



**Andy Beshear**  
GOVERNOR

**CABINET FOR HEALTH AND FAMILY SERVICES**  
**OFFICE OF INSPECTOR GENERAL**

**Eric Friedlander**  
SECRETARY

**Melissa A. Moore, Director**  
Division of Regulated Child Care  
Eastern Branch  
455 Park Place, Suite 120A  
Lexington, KY 40511

**Adam Mather**  
INSPECTOR GENERAL

Phone: (859) 246-2301 Fax: (859) 246-2307  
<https://chfs.ky.gov/agencies/os/oig>

**Inspection Report**

<b>Provider Name:</b> Beaumont Centre Family YMCA-SACC	<b>Provider Information</b> <b>Provider Type:</b> LICENSED TYPE I	<b>CLR No:</b> L383341
<b>Provider Address:</b> 3251 Beaumont Centre Circle, Lexington, KY, 40513		<b>Capacity:</b> 130
<b>Owner(s):</b> Ymca Of Central Kentucky		<b>Director(s):</b> Berry, Jessica Denise

<b>Inspection Type:</b> Renewal Application	<b>Inspection Information</b>	<b>Inspection No:</b> 243292
<b>Date Initiated:</b> 01/10/2018 2:10 PM	<b>Date Concluded:</b> 01/10/2018 4:10 PM	
	<b>No. of Children Present:</b> 56	

Inspection Report	
<b>Supervision</b>	<b>In Compliance</b>
<b>Staffing Requirements</b>	<b>In Compliance</b>
<b>General Administration</b>	<b>In Compliance</b>
<b>Director Requirements</b>	<b>Not In Compliance</b>

**275 - Caregiver Alone** **Not In Compliance**

**922 KAR 2:110. Section 4. Director Requirements and Responsibilities.**  
**(1) Effective with the adoption of this administrative regulation, a director shall:**  
**(n) Assure that a person acting as a caregiver of a child in care shall not be left alone with a child, if the licensee has not received the results of the background checks as described in Section 3(1)(e)6 of this administrative regulation;**

**Findings:**

General: Based on review of documentation, the surveyor found an incomplete child abuse/ neglect check (CA/N) on file for a staff person hired on 8/14/17. The CA/N check documentation presented for review was stamped on the front page but the results page was blank. Based on interview, this staff person is a bus driver for the after school program. The staff person in charge stated this bus driver is never alone with children because there is always bus monitor that rides along with her each day from 2:00 p.m. until 3:30 p.m. The staff person in charge identified the bus monitor that rides on the bus with the bus driver. File review found this bus monitor who was hired on 9/25/17 did not have the results of child abuse/neglect check or a criminal records check available for review. Both the bus driver and bus monitor were working together transporting children on the day of the inspection.

**300 - Background checks/left alone**

**Not In Compliance**

**922 KAR 2:090. Section 6. License Issuance.**

**(5) An individual described in subsection (4) of this section shall:**

**(a) Submit to background checks described in paragraph (b) of this subsection;**

**(b) May be employed or work with a child on a probationary basis for up to ninety (90) calendar days, pending completion of a:**

- 1. Child abuse or neglect check using the central registry in accordance with 922 KAR 1:470;**
- 2. Criminal records check required by KRS 199.896(19);**
- 3. Criminal records check for any previous state of residence if the person resided outside the state of Kentucky in the last five (5) years; and**
- 4. An address check of the Sex Offender Registry; and**

**(c) Not be left alone in the presence of a child until copies of the background checks in accordance with paragraph (b) of this subsection have been received by the licensee.**

**Findings:**

General: Based on review of documentation, the surveyor found an incomplete child abuse/neglect check (CA/N) on file for a staff person hired on 8/14/17. The CA/N check documentation presented for review was stamped on the front page but the results page was blank. Based on interview, this staff person is a bus driver for the after school program. The staff person in charge stated this bus driver is never alone with children because there is always bus monitor that rides along with her each day from 2:00 p.m. until 3:30 p.m. The staff person in charge identified the bus monitor that rides on the bus with the bus driver. File review found this bus monitor who was hired on 9/25/17 did not have the results of child abuse/neglect check or a criminal records check available for review. Both the bus driver and bus monitor were working together transporting children on the day of the inspection.

**310 - Personnel File**

**Not In Compliance**

**922 KAR 2:110. Section 3. Records.**

**(1) A child-care center shall maintain:**

**(e) A current personnel file for each child-care center staff person to include:**

- 1. Name, address, date of birth, and date of employment;**
- 2. Proof of educational qualifications;**
- 3. Record of annual performance evaluation;**
- 4. Written record of training participation to include:**
  - a. The training source;**
  - b. Location;**
  - c. Date; and**
  - d. Number of clock hours completed;**

**5. Every two (2) years, a:**

- a. Statement from a health professional that the individual is free of active tuberculosis; or**
- b. Copy of negative tuberculin results; and**

**6. For a director, employee, volunteer, or any person with supervisory or disciplinary control over, or having unsupervised contact with, a child in care, the results of a:**

- a. Child abuse or neglect check using the central registry in accordance with 922 KAR 1:470;**
- b. Criminal records check required by KRS 199.896(19);**
- c. Criminal records check from any previous state of residence completed once if:**
  - (i) The individual resided outside the state of Kentucky in the last five (5) years; and**
  - (ii) No criminal records check has been completed for the individual's previous state of residence; and**
- d. An address check of the Sex Offender Registry;**

**Findings:**

Based on review of documentation and interview, a staff person hired on 9/25/17 as a bus monitor did not have a personnel file available for review during the inspection.

**320 - TB Verification**

**Not In Compliance**

**922 KAR 2:110. Section 5. Staff Requirements.**

**(1) Child-care center staff:**

**(b) Shall provide, prior to employment and every two (2) years thereafter:**

- 1. A statement from a health professional that the individual is free of active tuberculosis; or**
- 2. A copy of negative tuberculin results.**

**Findings:**

General: Based on Review of Documentation, two (2) staff hired on 8/17/17 had TB skin documentation on file that was completed on 8/20/17 and 8/24/17. The documentation was not obtained prior to employment. Additionally, a staff person hired 8/14/17 had TB skin documentation on file that was no longer valid as of 12/10/17.

**340 - Training**

**Not In Compliance**

**922 KAR 2:110. Section 5. Staff Requirements.**

**(14) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:**

- (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;**
- (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of pediatric abusive head trauma training; and**
- (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of pediatric abusive head trauma training completed once every five (5) years.**

**Findings:**

General: Based on Review of Documentation and ECE TRIS, the surveyor found five (5) staff (hire dates: 8/17/17, 8/31/17 (2) 9/25/17, 9/28/17) have not completed the six (6) hour orientation training within the ninety (90) day time-frame as required by the regulations.

**Inspection Report**

<b>Programming</b>	<b>In Compliance</b>
<b>Premises</b>	<b>In Compliance</b>
<b>Hygienic Practices</b>	<b>In Compliance</b>
<b>First Aid/Medication</b>	<b>In Compliance</b>
<b>Outdoor Play Area</b>	<b>Not Applicable</b>
<b>Equipment</b>	<b>In Compliance</b>
<b>Transportation</b>	<b>In Compliance</b>
<b>Food Service</b>	<b>In Compliance</b>
<b>Children's Records</b>	<b>Not In Compliance</b>

**1070 - Immunization**

**Not In Compliance**

**922 KAR 2:110. Section 3. Records.**

**(1) A child-care center shall maintain:**

**(a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;**

**Findings:**

General: Based on Review of Documentation, one (1) child (enrollment date: 8/16/17) did not have immunization documentation on file.

**1075 - Enrollment Information**

**Not In Compliance**

**922 KAR 2:110. Section 3. Records.**

**(1) A child-care center shall maintain:**

**(b) A written record for each child:**

**1. Completed and signed by the child's parent;**

**2. Retained on file on the first day the child attends the child-care center; and**

**3. To contain:**

**a. Identifying information about the child, which includes, at minimum, the child's name, address, and date of birth;**

**b. Contact information to enable a person in charge to contact the child's:**

**(i) Parent at the parent's home or place of employment;**

**(ii) Family physician; and**

**(iii) Preferred hospital;**

**c. The name of each person who is designated in writing to pick-up the child;**

**d. The child's general health status and medical history including, if applicable:**

**(i) Allergies;**

**(ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and**

**(iii) Permission from the parent for third-party professional services in the child-care center;**

**e. The name and phone number of each person to be contacted in an emergency situation involving or impacting the child;**

**f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;**

**Findings:**

General: Based on Review of Documentation, the surveyor found two (2) children's files (enrollment dates: 8/16/17 and 8/29/17) did not have physician's contact information and preferred hospital information listed.

**Written Documentation**

**In Compliance**

**Posted Documentation**

**Not In Compliance**

**1155 - Posting Requirements**

**Not In Compliance**

**922 KAR 2:110. Section 2. General.**

**(7) In addition to the posting requirement of KRS 199.898(3), a child-care center shall post the following in a conspicuous place and make available for public inspection:**

**(a) Each statement of deficiency and civil penalty notice issued by the cabinet during the current licensure year;**

**(b) Each plan of correction submitted by the child-care center to the cabinet during the current licensure year;**

**(c) Information on the Kentucky Consumer Product Safety Program and the program's website as specified in KRS 199.897;**

**(d) A description of services provided by the child-care center, including:**

**1. Current rates for child care; and**

**2. Each service charged separately and in addition to the basic rate for child care;**

**(e) Minimum staff-to-child ratios and group size established in 922 KAR 2:120; and**

**(f) Daily schedule.**

**Findings:**

General: Based on Review of Documentation, the surveyor found the statement of deficiencies issued by the cabinet on 2/21/17 was not posted. The documentation that was posted was from the 2016 re-licensure inspection. The staff person in charge could not locate the document during the inspection. The plan of correction addressing the deficiencies could not be located as well.

**Animals**

**Not Applicable**

Signature of Provider/Representative

Title

Date