



**CABINET FOR HEALTH AND FAMILY SERVICES
OFFICE OF INSPECTOR GENERAL**

Andy Beshear
Governor

Melissa A. Moore, Director
Division of Regulated Child Care
Northern Branch
908 W. Broadway, 10-W
Louisville, KY 40203
Phone: (502) 595-5781 Fax: (502) 595-5773
<https://chfs.ky.gov/agencies/os/oig>

Eric C. Friedlander
Secretary

Adam Mather
Inspector General

Inspection Report

Provider Name: The Enrichment Center	Provider Information	CLR No: L355974
Provider Address: 702 East Gray Street, Louisville, KY, 40202	Provider Type: LICENSED TYPE I	Capacity: 129
Owner(s): Enrichment Center Of Kentucky, Inc.		Director(s): Vaught, Trina

Inspection Type: Renewal Application	Inspection Information	Inspection No: 289250
Date Initiated: 04/05/2019 8:55 AM	Date Concluded: 04/05/2019 11:45 AM	
	No. of Children Present: 23	

Inspection Report	
Background Checks	Not In Compliance
20 - Out of state background checks	Not In Compliance
<p>922 KAR 2:280. Section 5. Checks of Other States.</p> <p>(1) In accordance with 45 C.F.R. 98.43(b)(3), a prospective child care staff member who resides in or has resided in another state within the last five (5) years shall:</p> <p>(a) Request from each state of current or prior residency, in accordance with the state's laws, policies, and procedures, with a courtesy notice to the cabinet:</p> <ol style="list-style-type: none"> 1. An in-state criminal records check by: <ol style="list-style-type: none"> a. Means of fingerprints for the state of residence; or b. Any means accepted by a state of prior residency; 2. A check of the state's sex offender registry or repository; and 3. A check of the state-based child abuse and neglect registry and database; and <p>(b) Direct results of the checks required in paragraph (a) of this subsection to the Department for Community Based Services, Division of Child Care, 275 East Main Street, 3C-F, Frankfort, Kentucky 40601.</p> <p>Findings:</p> <p>General: Based on observation, interview and review of documentation, the child care center failed to maintain employee records in accordance with regulatory requirements. Upon request, the child care director did not present verification that the out of state background check to include i. criminal records check, ii. Child abuse and neglect check, and iii. sex offender registry check had been conducted for the employee with the hire date of 04/01/2019. The document reviewed revealed the employee had resided in the state of Indiana within the last five (5) years. The employee was observed working alone with eleven (11) children from 09:00 a.m. to 09:45 a.m.</p>	
Supervision	Not In Compliance
90 - Children Supervised	Not In Compliance
<p>922 KAR 2:120. Section 2. Child Care Services.</p> <p>(3)(a) Each center shall maintain a child-care program that assures each child will be:</p> <ol style="list-style-type: none"> 1. Provided with adequate supervision at all times by a qualified staff person who ensures the child is: <ol style="list-style-type: none"> a. Within scope of vision and range of voice; or b. For a school-age child, within scope of vision or range of voice; <p>Findings:</p> <p>General: Based on observation, the child care center failed to maintain adequate supervision in accordance with regulatory requirements. Observation revealed a four-year-old child, exited his classroom, walked across the hall into the school-age area, then entered the restroom, with no supervision.</p>	
Staffing Requirements	In Compliance
General Administration	In Compliance

Inspection Report	
Director Requirements	Not In Compliance
340 - Staff Meeting	Not In Compliance
922 KAR 2:090. Section 10. Director Requirements and Responsibilities. (1) A director shall: (i) Conduct, manage, and document in writing recurring staff meetings;	
Findings: General: Based on interview and review of documentation, the child care center director failed to assure compliance with regulatory requirements. Upon request, the child care director did not present verification that more than one (1) staff meeting had been conducted and documented in writing within the last year.	
345 - Staff Evaluation	Not In Compliance
922 KAR 2:090. Section 10. Director Requirements and Responsibilities. (1) A director shall: (j) Assess each staff person's interaction with children in care and classroom performance through an annual written performance evaluation;	
Findings: General: Based on interview and review of documentation, the child care center director failed to assure compliance with regulatory requirements. Upon request, the staff in charge did not present verification of the evaluation assessing the staff person's interaction with children in care and classroom performance for eight (8) of the eight (8) staff eligible.	
Employee Records	Not In Compliance
410 - Training	Not In Compliance
922 KAR 2:090. Section 11. Staff Requirements. (16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following: (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment; (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.	
Findings: General: Based on interview and review of documentation, the child care center failed to maintain employee records in accordance with regulatory requirements. Upon request, the child care director did not present verification that the record of the employees with the hire dates of 12/29/1999, 09/10/2001, 12/29/1999 and the two (2) employees with the date of hire of 07/14/1997 had met the requirement of fifteen (15) hours of cabinet-approved early care and education training during the last year of employment. Review of documentation in the ECE-TRIS training system revealed the staff members listed above had not met the requirements.	
Programming	Not In Compliance
510 - Appropriate Use of Food	Not In Compliance
922 KAR 2:120. Section 9. Food and Meal Requirements. (2) Food shall not be: (a) Used for reward; (b) Used for discipline; (c) Withheld until all other foods are consumed; or (d) Served while viewing electronic devices.	
Findings: General: Based on observation, the child care center failed to comply with programming requirements. While eating the lunch meal, the school-age children were sitting in the dark viewing a non-educational video.	
Premises	Not In Compliance
540 - Premises Requirements	Not In Compliance
922 KAR 2:120. Section 4. Premises Requirements. (1) The premises shall be: (a) Suitable for the purpose intended; (b) Kept clean and in good repair;	
Findings: General: Based on observation, the child care center failed to maintain premises in accordance with regulatory requirements. The school-age classroom contained a variety of discarded items, such as empty cardboard boxes and a empty plastic water bottle laying on the floor, broken wooden easel, and discarded wrappers. Additionally, the garbage can was overflowing.	
580 - Floors, Walls, Ceilings	Not In Compliance
922 KAR 2:120. Section 4. Premises Requirements. (9) Floors, walls, and ceilings shall be smooth, in good repair, and constructed to be easily cleaned.	
Findings: General: Based on observation, the child care center failed to maintain premises in accordance with regulatory requirements. Observation throughout four (4) of the six (6) classrooms revealed the walls and doors to have a buildup of grime and dirt stains, along with paint that was chipped and in need of repair. Continued observation revealed a large hole in the wall near the bathroom door and a wall plate located in the school-age classroom was separated from the wall, exposing the electric wiring inside.	

Inspection Report**Hygienic Practices****Not In Compliance****655 - Child Personal Care/Handwashing****Not In Compliance****922 KAR 2:120. Section 3. General Requirements.****(4) A child shall:****(a) Be helped with personal care and cleanliness based upon his or her developmental skills;****(b) Except as established in paragraph (c) of this subsection, wash his or her hands with liquid soap and warm running water:****1. a. Upon arrival at the center; or****b. Within thirty (30) minutes of arrival for school-age children;****2. Before and after eating or handling food;****3. After toileting or diaper change;****4. After handling animals;****5. After touching an item or an area of the body soiled with body fluids or wastes; and****6. After outdoor or indoor play time; and****(c) Use hand sanitizer or hand-sanitizing wipes if liquid soap and warm running water are not available in accordance with paragraph (b) of this subsection. The child shall wash the child's hands as soon as practicable once liquid soap and warm running water are available.****Findings:**

General: Based on observation, the child care center failed to comply with hygienic practices in accordance with regulatory requirements. Observation in the one-year-old classroom revealed once the children had completed lunch the children were not assisted or prompted to wash their hands prior to naptime.

First Aid/Medication**In Compliance****Outdoor Play Area****In Compliance****Equipment****In Compliance****Transportation****Not In Compliance****855 - Transportation Notification/Plan for Ensuring Staff Duties****Not In Compliance****922 KAR 2:120. Section 12. Transportation.****(3) Prior to transporting a child, a center providing transportation services of a child shall notify the cabinet or its designee in writing of the:****(c) Plan for ensuring staff perform duties relating to transportation properly;****Findings:**

General: Based on interview and review of documentation, the child care center failed to comply with regulatory requirements regarding transportation. The child care director did not present for review documentation that the child care center maintained a policy for ensuring staff duties.

865 - Transportation Notification/Policy & Procedures Regarding Emergency Plan for Evacuation**Not In Compliance****922 KAR 2:120. Section 12. Transportation.****(3) Prior to transporting a child, a center providing transportation services of a child shall notify the cabinet or its designee in writing of the:****(e) Agency policy and procedures relating to an emergency plan for evacuating the vehicle;****Findings:**

General: Based on interview and review of documentation, the child care center failed to comply with regulatory requirements regarding transportation. Upon request, the child care director did not present verification that the child care center maintained a policy for an emergency plan for evacuating the vehicle during transport.

875 - Transportation Notification/Safety Procedures - Transporting, Loading/Unloading and Supervision**Not In Compliance****922 KAR 2:120. Section 12. Transportation.****(3) Prior to transporting a child, a center providing transportation services of a child shall notify the cabinet or its designee in writing of the:****(g) Safety procedures for:****1. Transporting a child;****2. Loading and unloading a child; and****3. Providing adequate supervision of a child.****Findings:**

General: Based on interview and review of documentation, the child care center failed to comply with regulatory requirements regarding transportation. The child care director did not present for review documentation that the child care center maintained safety procedures for transporting a child, loading and unloading a child; and providing adequate supervision of a child.

Food Service/Food Program**In Compliance**

Inspection Report	
Food Service	Not In Compliance
1040 - Kitchen Equipment Clean and Sanitary	Not In Compliance
<p>922 KAR 2:120. Section 8. Kitchen Requirements.</p> <p>(7) The following shall be clean and sanitary:</p> <ul style="list-style-type: none"> (a) Eating and drinking utensils; (b) Kitchenware; (c) Food contact surfaces of equipment; (d) Food storage utensils; (e) Food storage containers; (f) Cooking surfaces of equipment; and (g) Nonfood contact surfaces of equipment. <p>Findings:</p> <p>General: Based on observation, the child care center failed to maintain food service in accordance with regulatory requirements. Based on observation in the one-year-old classroom, it was revealed that the inside of the refrigerator had a large quantity of dried milk stains on the shelves.</p>	
1130 - Menu	Not In Compliance
<p>922 KAR 2:120. Section 9. Food and Meal Requirements.</p> <p>(16) A weekly menu shall be:</p> <ul style="list-style-type: none"> (a) Prepared; (b) Dated; (c) Posted in advance in a conspicuous place; (d) Kept on file for thirty (30) days; and (e) Amended in writing with any substitutions on the day the meal is served. <p>Findings:</p> <p>General: Based on interview and review of documentation, the child care center failed to maintain food service in accordance with regulatory requirements. Upon request, the child care director did not present verification that the menu posted had been dated to reflect the current week.</p>	
Children's Records	Not In Compliance
1140 - Enrollment Information	Not In Compliance
<p>922 KAR 2:090. Section 9. Records.</p> <p>(1) A child-care center shall maintain:</p> <ul style="list-style-type: none"> (b) A written record for each child: <ul style="list-style-type: none"> 1. Completed and signed by the child's parent; 2. Retained on file on the first day the child attends the child-care center; and 3. To contain: <ul style="list-style-type: none"> a. Identifying information about the child, which includes, at a minimum, the child's name, address, and date of birth; b. Contact information to enable a person in charge to contact the child's: <ul style="list-style-type: none"> (i) Parent at the parent's home or place of employment; (ii) Family physician; and (iii) Preferred hospital; c. The name of each person who is designated in writing to pick-up the child; d. The child's general health status and medical history including, if applicable: <ul style="list-style-type: none"> (i) Allergies; (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and (iii) Permission from the parent for third-party professional services in the child-care center; e. The name and phone number of each person to be contacted in an emergency involving or impacting the child; f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence; <p>Findings:</p> <p>General: Based on interview and review of documentation, the child care center failed to maintain children's records in accordance with regulatory requirements. Upon request, the child care center director did not provide verification that the record for the child with the date of enrollment of 02/21/2019 contained the name of a physician.</p>	
Written Documentation	Not In Compliance
1150 - Evacuation Plan	Not In Compliance
<p>922 KAR 2:090. Section 5. Evacuation Plan.</p> <p>(1) A licensed child-care center shall have a written evacuation plan in the event of a fire, natural disaster, or other threatening situation that may pose a health or safety hazard for a child in care in accordance with KRS 199.895 and 42 U.S.C. 9858c(c)(2)(U).</p> <p>Findings:</p> <p>General: Based on interview and review of documentation, the child care center failed to maintain written documentation in accordance with regulatory requirements. Upon request, the child care director did not present verification that the evacuation plan in the event of a fire, natural disaster, or other threatening situation that may pose a health or safety hazard for a child in care had been submitted to the local emergency management team within the last year. The document presented was dated for 2016.</p>	

Inspection Report

1160 - Daily Attendance Records

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(c) Daily attendance records documenting the arrival and departure time of each child, including records that are required in accordance with 922 KAR 2:160, Section 13, if a child receives services from the child-care center through the Child Care Assistance Program;

Findings:

General: Based on observation, interview and review of documentation, the child care center failed to maintain written documentation in accordance with regulatory requirements. Upon request, the child care director did not present verification that all of the children had been signed in on the daily attendance record for 04/05/2019. Review of the documentation revealed thirteen (13) children had been signed in on the attendance record.

1170 - Professional Development

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(f) A written annual plan for child-care staff professional development;

Findings:

General: Based on interview and review of documentation, the child care center failed to maintain written documentation in accordance with regulatory requirements. Upon request, the child care director did not present verification that an annual plan for child-care staff professional development had been completed for eight (8) of the eight (8) staff that were eligible.

Posted Documentation

Not In Compliance

1210 - Disaster Course of Action

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(j) A written plan and diagram outlining the course of action in the event of a natural or manmade disaster, posted in a prominent place;

Findings:

General: Based on interview and review of documentation, the child care center failed to post documentation in accordance with regulatory requirements. Upon request, the child care director did not present verification that the center had a written diagram outlining the course of action in the event of a natural or manmade disaster that was posted in the child care center.

1215 - Daily Activities

Not In Compliance

922 KAR 2:090. Section 10. Director Requirements and Responsibilities.

(1) A director shall:

(h) Post a schedule of daily activities, to include dates and times of activities to be conducted with the children in each classroom;

Findings:

General: Based on interview and review of documentation, the child care center failed to post documentation in accordance with regulatory requirements. Upon request, the child care director did not present verification of a daily schedule for the classrooms, that included the dates and times of activities to be conducted with the children.

Animals

Not Applicable

Signature of
Provider/Representative

Title

Date