drills have been conducted including the date, time and names of children who participated in the drill.

**Posted Documentation**

**In Compliance**

**Animals**

**In Compliance**

Signature of Provider/Representative

Title

Date