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**Inspection Report**

<b>Provider Name:</b> Dorman Preschool Center	<b>Provider Information</b>	<b>CLR No:</b> L350717
<b>Provider Address:</b> 719 Burks Branch Road, Shelbyville, KY, 40065	<b>Provider Type:</b> LICENSED TYPE I	<b>Capacity:</b> 49
<b>Owner(s):</b> Dorman Pre-school Center, Inc.		<b>Director(s):</b> Kilburn, Whitney Noel

<b>Inspection Type:</b> Renewal Application	<b>Inspection Information</b>	<b>Inspection No:</b> 247184
<b>Date Initiated:</b> 10/15/2018 9:30 AM	<b>Date Concluded:</b> 10/15/2018 12:00 PM	
	<b>No. of Children Present:</b> 29	

Inspection Report	
Background Checks	Not In Compliance
<b>5 - Background check/left alone/dismissed/relocated</b>	<b>Not In Compliance</b>
<p><b>922 KAR 2:280. Section 3. Implementation and Enforcement.</b></p> <p>(1) A person who is a child care staff member prior to January 1, 2018, shall submit to and complete background checks in accordance with this administrative regulation no later than September 30, 2018.</p> <p>(2) A child care staff member hired on or after April 1, 2018, shall:</p> <p>(a) Have completed the background checks required in accordance with this administrative regulation and been found to have no disqualifying offense prior to becoming a child care staff member; or</p> <p>(b) 1. Have submitted to the background checks required in accordance with this administrative regulation;</p> <p>2. Not be left unsupervised with a child in care pending the completion of the background checks in accordance with this administrative regulation; and</p> <p>3. Be dismissed or relocated from the residence if the person is found to have a disqualifying background check result.</p> <p><b>Findings:</b></p> <p>General: Based on review of documentation, observation and interview, the child care center failed to comply with regulatory requirements regarding background checks. Review of the KARES database revealed staff members present in the center and working with children with hire dates of 09/15/14, 1/11/16, 01/18/17, 09/26/17 and 12/11/17 had not initiated fingerprint background checks. Interview with the staff member in charge revealed that documentation verifying the background checks had been initiated was not available at the time of the survey.</p>	
<b>Supervision</b>	<b>In Compliance</b>
<b>Staffing Requirements</b>	<b>In Compliance</b>
<b>General Administration</b>	<b>Not In Compliance</b>
<b>180 - Plan of Correction/15 days</b>	<b>Not In Compliance</b>
<p><b>922 KAR 2:090. Section 14. Statement of Deficiency and Corrective Action Plans.</b></p> <p>(2) Except for a violation posing an immediate threat as handled in accordance with KRS 199.896(5)(c), a child-care center shall submit a written corrective action plan to the cabinet or its designee within fifteen (15) calendar days of the date of the statement of deficiency to eliminate or correct the regulatory violation.</p> <p><b>Findings:</b></p> <p>A PLAN OF CORRECTION WAS DUE ON 12/03/2018 AND AS OF 01/05/2019, THE PLAN OF CORRECTION HAS NOT BEEN RECEIVED.</p>	
<b>Director Requirements</b>	<b>In Compliance</b>

Inspection Report

Employee Records

Not In Compliance

390 - Educational Requirements

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

- (a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:
  1. High school diploma;
  2. GED or qualifying documentation from a comparable educational entity; or
  3. Commonwealth Child Care Credential as described in 922 KAR 2:250;

Findings:

General: Based on review of documentation and interview, the child care center failed to maintain employee records in accordance with regulatory requirements. Upon request, the staff member in charge did not present verification that the files for staff members with hire dates of 04/19/18, 08/20/18 and 09/19/18 contained a high school diploma, GED or qualifying documentation from a comparable educational entity, or Commonwealth Child Care Credential.

395 - TB Verification

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

(b) Shall provide, prior to employment and every two (2) years thereafter:

- 1. A statement from a health professional that the individual is free of active tuberculosis; or
- 2. A copy of negative tuberculin results.

Findings:

General: Based on review of documentation and interview, the child care center failed to maintain employee records in accordance with regulatory requirements. Upon request, the staff member in charge did not present verification that the file for a staff member with a hire date of 08/20/18 contained a current statement from a health professional that the individual is free of active tuberculosis; or a copy of negative tuberculin results.

410 - Training

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:

- (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;
- (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 1/2) hours of cabinet-approved pediatric abusive head trauma training; and
- (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 1/2) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.

Findings:

General: Based on review of documentation and interview, the child care center failed to maintain employee records in accordance with regulatory requirements. Upon request, the staff member in charge did not present verification that staff members with hire dates of 09/03/13, 09/15/14, 08/10/15 and 09/26/17 had completed fifteen (15) annual hours of cabinet approved early care and education training. Review of ECE-TRIS reports confirmed that training hours were not completed within the employees' review period. Continued review revealed that the file for a staff member with a hire date of 09/15/14 did not contain verification that the staff member had completed one and one half (1 1/2) hours of pediatric abusive head trauma training within the last five (5) years. Further review revealed that the file for a staff member with a hire date of 12/11/17 did not contain verification of the completion of six (6) hours of cabinet approved orientation training within the first three (3) months of employment.

Programming

In Compliance

Premises

Not In Compliance

580 - Floors, Walls, Ceilings

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

(9) Floors, walls, and ceilings shall be smooth, in good repair, and constructed to be easily cleaned.

Findings:

General: Based on observation, the child care center failed to maintain the premises in accordance with regulatory requirements. Observation of the Daffodils Room revealed peeling paint in two places on the wall under an outlet, near a lamp. Observation of the Foxes Room revealed peeling paint on the wall above the play stove.

**Inspection Report**

**Hygienic Practices**

**Not In Compliance**

**655 - Child Personal Care/Handwashing**

**Not In Compliance**

**922 KAR 2:120. Section 3. General Requirements.**

**(4) A child shall:**

- (a) Be helped with personal care and cleanliness based upon his or her developmental skills;**
- (b) Except as established in paragraph (c) of this subsection, wash his or her hands with liquid soap and warm running water:**
  - 1. a. Upon arrival at the center; or**
  - b. Within thirty (30) minutes of arrival for school-age children;**
  - 2. Before and after eating or handling food;**
  - 3. After toileting or diaper change;**
  - 4. After handling animals;**
  - 5. After touching an item or an area of the body soiled with body fluids or wastes; and**
  - 6. After outdoor or indoor play time; and**
- (c) Use hand sanitizer or hand-sanitizing wipes if liquid soap and warm running water are not available in accordance with paragraph (b) of this subsection. The child shall wash the child's hands as soon as practicable once liquid soap and warm running water are available.**

**Findings:**

General: Based on observation, the child care center failed to comply with hygienic practices in accordance with regulatory requirements. Observation of the Daffodils Room revealed a child's hands were not washed with soap and warm running water after a diaper change. Observation of the Owls Room revealed a child's hands were not washed with soap and warm running water after eating breakfast.

**First Aid/Medication**

**In Compliance**

**Outdoor Play Area**

**In Compliance**

**Equipment**

**In Compliance**

**Transportation**

**Not In Compliance**

**880 - Vehicle Equipment**

**Not In Compliance**

**922 KAR 2:120. Section 12. Transportation.**

**(4) A vehicle used to transport children shall be equipped with:**

- (a) A fire extinguisher;**
- (b) First aid supplies as established in Section 7 of this administrative regulation;**
- (c) Emergency reflective triangles; and**
- (d) A device to cut the restraint system, if necessary.**

**Findings:**

General: Based on observation and interview, the child care center failed to comply with regulatory requirements regarding transportation. Upon request, the staff member in charge did not present first aid supplies in the 2015 Chevrolet Express Cutaway used for transporting children.

**Food Service/Food Program**

**In Compliance**

**Food Service**

**In Compliance**

**Children's Records**

**Not In Compliance**

**1135 - Immunization**

**Not In Compliance**

**922 KAR 2:090. Section 9. Records.**

**(1) A child-care center shall maintain:**

- (a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;**

**Findings:**

General: Based on review of documentation and interview, the child care center failed to maintain children's records in accordance with regulatory requirements. Upon request, the staff member in charge did not present verification that the file for a child with an enrollment date of 05/02/16 contained a current immunization certificate.

Inspection Report

1140 - Enrollment Information

Not In Compliance

922 KAR 2:090. Section 9. Records.

- (1) A child-care center shall maintain:
  - (b) A written record for each child:
    - 1. Completed and signed by the child's parent;
    - 2. Retained on file on the first day the child attends the child-care center; and
    - 3. To contain:
      - a. Identifying information about the child, which includes, at a minimum, the child's name, address, and date of birth;
      - b. Contact information to enable a person in charge to contact the child's:
        - (i) Parent at the parent's home or place of employment;
        - (ii) Family physician; and
        - (iii) Preferred hospital;
      - c. The name of each person who is designated in writing to pick-up the child;
      - d. The child's general health status and medical history including, if applicable:
        - (i) Allergies;
        - (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and
        - (iii) Permission from the parent for third-party professional services in the child-care center;
      - e. The name and phone number of each person to be contacted in an emergency involving or impacting the child;
      - f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;

Findings:

General: Based on review of documentation and interview, the child care center failed to maintain children's records in accordance with regulatory requirements. Upon request, the staff member in charge did not present verification that the file for a child with enrollment date of 05/04/16 contained a preferred hospital.

Written Documentation

Not In Compliance

1150 - Evacuation Plan

Not In Compliance

922 KAR 2:090. Section 5. Evacuation Plan.

- (1) A licensed child-care center shall have a written evacuation plan in the event of a fire, natural disaster, or other threatening situation that may pose a health or safety hazard for a child in care in accordance with KRS 199.895 and 42 U.S.C. 9858c(c)(2)(U).

Findings:

General: Based on review of documentation and interview, the child care center failed to maintain written documentation in accordance with regulatory requirements. Upon request, the staff member in charge did not present a current written evacuation plan.

Posted Documentation

Not In Compliance

1200 - Posting Requirements

Not In Compliance

922 KAR 2:090. Section 8. General.

- (6) In addition to the posting requirement of KRS 199.898(3), a child-care center shall post the following in a conspicuous place and make available for public inspection:
  - (a) The provider's preliminary or regular license;
  - (b) Each statement of deficiency and civil penalty notice issued by the cabinet during the current licensure year;
  - (c) Each plan of correction submitted by the child-care center to the cabinet during the current licensure year;
  - (d) Information on the Kentucky Consumer Product Safety Program and the program's Website as specified in KRS 199.897;
  - (e) A description of services provided by the child-care center, including:
    - 1. Current rates for child care; and
    - 2. Each service charged separately and in addition to the basic rate for child care;
  - (f) Minimum staff-to-child ratios and group size established in 922 KAR 2:120; and
  - (g) Daily planned program.

Findings:

General: Based on review of documentation and interview, the child care center failed to post documentation in accordance with regulatory requirements. Review of posted documentation revealed each statement of deficiency issued by the cabinet during the current licensure year and each plan of correction submitted by the child-care center to the cabinet during the current licensure year was not posted. Interview with the staff member in charge revealed that the documentation could not be located at the time of the survey.

Animals

In Compliance

Signature of Provider/Representative

Title

Date