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**Inspection Report**

<b>Provider Name:</b> Lexington Friends Preschool, Inc.	<b>Provider Information</b>	<b>CLR No:</b> L383451
<b>Provider Address:</b> 649 Price Avenue, Lexington, KY, 40508	<b>Provider Type:</b> LICENSED TYPE I	<b>Capacity:</b> 49
<b>Owner(s):</b> Lexington Friends Preschool, Inc.		<b>Director(s):</b> Johnson, Anne Chapin

<b>Inspection Type:</b> Renewal Application	<b>Inspection Information</b>	<b>Inspection No:</b> 216993
<b>Date Initiated:</b> 10/26/2016 9:25 AM	<b>Date Concluded:</b> 10/26/2016 12:00 PM	
	<b>No. of Children Present:</b> 25	

Inspection Report	
<b>Supervision</b>	<b>In Compliance</b>
<b>Staffing Requirements</b>	<b>In Compliance</b>
<b>General Administration</b>	<b>Not In Compliance</b>
<b>75 - Liability Insurance</b>	<b>Not In Compliance</b>
<p><b>922 KAR 2:090. Section 6. License Issuance.</b>  <b>(11) To qualify for a preliminary license, or maintain a regular license, a child-care center shall:</b>  <b>(d) Provide written proof of liability insurance coverage of at least \$100,000 per occurrence;</b></p> <p><b>Findings:</b>                      General: Based on Review of Documentation, the liability insurance provided for review had an effective date of 12/21/14-12/21/15. Therefore the insurance on file was not current.</p>	
<b>Director Requirements</b>	<b>Not In Compliance</b>
<b>260 - Staff Evaluation</b>	<b>Not In Compliance</b>
<p><b>922 KAR 2:110. Section 4. Director Requirements and Responsibilities.</b>  <b>(1) Effective with the adoption of this administrative regulation, a director shall:</b>  <b>(j) Assess each staff person's interaction with children in care and classroom performance through an annual written performance evaluation;</b></p> <p><b>Findings:</b>                      General: Based on Review of Documentation, there were two (2) staff with hire dates of 8/10/15 who were eligible for an annual performance evaluation. However, there was no evidence on file to verify that an evaluation had been conducted. During an interview with the staff person in charge it was indicated that evaluations had not been conducted.</p>	
<b>265 - Health, Safety, Comfort</b>	<b>Not In Compliance</b>
<p><b>922 KAR 2:110. Section 4. Director Requirements and Responsibilities.</b>  <b>(1) Effective with the adoption of this administrative regulation, a director shall:</b>  <b>(l) Provide for the health, safety, and comfort of each child;</b></p> <p><b>Findings:</b>                      General: Based on Observation, during a tour of the toddler room dried rice and pink colored aquarium gravel were observed in the sensory table. These items are a choking hazard. During a tour of the preschool room small popcorn kernels were observed as part of one of the activities available on a shelf. The children in the preschool room were three (3) to five-years-old. The popcorn kernels are a choking hazard for the younger children.</p>	

Inspection Report

Employee Records

Not In Compliance

300 - Background checks/left alone

Not In Compliance

922 KAR 2:090. Section 6. License Issuance.

(5) An individual described in subsection (4) of this section shall:

(a) Submit to background checks described in paragraph (b) of this subsection;

(b) May be employed or work with a child on a probationary basis for up to ninety (90) calendar days, pending completion of a:

1. Child abuse or neglect check using the central registry in accordance with 922 KAR 1:470;
2. Criminal records check required by KRS 199.896(19);
3. Criminal records check for any previous state of residence if the person resided outside the state of Kentucky in the last five (5) years; and
4. An address check of the Sex Offender Registry; and

(c) Not be left alone in the presence of a child until copies of the background checks in accordance with paragraph (b) of this subsection have been received by the licensee.

Findings:

General: Based on Review of Documentation, there was one (1) staff person with a hire date of 8/12/16 who lived in Illinois within the last five (5) years. There was an additional staff person with a hire date of 8/16/16 who lived in Wisconsin within the last five (5) years. The results of a criminal records check from these states was not on file nor was there evidence that an application for the results of a criminal records check from these states had been submitted. During an interview with the staff person in charge it was found that these staff work together with children in the afternoon. It was also found that these staff are the only staff who are present.

315 - Educational Requirements

Not In Compliance

922 KAR 2:110. Section 5. Staff Requirements.

(1) Child-care center staff:

(a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:

1. High school diploma;
2. GED or qualifying documentation from a comparable educational entity; or
3. Commonwealth Child Care Credential as described in 922 KAR 2:250;

Findings:

General: Based on Review of Documentation, there were two (2) staff with hire dates of 8/12/16 and 8/16/16 who did not have a copy of a high school diploma, GED or other qualifying documentation on file.

320 - TB Verification

Not In Compliance

922 KAR 2:110. Section 5. Staff Requirements.

(1) Child-care center staff:

(b) Shall provide, prior to employment and every two (2) years thereafter:

1. A statement from a health professional that the individual is free of active tuberculosis; or
2. A copy of negative tuberculin results.

Findings:

General: Based on Review of Documentation, there was one (1) staff person with a hire date of 8/16/16 who did not have the results of a T.B. skin test or a statement from a health professional indicating the individual is free from the symptoms of T.B.

Programming

In Compliance

Premises

Not In Compliance

500 - Building Requirements

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

(5) The building shall be constructed to ensure the:

(a) Building is:

1. Dry;
2. Adequately heated;
3. Ventilated; and
4. Well lit, including clean light fixtures that are:
  - a. In good repair in all areas; and
  - b. Shielded or have shattered proof bulbs installed; and

(b) Following are protected:

1. Windows;
2. Doors;
3. Stoves;
4. Heaters;
5. Furnaces;
6. Pipes; and
7. Stairs.

Findings:

General: Based on Observation in the large bathroom located in the preschool room there was an opening in the ceiling where a vent was located. However, the vent cover was missing.

**Inspection Report**

**Hygienic Practices**

**Not In Compliance**

**640 - Children's Individual Items**

**Not In Compliance**

**922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.**

- (14) Combs, towels or washcloths, brushes, and toothbrushes used by a child shall be:**
  - (a) Individually stored in separate containers; and**
  - (b) Plainly labeled with the child's name.**

**Findings:**

General: Based on Observation in the preschool room and the toddler room the children's coats and backpacks were hanging side by side on hooks. The personal belongings of the children were touching promoting the spread of germs.

**First Aid/Medication**

**Not In Compliance**

**655 - First Aid Supplies**

**Not In Compliance**

**922 KAR 2:120. Section 7. First Aid and Medicine.**

- (1) First aid supplies shall:**
  - (a) Be available to provide prompt and proper first aid treatment;**
  - (b) Be stored out of reach of a child;**
  - (c) Be periodically inventoried to ensure the supplies are current;**
  - (d) If reusable, be:**
    - 1. Sanitized; and**
    - 2. Maintained in a sanitary manner; and**
  - (e) Include:**
    - 1. Liquid soap;**
    - 2. Adhesive bandages;**
    - 3. Sterile gauze;**
    - 4. Medical tape;**
    - 5. Scissors;**
    - 6. A thermometer;**
    - 7. Flashlight;**
    - 8. Cold pack;**
    - 9. First aid book;**
    - 10. Disposable gloves; and**
    - 11. A cardiopulmonary resuscitation mouthpiece protector.**

**Findings:**

General: Based on Observation of the first aid supplies it was found that the flashlight was not working.

**Outdoor Play Area**

**Not In Compliance**

**690 - Playground Conditions**

**Not In Compliance**

**922 KAR 2:120. Section 4. Premises Requirements.**

- (20) An outdoor play area shall be:**
  - (d) Safe from foreseeable hazard;**
  - (e) Well drained;**
  - (f) Well maintained;**
  - (g) In good repair; and**
  - (h) Visible to staff at all times.**

**Findings:**

General: Based on Observation on each side of the end of the slide located on the dirt mound there were large rocks with sharp edges. The same type of rocks with sharp edges were also observed at the base of the climbing wall located on the other side of the dirt mound. These rocks are a hazard in the event a child should fall on one (1) of them.

**Equipment**

**In Compliance**

**Transportation**

**Not Applicable**

**Food Service**

**Not In Compliance**

**985 - Milk Requirements**

**Not In Compliance**

**922 KAR 2:120. Section 9. Food and Meal Requirements.**

- (3) A serving of milk shall consist of:**
  - (a) Breast milk or iron-fortified formula for a child age birth to twelve (12) months;**
  - (b) Pasteurized whole milk for children ages twelve (12) months to twenty-four (24) months; or**
  - (c) Pasteurized low fat one (1) percent or fat-free skim milk for children ages twenty-four (24) months to school-age.**

**Findings:**

General: Based on Observation during a tour of the kitchen two (2) gallons of 2% organic milk were observed in the refrigerator. During an interview with the staff person in charge it was indicated that all of the children in the facility are served 2% milk.

**1050 - Menu**

**Not In Compliance**

**922 KAR 2:120. Section 9. Food and Meal Requirements.**

**(16) A weekly menu shall be:**

- (a) Prepared;**
- (b) Dated;**
- (c) Posted in advance in a conspicuous place;**
- (d) Kept on file for thirty (30) days; and**
- (e) Amended in writing with any substitutions on the day the meal is served.**

**Findings:**

General: Based on Interview, it was found that the parents provide snack for the group. A snack menu was posted on the refrigerator in the kitchen. However, the month and year was not on the menu. Only the blocks that contained the snack had a number.

**Children's Records**

**Not In Compliance**

**1070 - Immunization**

**Not In Compliance**

**922 KAR 2:110. Section 3. Records.**

**(1) A child-care center shall maintain:**

- (a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;**

**Findings:**

General: Based on Review of Documentation, out of ten (10) children's files reviewed there was one (1) child with an enrollment date of 5/1/16 who's immunization did not have a date listed as to how long the immunization was current. During an interview with the staff person in charge it was found that the certificate on file was from another state.

**Written Documentation**

**Not In Compliance**

**1105 - Professional Development**

**Not In Compliance**

**922 KAR 2:110. Section 3. Records.**

**(1) A child-care center shall maintain:**

- (f) A written annual plan for child-care staff professional development;**

**Findings:**

General: Based on Review of Documentation, there were three (3) staff including the staff person in charge with a hire date of 8/10/15 who did not have an annual professional development plan on file. During an interview with the staff person in charge it was indicated that professional development plans had not been completed.

**1115 - Earthquake/Tornado Drills**

**Not In Compliance**

**922 KAR 2:110. Section 3. Records.**

**(1) A child-care center shall maintain:**

- (h) A written record of quarterly practiced earthquake drills and tornado drills detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;**

**Findings:**

General: Based on Interview with the staff person in charge it was indicated that documentation verifying the quarterly earthquake and tornado drills for 2015 until the present date had been discarded.

**1120 - Fire Drills**

**Not In Compliance**

**922 KAR 2:110. Section 3. Records.**

**(1) A child-care center shall maintain:**

- (i) A written record of practiced fire drills conducted monthly detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;**

**Findings:**

General: Based on Review of Documentation with the staff person in charge it was indicated that documentation verifying the monthly fire drills for 2015 until the present date had been discarded.

**1140 - Fire Drills**

**Not In Compliance**

**922 KAR 2:120. Section 3. General Requirements.**

**(12) A fire drill shall be conducted during hours of operation:**

- (a) At least monthly; and**
- (b) Documented.**

**Findings:**

General: Based on Interview, with the staff person in charge it was indicated that documentation verifying the monthly fire drills for 2015 until the present date had been discarded.

**1145 - Earthquake/Tornado Drills**

**Not In Compliance**

**922 KAR 2:120. Section 3. General Requirements.**

**(13) An earthquake drill and a tornado drill shall be conducted during hours of operation:**

- (a) At least quarterly; and**
- (b) Documented.**

**Findings:**

General: Based on Interview with the staff person in charge it was indicated that documentation verifying quarterly earthquake and tornado drills for 2015 until the present date had been discarded.

**Inspection Report**

**Posted Documentation**

**Not In Compliance**

**1165 - Daily Activities**

**Not In Compliance**

**922 KAR 2:110. Section 4. Director Requirements and Responsibilities.**

**(1) Effective with the adoption of this administrative regulation, a director shall:**

**(h) Post a schedule of daily activities, to include dates and times of activities to be conducted with the children in each classroom;**

**Findings:**

General: Based on Observation during a tour of the preschool room a daily schedule was posted. There was a list of activities posted but there were no dates listed as to when the activities would take place.

**Animals**

**Not In Compliance**

**1175 - Animals in the Presence of Children**

**Not In Compliance**

**922 KAR 2:120. Section 13. Animals.**

**(1) An animal shall not be allowed in the presence of a child in care:**

**(a) Unless:**

- 1. The animal is under the supervision and control of an adult;**
- 2. Written parental consent has been obtained; and**
- 3. The animal is certified as vaccinated against rabies; or**

**(b) Except in accordance with subsection (3) of this section.**

**Findings:**

General: Based on Observation, at the time of the visit there were two (2) rabbits, fish, a turtle and a Gecko at the facility. A review of children's files found that the turtle and Gecko had not been listed on the permission form for animals the child would be in contact with while at the facility.

Signature of Provider/Representative

Title

Date