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Inspection Report

Provider Name: Soggy Bottoms Too	Provider Information	CLR No: L358667
Provider Address: 965 South Hwy 25 W Suite 2, Williamsburg, KY, 40769	Provider Type: LICENSED TYPE I	Capacity: 97
Owner(s): Sutton, Jennifer & Woods, Willies Frances		Director(s): Brooks, Vicki L

Inspection Type: Investigation	Inspection Information	Inspection No: 246395
Date Initiated: 08/16/2018 1:00 PM	Date Concluded: 09/18/2018 2:08 PM	
	No. of Children Present: 51	

Inspection Report	
Background Checks	Not In Compliance
5 - Background check/left alone/dismissed/relocated	Not In Compliance
<p>922 KAR 2:280. Section 3. Implementation and Enforcement.</p> <p>(1) A person who is a child care staff member prior to January 1, 2018, shall submit to and complete background checks in accordance with this administrative regulation no later than September 30, 2018.</p> <p>(2) A child care staff member hired on or after April 1, 2018, shall:</p> <p>(a) Have completed the background checks required in accordance with this administrative regulation and been found to have no disqualifying offense prior to becoming a child care staff member; or</p> <p>(b) 1. Have submitted to the background checks required in accordance with this administrative regulation;</p> <p>2. Not be left unsupervised with a child in care pending the completion of the background checks in accordance with this administrative regulation; and</p> <p>3. Be dismissed or relocated from the residence if the person is found to have a disqualifying background check result.</p> <p>Findings:</p> <p>General: Based on observation during a tour of the childcare center, the surveyor observed two (2) individuals that staff identified as volunteers (Start Date: 06/01/18). Through interview, the surveyor learned that volunteers do not have background checks. The childcare Director stated that neither volunteer has been alone with the children.</p>	
Supervision	Not In Compliance
90 - Children Supervised	Not In Compliance
<p>922 KAR 2:120. Section 2. Child Care Services.</p> <p>(3)(a) Each center shall maintain a child-care program that assures each child will be:</p> <p>1. Provided with adequate supervision at all times by a qualified staff person who ensures the child is:</p> <p>a. Within scope of vision and range of voice; or</p> <p>b. For a school-age child, within scope of vision or range of voice;</p> <p>Findings:</p> <p>General: Based on interview, the surveyor learned that on 08/15/18 at approximately 10:00 a.m., a witness observed two (2) three to four-year-old male children fist fight while on the playground. Although, three (3) staff were outside with the children, none stopped the fight as they report they did not observe the fight. Through interview, the surveyor found that the three (3) staff were talking in a group and using their cell phones.</p>	

Inspection Report

Director Requirements

Not In Compliance

350 - Health, Safety, Comfort

Not In Compliance

922 KAR 2:090. Section 10. Director Requirements and Responsibilities.

(1) A director shall:

(l) Assure the health, safety, and comfort of each child;

Findings:

General: Based on observation during a tour of the childcare center, the surveyor found the following:

1. A child's cot was propped against the wall located in the classroom for the two-year-old children. The cot was accessible and presented a safety risk to the children.
2. A fan was in use and located in the doorway of the School-age Room. The youngest child in the room was four-years-old. The fan did not have a guard and was accessible to the children.

Employee Records

Not In Compliance

385 - Personnel File

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(e) A current personnel file for each child-care center staff person to include:

1. Name, address, date of birth, and date of employment;
2. Proof of educational qualifications;
3. Record of annual performance evaluation;
4. Documentation of compliance with tuberculosis screening in accordance with Section 11(1)(b) of this administrative regulation; and
5. The results of background checks conducted in accordance with 922 KAR 2:280;

Findings:

General: Based on review of documentation and observation during a tour of the childcare center, the surveyor observed two (2) individuals that staff (Start Date: 06/01/18) identified as volunteers. Through interview, the surveyor learned that volunteers do not have background checks. The childcare Director stated that neither volunteer has been alone with the children.

390 - Educational Requirements

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

(a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:

1. High school diploma;
2. GED or qualifying documentation from a comparable educational entity; or
3. Commonwealth Child Care Credential as described in 922 KAR 2:250;

Findings:

General: Based on review of documentation, the surveyor found that two (2) staff's (DOH: 04/30/18 and 05/22/18) files did not contain verification of a High School Diploma, GED or Commonwealth Child Care Credential.

395 - TB Verification

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

(b) Shall provide, prior to employment and every two (2) years thereafter:

1. A statement from a health professional that the individual is free of active tuberculosis; or
2. A copy of negative tuberculin results.

Findings:

General: Based on review of documentation, the surveyor found that a staff's (DOH: 05/22/18) file did not contain a copy of a negative tuberculin result or a statement from a health professional that the individual is free of active tuberculosis.

410 - Training

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:

- (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;**
- (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and**
- (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.**

Findings:

General: Based on review of documentation and ECE-TRIS, the surveyor found no verification that two (2) staff (DOH: 04/30/18 and 05/22/18) have completed six (6) hours of cabinet-approved orientation training.

Inspection Report

Programming

Not In Compliance

485 - Infant Sleep Position

Not In Compliance

922 KAR 2:120. Section 6. Sleeping and Napping Requirements.

(1) An infant shall sleep or nap on the infant's back unless the infant's health professional signs a waiver that states the infant requires an alternate sleeping position.

Findings:

General: Based on observation during a tour of the Infant Classroom, the surveyor found an infant sleeping in a swing rather than on its back in a crib. The child's file did not contain a statement from a health professional that indicates the child requires an alternate sleeping position.

495 - Bedding/Toys in Crib

Not In Compliance

922 KAR 2:120. Section 6. Sleeping and Napping Requirements.

(3) Rest time shall include adequate space specified by the child's age as follows:

(a) For an infant:

- 3. No loose bedding, such as a bumper or a blanket; and
4. No toys or other items except the infant's pacifier;

Findings:

General: Based on observation during a tour of the Infant Classroom, the surveyor found a bottle in a crib with a sleeping infant.

Children's Records

Not In Compliance

1135 - Immunization

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;

Findings:

General: Based on review of documentation, the surveyor found that two (2) children's (DOE: 04/12/18 and 06/18/18) files did not contain immunization certificates.

1140 - Enrollment Information

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(b) A written record for each child:

- 1. Completed and signed by the child's parent;
2. Retained on file on the first day the child attends the child-care center; and
3. To contain:
a. Identifying information about the child, which includes, at a minimum, the child's name, address, and date of birth;
b. Contact information to enable a person in charge to contact the child's:
(i) Parent at the parent's home or place of employment;
(ii) Family physician; and
(iii) Preferred hospital;
c. The name of each person who is designated in writing to pick-up the child;
d. The child's general health status and medical history including, if applicable:
(i) Allergies;
(ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and
(iii) Permission from the parent for third-party professional services in the child-care center;
e. The name and phone number of each person to be contacted in an emergency involving or impacting the child;
f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;

Findings:

General: Based on review of documentation, the surveyor found that the following enrollment information was not available for review for a child (06/18/18): Date of birth and authorization by the parent for the childcare center to seek emergency medical care for the child in the parent's absence.

Signature of Provider/Representative

Title

Date