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**Inspection Report**

<b>Provider Name:</b> Little Learners	<b>Provider Information</b>	<b>CLR No:</b> L378179
<b>Provider Address:</b> 850 Hwy 15, Campton, KY, 41301	<b>Provider Type:</b> LICENSED TYPE I	<b>Capacity:</b> 49
<b>Owner(s):</b> Little Learners Inc.		<b>Director(s):</b> Banks, Kayla Jo

<b>Inspection Type:</b> Investigation	<b>Inspection Information</b>	<b>Inspection No:</b> 216705
<b>Date Initiated:</b> 08/15/2016 11:20 AM	<b>Date Concluded:</b> 09/02/2016 4:00 PM	
	<b>No. of Children Present:</b> 24	

Inspection Report	
Director Requirements	Not In Compliance
<b>265 - Health, Safety, Comfort</b>	<b>Not In Compliance</b>
<b>922 KAR 2:110. Section 4. Director Requirements and Responsibilities.</b> <b>(1) Effective with the adoption of this administrative regulation, a director shall:</b> <b>(l) Provide for the health, safety, and comfort of each child;</b>	
<b>Findings:</b>	
General: Based on observation, the surveyor found that the child care center director failed to provide for the safety of the children:	
1. In the Infant/Toddler Classroom, one (1) child was observed sitting in a red bucket seat with no support straps in use. The chair had safety straps, but they were not being utilized.	
2. During lunch, four (4) one year olds and one (1) two year old were sitting at a table with bucket seats with no safety straps present. It was a half circular table with chairs built into the table.	
3. During lunch, the preschool age group was observed eating lunch at small picnic tables. The children were sitting near uncovered electrical outlets with no child protective coverings. There were four (4) outlets without protective coverings that were accessible to the children.	
<b>275 - Caregiver Alone</b>	<b>Not In Compliance</b>
<b>922 KAR 2:110. Section 4. Director Requirements and Responsibilities.</b> <b>(1) Effective with the adoption of this administrative regulation, a director shall:</b> <b>(n) Assure that a person acting as a caregiver of a child in care shall not be left alone with a child, if the licensee has not received the results of the background checks as described in Section 3(1)(e)6 of this administrative regulation;</b>	
<b>Findings:</b>	
Based on review of documentation, and interviews, the surveyor found that the child care center failed to maintain employee records in accordance with the regulatory requirements. In the Personnel File of one (1) staff (DOH: 5/10/16), a criminal records check could not be located. The staff reported on her CAN form that she had been a resident of Wisconsin three (3) years ago. An out of state background check for the individual's previous state of residence was not submitted by the child care center. The staff has been left alone at the center with children.	

**Inspection Report**

**Employee Records**

**Not In Compliance**

**300 - Background checks/left alone**

**Not In Compliance**

**922 KAR 2:090. Section 6. License Issuance.**

**(5) An individual described in subsection (4) of this section shall:**

- (a) Submit to background checks described in paragraph (b) of this subsection;**
- (b) May be employed or work with a child on a probationary basis for up to ninety (90) calendar days, pending completion of a:**
  - 1. Child abuse or neglect check using the central registry in accordance with 922 KAR 1:470;**
  - 2. Criminal records check required by KRS 199.896(19);**
  - 3. Criminal records check for any previous state of residence if the person resided outside the state of Kentucky in the last five (5) years; and**
  - 4. An address check of the Sex Offender Registry; and**
- (c) Not be left alone in the presence of a child until copies of the background checks in accordance with paragraph (b) of this subsection have been received by the licensee.**

**Findings:**

General: Based on review of documentation, and interviews, the surveyor found that the child care center failed to maintain employee records in accordance with the regulatory requirements. In the Personnel File of one (1) staff (DOH: 5/10/16), a criminal records check could not be located. The staff reported on her CAN form that she had been a resident of Wisconsin three (3) years ago. Additionally, an out of state background check for the individual's previous state of residence was not submitted by the child care center. The staff has been left alone at the center with children.

**340 - Training**

**Not In Compliance**

**922 KAR 2:110. Section 5. Staff Requirements.**

**(14) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:**

- (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;**
- (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of pediatric abusive head trauma training; and**
- (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of pediatric abusive head trauma training completed once every five (5) years.**

**Findings:**

General: Based on review of documentation, the surveyor found that the center failed to maintain employee records in accordance with the regulatory requirements:

- 1. Three (3) staff (DOH: 5/10/16, 4/11/16, and 4/7/15) did not complete the six (6) hours of cabinet approved orientation within the first three (3) months of employment.
- 2. One (1) staff (DOH: 4/7/15) did not complete nine (9) hours of cabinet approved early care and education training within the first year of employment.

**Programming**

**Not In Compliance**

**435 - Bedding/Toys in Crib**

**Not In Compliance**

**922 KAR 2:120. Section 6. Sleeping and Napping Requirements.**

**(3) Rest time shall include adequate space specified by the child's age as follows:**

- (a) For an infant:**
  - 3. No loose bedding; and**
  - 4. No toys or other items except the infant's pacifier;**

**Findings:**

General: Based on observation, the surveyor found that the child care center failed to comply with the regulatory programming requirements. The surveyor observed an infant in a crib, lying on a boppy pillow. A review of the child's file and interview with the Director found that there was no documentation permitting the use of the boppy pillow in the crib.

**Premises**

**Not In Compliance**

**480 - Premises Requirements**

**Not In Compliance**

**922 KAR 2:120. Section 4. Premises Requirements.**

**(1) The premises shall be:**

- (a) Suitable for the purpose intended;**
- (b) Kept clean and in good repair;**

**Findings:**

General: Based on observation, the surveyor found that the child care center failed to maintain the premises in accordance with regulatory requirements:

- 1. In the Infant/Toddler room, the surveyor observed two (2) large round pillows lying in the floor. The pillows were dirty and unkempt.
- 2. In the school-age room, trash and debris were observed in the floor. One (1) piece of lattice had a sharp point that was easily accessible to the children.

**Equipment**

**Not In Compliance**

**740 - Twelve Inch Spacing**

**Not In Compliance**

**922 KAR 2:120. Section 6. Sleeping and Napping Requirements.**

**(6) Cots, equipment, and furnishings used for sleeping and napping shall be spaced twelve (12) inches apart to allow free and safe movement by a person.**

**Findings:**

General: Based on observation, the surveyor found that the child care center failed to maintain equipment in accordance with regulatory requirements. In the Infant/Toddler Classroom, the cribs were placed end to end without adequate spacing between them.

**Inspection Report**

**Written Documentation**

**Not In Compliance**

**1130 - Confidentiality/Maintenance/Access**

**Not In Compliance**

**922 KAR 2:110. Section 3. Records.**

**(2) A child-care center shall:**

**(a) Maintain the confidentiality of a child's record;**

**(b) Maintain all records for five (5) years; and**

**(c) Provide the cabinet access and information in the completion of the investigation pursuant to KRS 620.030(4).**

**Findings:**

General: Based on interview, conducted on 08/26/16, 08/29/16, and 09/01/16, the surveyor requested a copy of a staff's (DOH: 05/10/16) criminal records background check. The Director reported that the criminal records background check has been misplaced and could not be located. Therefore, the child care center did not maintain records.

Signature of Provider/Representative

Title

Date