



Andy Beshear
GOVERNOR

CABINET FOR HEALTH AND FAMILY SERVICES
OFFICE OF INSPECTOR GENERAL

Melissa A. Moore, Director
Division of Regulated Child Care
Eastern Branch
455 Park Place, Suite 120A
Lexington, KY 40511
Phone: (859) 246-2301 Fax: (859) 246-2307
<https://chfs.ky.gov/agencies/os/oig>

Eric Friedlander
SECRETARY

Adam Mather
INSPECTOR GENERAL

Inspection Report

Provider Name: Little Learners Learning Center	Provider Information	CLR No: L383571
Provider Address: 18 Needmore St., Walton, KY, 41094	Provider Type: LICENSED TYPE I	Capacity: 25(Bldg 1: 31)
Owner(s): Little Learners Learning Center Llc		Director(s): Daugherty, Regina Lynn

Inspection Type: Renewal Application	Inspection Information	Inspection No: 242260
Date Initiated: 10/19/2017 8:15 AM	Date Concluded: 10/19/2017 10:10 AM	
	No. of Children Present: 19	

Inspection Report	
Supervision	In Compliance
Staffing Requirements	Not In Compliance
40 - Ratios and Group Size	Not In Compliance
<div>922 KAR 2:120. Section 2. Child Care Services. (2) Minimum staff-to-child ratios and group size for an operating child-care center shall be maintained as follows: Age of Children Ratio Maximum Group Size* Infant 1 staff for 5 children 10 Toddler 1 staff for 6 children 12 Preschool-age 2 to 3 years 1 staff for 10 children 20 Preschool-age 3 to 4 years 1 staff for 12 children 24 Preschool-age 4 to 5 years 1 staff for 14 children 28 School-age 5 to 7 years 1 staff for 15 children 30 School-age 7 and older 1 staff for 25 children (for before and after school) 30 1 staff for 20 children (full day of care) 30 *Maximum Group Size shall be applicable only to Type I child-care centers.</div>	
Findings: General: Based on Observation, it was found that one (1) staff person was supervising ten (10) children ages one to four-years-old in the Two Year Old classroom. A review of the attendance records found that the classroom was over ratio from 7:30 a.m. until 8:25 a.m.	
General Administration	In Compliance

Inspection Report	
Director Requirements	Not In Compliance
265 - Health, Safety, Comfort	Not In Compliance
922 KAR 2:110. Section 4. Director Requirements and Responsibilities. (1) Effective with the adoption of this administrative regulation, a director shall: (l) Provide for the health, safety, and comfort of each child;	
Findings: General: Based on Observation, it was found that there was one (1) uncovered electrical outlet near the door to the Two Year Old classroom.	
275 - Caregiver Alone	Not In Compliance
922 KAR 2:110. Section 4. Director Requirements and Responsibilities. (1) Effective with the adoption of this administrative regulation, a director shall: (n) Assure that a person acting as a caregiver of a child in care shall not be left alone with a child, if the licensee has not received the results of the background checks as described in Section 3(1)(e)6 of this administrative regulation;	
Findings: General: Based on Observation, it was found that an employee rehired on 8-8-17 was working alone with four (4) infants and toddlers for the duration of the survey. During interview, it was found that she has worked alone in the Infant classroom daily since being rehired. This employee's file did not contain evidence of a completed criminal records check or out of state background check. She lived in Ohio as recently as 2015.	
Employee Records	Not In Compliance
300 - Background checks/left alone	Not In Compliance
922 KAR 2:090. Section 6. License Issuance. (5) An individual described in subsection (4) of this section shall: (a) Submit to background checks described in paragraph (b) of this subsection; (b) May be employed or work with a child on a probationary basis for up to ninety (90) calendar days, pending completion of a: 1. Child abuse or neglect check using the central registry in accordance with 922 KAR 1:470; 2. Criminal records check required by KRS 199.896(19); 3. Criminal records check for any previous state of residence if the person resided outside the state of Kentucky in the last five (5) years; and 4. An address check of the Sex Offender Registry; and (c) Not be left alone in the presence of a child until copies of the background checks in accordance with paragraph (b) of this subsection have been received by the licensee.	
Findings: General: Based on Review of Documentation, it was found that the file for an employee rehired on 8-8-17 did not contain evidence of submission for or the results of a criminal records check. It was also found that this employee lived in Ohio as recently as 2015. Her file did not contain evidence of submission for or the results of an out of state background check. This employee was observed to be working alone with four (4) infants and toddlers for the duration of the survey. During interview, it was found that she has worked alone in the Infant classroom daily since being rehired.	
Programming	Not In Compliance
350 - Program of Activities Followed	Not In Compliance
922 KAR 2:120. Section 2. Child Care Services. (4) The child-care center shall provide a daily planned program: (a) Posted in writing in a conspicuous location with each age group and followed; (b) Of activities that are individualized and developmentally appropriate for each child served; (c) That provides experience to promote the individual child's physical, emotional, social, and intellectual growth and well-being; and (d) That offers a variety of creative activities including the following: 1. Art; 2. Music; 3. Dramatic play; 4. Stories and books; 5. Science; 6. Block building; 7. Tactile activity; 8. Culture; 9. Indoor or outdoor play in which a child makes use of both small and large muscles; 10. A balance of active and quiet play, including group and individual activity; 11. An opportunity for a child to: a. Have some free choice of activities; b. If desired, play apart from the group at times;	
Findings: General: Based on Observation, it was found that there were no culture activities available for the children in the Infant classroom. It was also found that there were no blocks available for the children in the One Year Old classroom. In addition, it was found that the posted schedule of daily activities in the Infant classroom included activities such as musical chairs, making necklaces, an indoor/outdoor color search, the Hokey Pokey and a color obstacle course. These activities are not developmentally appropriate for this age group.	

365 - Regularity of Routines

Not In Compliance

922 KAR 2:120. Section 2. Child Care Services.

(6) Regularity of routines shall be implemented to afford the child familiarity with the daily schedule of activity.

Findings:

General: Based on Observation, it was found that a three-year-old child was moved to the Two Year Old classroom when the ninth child arrived for the Preschool classroom. During interview, it was found that the facility bumps children from the Preschool classroom to the Two Year Old classroom depending on the attendance for the day. This process does not allow for a regularity of routines.

410 - Infant/Toddler Combined with Older Children

Not In Compliance

922 KAR 2:120. Section 5. Infant and Toddler Play Requirements.

(2) Except in accordance with subsection (3) of this section, an infant or toddler shall participate in an activity with an older child for no more than one (1) hour per day.

Findings:

General: Based on Observation, it was found that a one-year-old child was present in the Two Year Old classroom from 6:53 a.m. until 8:55 a.m.

415 - Toddler Combined with Preschool

Not In Compliance

922 KAR 2:120. Section 5. Infant and Toddler Play Requirements.

(3) A toddler may participate in an activity with an older child for more than one (1) hour per day if:

- (a) The toddler is in transition to the pre-school age group;**
- (b) The toddler is twenty-one (21) months or older;**
- (c) Space for the toddler is available in the preschool-age group;**
- (d) The staff-to-child ratios and group sizes are maintained based the age of the youngest child;**
- (e) The center has a procedure for listing a transitioning toddler on attendance records, including a specific day and time the toddler is with either age group; and**
- (f) The child care center has obtained the signature and approval of the toddler's parent on the toddler's transition plan.**

Findings:

General: Based on Observation, it was found that a one-year-old child was present in the Two Year Old classroom from 6:53 a.m. until 8:55 a.m. The child did not have a written transition plan on file nor did they have a procedure for listing the days and times the child is in the Two Year Old classroom. During the survey, it was found that proper ratios in the classroom were not maintained from 7:30 a.m. to 8:25 a.m.

435 - Bedding/Toys in Crib

Not In Compliance

922 KAR 2:120. Section 6. Sleeping and Napping Requirements.

(3) Rest time shall include adequate space specified by the child's age as follows:

- (a) For an infant:**
 - 3. No loose bedding; and**
 - 4. No toys or other items except the infant's pacifier;**

Findings:

General: Based on Observation, it was found that a pink sheet on a crib mattress was very loose.

Premises

Not In Compliance

460 - Inaccessible Items

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

(7) Except in accordance with subsection (8) of this section, the following shall be inaccessible to a child in care:

- (a) Toxic cleaning supplies, poisons, and insecticides;**
- (b) Knives and sharp objects;**
- (c) Matches, cigarettes, lighters, and flammable liquids;**
- (d) Plastic bags;**
- (e) Litter and rubbish;**
- (f) Bar soap; and**
- (g) Personal belongings and medications of staff.**

Findings:

General: Based on Observation, it was found that a pack of cigarettes was being stored on a support beam of the wooden fence next to the door leading to and from the playground. The cigarettes were within reach of the children.

480 - Premises Requirements

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

(1) The premises shall be:

- (a) Suitable for the purpose intended;**
- (b) Kept clean and in good repair;**

Findings:

General: Based on Observation, it was found that the paint on the walls was peeling in the Two Year Old classroom and the Preschool classroom. It was also found that two (2) of the plastic outlet covers in the Two Year Old classroom were broken and cracked, creating sharp edges. In the bathroom, it was found that the toilet paper holder was broken.

Inspection Report**505 - 35 Square Feet per Child****Not In Compliance****922 KAR 2:120. Section 4. Premises Requirements.****(6) Exclusive of the kitchen, bathroom, hallway, and storage area, there shall be a minimum of thirty-five (35) square feet of space per child.****Findings:**

General: Based on Observation, it was found that upon arrival to the facility, a staff person was caring for ten (10) children in the Two Year Old classroom. Based on square footage, this classroom has a maximum capacity of six (6) children. Based on a review of attendance records, it was found that the classroom was over capacity from 7:30 a.m. until 8:25 a.m.

Hygienic Practices**Not In Compliance****595 - Child Personal Care/Handwashing****Not In Compliance****922 KAR 2:120. Section 3. General Requirements.****(4) A child shall:**

- (a) Be helped with personal care and cleanliness based upon their developmental skills; and**
- (b) Wash his or her hands with liquid soap and warm running water:**
 - 1. a. Upon arrival at the center; or**
 - b. Within thirty (30) minutes of arrival for school-age children;**
 - 2. Before and after eating or handling food;**
 - 3. After toileting or diaper change;**
 - 4. After handling animals;**
 - 5. After wiping or blowing nose;**
 - 6. After touching items soiled with body fluids or wastes; and**
 - 7. After outdoor or indoor play time.**

Findings:

General: Based on Observation, it was found that a child arrived at the facility at 8:26 a.m. The child did not have his hands washed with liquid soap and running water prior to being allowed to play with the toys and other children. Three (3) infants and toddlers were observed to have their diapers changed in the Infant classroom. The children did not have their hands washed after the diaper changes.

625 - Diaper Changing Area/Surface**Not In Compliance****922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.****(10) When a child is diapered, the child shall:**

- (b) Be placed on a surface that is:**
 - 1. Clean;**
 - 2. Padded;**
 - 3. Free of holes, rips, tears, or other damage;**
 - 4. Nonabsorbent;**
 - 5. Easily cleaned; and**
 - 6. Free of any items not used for diaper changing.**

Findings:

General: Based on Observation, it was found that the diaper changing table in the bathroom is not padded. The table was observed to be used during the survey.

First Aid/Medication**Not In Compliance****670 - Medication****Not In Compliance****922 KAR 2:120. Section 7. First Aid and Medicine.****(6) Medication, including refrigerated medication, shall be:**

- (a) Stored in a separate and locked place, out of the reach of a child;**
- (b) Kept in the original bottle; and**
- (c) Properly labeled.**
- (7) Medication shall not be given to a child if the expiration date on the bottle has passed.**

Findings:

General: Based on Observation, it was found that a tube of Equate diaper rash cream was being stored in an unlocked bottom drawer of a cabinet located in the Two Year Old classroom.

Outdoor Play Area**Not In Compliance****690 - Playground Conditions****Not In Compliance****922 KAR 2:120. Section 4. Premises Requirements.****(20) An outdoor play area shall be:**

- (d) Safe from foreseeable hazard;**
- (e) Well drained;**
- (f) Well maintained;**
- (g) In good repair; and**
- (h) Visible to staff at all times.**

Findings:

General: Based on Observation, it was found that a pack of cigarettes was being stored on a support beam of the wooden fence next to the door leading to and from the playground. The cigarettes were within reach of the children.

Inspection Report	
Equipment	Not In Compliance
730 - Crib/Mattress/Sheet	Not In Compliance
922 KAR 2:120. Section 6. Sleeping and Napping Requirements. (3) Rest time shall include adequate space specified by the child's age as follows: (a) For an infant: 1. An individual non-tiered crib that meets Consumer Product Safety Commission standards established in 16 C.F.R. 1219-1220; 2. A firm crib mattress in good repair with a clean tight-fitted sheet that shall be changed: a. Weekly; or b. Immediately if it is soiled or wet;	
Findings: General: Based on Observation, it was found that a pink sheet on a crib mattress was very loose and wrinkly. In addition, it was found that at times there are four (4) infants in attendance at the same time. The facility only has two (2) cribs available for use.	
755 - Toys/Equipment/Furniture	Not In Compliance
922 KAR 2:120. Section 11. Toys and Furnishings. (1) All toys, equipment, and furniture contacted by a child shall be: (a) Kept clean and in good repair; and (b) Free of peeling, flaking, or chalking paint.	
Findings: General: Based on Observation, it was found that one (1) of the mats used for nap time was split, exposing the foam inside.	
Transportation Not Applicable Food Service Not In Compliance	
910 - Bottle Feeding	Not In Compliance
922 KAR 2:120. Section 3. General Requirements. (11) While bottle feeding an infant, the: (a) Child shall be held; and (b) Bottle shall not be: 1. Propped; 2. Left in the mouth of a sleeping infant; or 3. Heated in a microwave	
Findings: General: Based on Observation, it was found that an eleven-month-old child was laying in a hammock-type piece of equipment while drinking a bottle.	
960 - Bottles	Not In Compliance
922 KAR 2:120. Section 8. Kitchen Requirements. (9) Bottles shall be: (a) Individually labeled; (b) Promptly refrigerated; (c) Covered when not in use; and (d) Consumed within one (1) hour of being heated or removed from the refrigerator.	
Findings: General: Based on Observation, it was found that there were four (4) unlabeled bottles in the refrigerator located in the Infant classroom.	
Children's Records Not In Compliance	
1070 - Immunization	Not In Compliance
922 KAR 2:110. Section 3. Records. (1) A child-care center shall maintain: (a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;	
Findings: General: Based on Review of Documentation, it was found that the file for one (1) child contained an immunization certificate that did not have an expiration date. The file for another child contained an immunization certificate that expired on 10-14-17. Both of these children have been enrolled for longer than thirty (30) days.	

Inspection Report	
Written Documentation	Not In Compliance
1090 - Policies and Procedures	Not In Compliance
<p>922 KAR 2:110. Section 2. General. (5) Program policies and procedures shall: (a) Be in writing; and (b) Include: 1. Staff policies; 2. Job descriptions; 3. An organization chart; 4. Chain of command; and 5. Other procedures necessary to ensure implementation of: a. KRS 199.898, Rights for children in child-care programs and their parents, custodians, or guardians - posting and distribution requirements; b. 922 KAR 2:090, Child-care center licensure; c. 922 KAR 2:120, Child-care center health and safety standards; and d. This administrative regulation.</p> <p>Findings: General: Based on Review of Documentation, it was found that the organizational chart/chain of command listed individuals who no longer work at the facility. A current document was not available for review.</p>	
1095 - Daily Attendance Records	Not In Compliance
<p>922 KAR 2:110. Section 3. Records. (1) A child-care center shall maintain: (c) Daily attendance records documenting the arrival and departure time of each child, including records that are required in accordance with 922 KAR 2:160, Section 13, if a child receives services from the child-care center through the Child Care Assistance Program;</p> <p>Findings: General: Based on Review of Documentation, it was found that only thirteen (13) of the nineteen (19) children present at the facility were signed in. It was also found that a school age child who goes to school from the facility was not signed out.</p>	
1100 - Staff Schedule	Not In Compliance
<p>922 KAR 2:110. Section 3. Records. (1) A child-care center shall maintain: (d) A written schedule of staff working hours;</p> <p>Findings: General: Based on Review of Documentation, it was found that the staff schedule listed individuals who no longer work at the facility. A current staff schedule was not available for review.</p>	
1145 - Earthquake/Tornado Drills	Not In Compliance
<p>922 KAR 2:120. Section 3. General Requirements. (13) An earthquake drill and a tornado drill shall be conducted during hours of operation: (a) At least quarterly; and (b) Documented.</p> <p>Findings: General: Based on Review of Documentation, it was found that the documentation regarding earthquake and tornado drills did not contain evidence of a tornado drill being conducted since the facility opened in September of 2016.</p>	
Posted Documentation	Not In Compliance
1155 - Posting Requirements	Not In Compliance
<p>922 KAR 2:110. Section 2. General. (7) In addition to the posting requirement of KRS 199.898(3), a child-care center shall post the following in a conspicuous place and make available for public inspection: (a) Each statement of deficiency and civil penalty notice issued by the cabinet during the current licensure year; (b) Each plan of correction submitted by the child-care center to the cabinet during the current licensure year; (c) Information on the Kentucky Consumer Product Safety Program and the program's website as specified in KRS 199.897; (d) A description of services provided by the child-care center, including: 1. Current rates for child care; and 2. Each service charged separately and in addition to the basic rate for child care; (e) Minimum staff-to-child ratios and group size established in 922 KAR 2:120; and (f) Daily schedule.</p> <p>Findings: General: Based on Observation, it was found that the statement of deficiencies issued and the plan of correction submitted for the post-preliminary survey conducted on 11-30-16 were not posted in the facility. In addition, it was found that a daily schedule was not posted in the One Year Old classroom.</p>	

Inspection Report

1165 - Daily Activities

Not In Compliance

922 KAR 2:110. Section 4. Director Requirements and Responsibilities.

(1) Effective with the adoption of this administrative regulation, a director shall:

(h) Post a schedule of daily activities, to include dates and times of activities to be conducted with the children in each classroom;

Findings:

General: Based on Observation, it was found that the schedule of daily activities posted in the One Year Old classroom was dated for the week of 10-2-17. An accurate schedule of daily activities was not posted in the school age classroom. The schedule posted was for full day care only.

Animals

Not Applicable

Signature of Provider/Representative

Title

Date