



CABINET FOR HEALTH AND FAMILY SERVICES
OFFICE OF INSPECTOR GENERAL

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Inspection Report

Provider Name: Small World Day Care	Provider Information	CLR No: L352736
Provider Address: 206 North Walnut Street, Springfield, KY, 40069	Provider Type: LICENSED TYPE I	Capacity: 40
Owner(s): Griffiths, Cynthia & Graves, Jane Carolyn		Director(s): Griffiths, Cynthia; Graves, Jane Carolyn

Inspection Type: Renewal Application	Inspection Information	Inspection No: 219170
Date Initiated: 03/17/2017 10:12 AM	Date Concluded: 03/17/2017 11:05 AM	
	No. of Children Present: 28	

Inspection Report

Supervision	Not In Compliance
5 - Children Supervised	Not In Compliance

922 KAR 2:120. Section 2. Child Care Services.

- (3)(a) Each center shall maintain a child-care program that assures each child will be:**
- 1. Provided with adequate supervision at all times by a qualified staff person who:**
 - a. Ensures the child is within scope of vision and range of voice; or**
 - b. For a school-age child, within scope of vision or range of voice;**

Findings:

General: Based on Observation and interview, this regulatory requirement was not met. Upon arrival (10:12AM) the surveyor observed the staff person in charge walk out of the kitchen, through the office area and into the two (2) year old classroom. The surveyor followed the staff person in charge into the two (2) year old classroom and observed eight (8) children present in the room. A staff person was observed standing in the doorway between the two (2) year old classroom and the infant/toddler classroom. The staff person in charge stated that she had left the room to get her eye glasses and indicated that one (1) of the infant/toddler teachers was supervising her group of children while she was gone. It is important to note that the infant/toddler staff person was already caring for a group of ten (10) children in the infant/toddler classroom with a second staff person. Therefore, the infant/toddler staff person was supervising a total of eighteen (18) children while the staff person in charge left the room to go get her eye glasses which did not allow her to be able to adequately supervise the children.

Inspection Report

Staffing Requirements

Not In Compliance

40 - Ratios and Group Size

Not In Compliance

922 KAR 2:120. Section 2. Child Care Services.

(2) Minimum staff-to-child ratios and group size for an operating child-care center shall be maintained as follows:

Age of Children Ratio Maximum Group Size*

Infant

1 staff for 5 children 10

Toddler

1 staff for 6 children 12

Preschool-age 2 to 3 years

1 staff for 10 children 20

Preschool-age 3 to 4 years

1 staff for 12 children 24

Preschool-age 4 to 5 years

1 staff for 14 children 28

School-age 5 to 7 years

1 staff for 15 children 30

School-age 7 and older

1 staff for 25 children

(for before and after school) 30

1 staff for 20 children

(full day of care) 30

***Maximum Group Size shall be applicable only to Type I child-care centers.**

Findings:

General: Based on Observation and interview, this regulatory requirement was not met. Upon arrival (10:12AM) the surveyor observed the staff person in charge walk out of the kitchen, through the office area and into the two (2) year old classroom. The surveyor followed the staff person in charge into the two (2) year old classroom and observed eight (8) children present in the room. A staff person was observed standing in the doorway between the two (2) year old classroom and the infant/toddler classroom. The staff person in charge stated that she had left the room to get her eye glasses and indicated that one (1) of the infant/toddler teachers was supervising her group of children while she was gone. It is important to note that the infant/toddler staff person was already caring for a group of ten (10) children in the infant/toddler classroom with a second staff person. The infant/toddler staff person had a total of eighteen (18) children (age range: infant - three (3) year olds) in her care during this timeframe; therefore, staff-to-child ratios and group size was not in compliance.

General Administration

In Compliance

Director Requirements

Not In Compliance

260 - Staff Evaluation

Not In Compliance

922 KAR 2:110. Section 4. Director Requirements and Responsibilities.

(1) Effective with the adoption of this administrative regulation, a director shall:

(j) Assess each staff person's interaction with children in care and classroom performance through an annual written performance evaluation;

Findings:

General: Based on Review of Documentation, one (1) staff person (hire date: 3/1/14) eligible for an annual performance review did not have current documentation of a review on file in the facility.

Inspection Report

Employee Records

Not In Compliance

310 - Personnel File

Not In Compliance

922 KAR 2:110. Section 3. Records.

- (1) A child-care center shall maintain:**
 - (e) A current personnel file for each child-care center staff person to include:**
 - 1. Name, address, date of birth, and date of employment;**
 - 2. Proof of educational qualifications;**
 - 3. Record of annual performance evaluation;**
 - 4. Written record of training participation to include:**
 - a. The training source;**
 - b. Location;**
 - c. Date; and**
 - d. Number of clock hours completed;**
 - 5. Every two (2) years, a:**
 - a. Statement from a health professional that the individual is free of active tuberculosis; or**
 - b. Copy of negative tuberculin results; and**
 - 6. For a director, employee, volunteer, or any person with supervisory or disciplinary control over, or having unsupervised contact with, a child in care, the results of a:**
 - a. Child abuse or neglect check using the central registry in accordance with 922 KAR 1:470;**
 - b. Criminal records check required by KRS 199.896(19);**
 - c. Criminal records check from any previous state of residence completed once if:**
 - (i) The individual resided outside the state of Kentucky in the last five (5) years; and**
 - (ii) No criminal records check has been completed for the individual's previous state of residence; and**
 - d. An address check of the Sex Offender Registry;**

Findings:

General: Based on Review of Documentation and interview, the facility failed to maintain staff files. One (1) staff person (hire date: 3/1/14) did not have an employee file on site. The only documentation available for the surveyor to review for this staff person was the required background checks and TB documentation that was no longer current. The staff person in charge stated that she had misplaced the staff person's file; therefore, the staff person did not have educational documentation on file, current TB documentation and updated training certificates.

320 - TB Verification

Not In Compliance

922 KAR 2:110. Section 5. Staff Requirements.

- (1) Child-care center staff:**
 - (b) Shall provide, prior to employment and every two (2) years thereafter:**
 - 1. A statement from a health professional that the individual is free of active tuberculosis; or**
 - 2. A copy of negative tuberculin results.**

Findings:

General: Based on Review of Documentation, this regulatory requirement was not met. Two (2) staff files (hire dates: 3/1/14 and 3/7/11) contained results of negative T.B. tests that are no longer current. The tests were dated 1/23/15 and 3/21/13 which exceeds the two (2) year time frame.

340 - Training

Not In Compliance

922 KAR 2:110. Section 5. Staff Requirements.

- (14) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:**
 - (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;**
 - (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of pediatric abusive head trauma training; and**
 - (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of pediatric abusive head trauma training completed once every five (5) years.**

Findings:

General: Based on Review of Documentation and the Training Records Information System (TRIS), the following was found:

- 1. One (1) staff file (hire date: 3/1/14) did not contain evidence of the pediatric abusive head trauma training class being completed within the first year of employment.
- 2. Two (2) staff files (hire dates: 3/1/14 and 3/7/11) did not contain the required fifteen (15) hours of training.

Programming	In Compliance
Premises	In Compliance
Hygienic Practices	In Compliance
First Aid/Medication	In Compliance
Outdoor Play Area	In Compliance
Equipment	In Compliance
Transportation	Not Applicable
Food Service	In Compliance
Children's Records	In Compliance

Inspection Report

Written Documentation

Not In Compliance

1105 - Professional Development

Not In Compliance

922 KAR 2:110. Section 3. Records.

(1) A child-care center shall maintain:

(f) A written annual plan for child-care staff professional development;

Findings:

General: Based on Review of Documentation, one (1) staff person (hire date: 3/1/14) did not have evidence of a current staff professional development plan on file in the facility.

Posted Documentation

In Compliance

Animals

Not Applicable

Signature of
Provider/Representative

Title

Date