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Adam Mather
INSPECTOR GENERAL

Inspection Report

Provider Name: Ashland Independent Child Care Provider Address: 2208 Diniaco Drive, Ashland, KY, 41101 Owner(s): Ashland Board Of Education	Provider Information Provider Type: LICENSED TYPE I	CLR No: L356125 Capacity: 70 Director(s): White, Melissa
Inspection Type: Renewal Application Date Initiated: 11/05/2021 11:30 AM	Inspection Information Date Concluded: 11/05/2021 2:00 PM No. of Children Present: 10	Inspection No: 319372

Inspection Report	
Background Checks	Not In Compliance
5 - Background check/left alone/dismissed/relocated 922 KAR 2:280. Section 3. Implementation and Enforcement. (1) A person who is a child care staff member prior to January 1, 2018, shall submit to and complete background checks in accordance with this administrative regulation no later than September 30, 2018. (2) A child care staff member hired on or after April 1, 2018, shall: (a) Have completed the background checks required in accordance with this administrative regulation and been found to have no disqualifying offense prior to becoming a child care staff member; or (b) 1. Have submitted to the background checks required in accordance with this administrative regulation; 2. Not be left unsupervised with a child in care pending the completion of the background checks in accordance with this administrative regulation; and 3. Be dismissed or relocated from the residence if the person is found to have a disqualifying background check result. Findings: General: Based on observation, one staff hired 08/23/2021, was left alone without submitting fingerprints or proof of a cleared background check from the KARES system.	Not In Compliance
10 - Submit background check 922 KAR 2:280 - Section 4. Procedures and Payments. (1) To initiate the process for obtaining background checks on a prospective child care staff member, the child care provider shall: (a) Request that the prospective child care staff member provide a copy of his or her driver's license or other government-issued photo identification and verify that the photograph clearly matches the prospective child care staff member; (b) Request that the prospective child care staff member complete and sign the: 1. DCC-500, Applicant Child Care Staff Member Waiver Agreement and Statement; and 2. DCC-501, Disclosures to Be Provided to and Signed by the Applicant Child Care Staff Member; and (c) Log on to the NBCP portal and enter the prospective child care staff member's demographic information for a check of the: 1. Child abuse and neglect central registry pursuant to 922 KAR 1:470; 2. National Crime Information Center's National Sex Offender Registry in accordance with 34 U.S.C. 20921; and 3. Sex Offender Registry established in accordance with KRS 17.500 through 17.580. Findings: General: Based on observation and review of documentation, one staff hired 8/23/2021, has not yet initiated the process to obtain a background check through the KARES system.	Not In Compliance
Supervision Staffing Requirements	In Compliance In Compliance

Inspection Report	
General Administration	Not In Compliance
225 - Licensee Responsibility	Not In Compliance
<p>922 KAR 2:090. Section 8. General.</p> <p>(1) A licensee shall:</p> <p>(a) Be responsible for the operation of the child-care center pursuant to this administrative regulation, 922 KAR 2:120, and 922 KAR 2:280; and</p> <p>(b) Protect and assure the health, safety, and comfort of each child.</p> <p>Findings:</p> <p>General: Based on observation, there were four uncovered electrical outlets in the Preschool room.</p>	
Director Requirements	In Compliance
Employee Records	Not In Compliance
405 - TB Verification	Not In Compliance
<p>922 KAR 2:090. Section 11. Staff Requirements.</p> <p>(1) Child-care center staff:</p> <p>(b) Shall provide, prior to employment and every two (2) years thereafter:</p> <p>1. A statement from a health professional that the individual is free of active tuberculosis; or</p> <p>2. A copy of negative tuberculin results.</p> <p>Findings:</p> <p>General: Based on review of documentation, one staff hired on 8/9/2010, did not have evidence of a negative TB skin test of a physician's statement on file at the facility.</p>	
435 - Training	Not In Compliance
<p>922 KAR 2:090. Section 11. Staff Requirements.</p> <p>(16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:</p> <p>(a) Six (6) hours of cabinet-approved orientation completed within the first three (3) months of employment in a child-care program;</p> <p>(b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment in a child care program, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and</p> <p>(c) Fifteen (15) hours of cabinet-approved early care and education training completed between July 1 and the following June 30 of each subsequent year of employment in a child care program, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.</p> <p>(17) A staff person's compliance with training requirements of this section shall be verified through the cabinet-designated database maintained pursuant to 922 KAR 2:240.</p> <p>Findings:</p> <p>General: Based on review of documentation, four staff (DOH: 8/13/03, 8/1/05, 3/24/08, and 8/9/10) did not have documentation of the required 15 annual training hours. A review of ECE-TRIS confirmed this finding.</p>	
Programming	Not In Compliance
455 - Program of Activities Followed	Not In Compliance
<p>922 KAR 2:120. Section 2. Child Care Services.</p> <p>(4) The child-care center shall provide a daily planned program:</p> <p>(a) Posted in writing in a conspicuous location with each age group and followed;</p> <p>(b) Of activities that are individualized and developmentally appropriate for each child served;</p> <p>(c) That provides experience to promote the individual child's physical, emotional, social, and intellectual growth and well-being; and</p> <p>(d) Unless the child-care center is a before- or after-school program that operates part day or less, that offers a variety of creative activities including:</p> <p>1. Art or music;</p> <p>2. Math or numbers;</p> <p>3. Dramatic play;</p> <p>4. Stories and books;</p> <p>5. Science or nature;</p> <p>6. Block building or stacking;</p> <p>7. Tactile or sensory activity;</p> <p>8. Multi-cultural exposure;</p> <p>9. Indoor and outdoor play in which a child makes use of both small and large muscles;</p> <p>10. A balance of active and quiet play, including group and individual activity;</p> <p>11. An opportunity for a child to:</p> <p>a. Have some free choice of activities;</p> <p>b. If desired, play apart from the group at times;</p> <p>Findings:</p> <p>General: Based on observation, a schedule of daily activities, including lists and dates of activities to be conducted, with children was not posted in the classrooms.</p>	

Inspection Report	
Premises	Not In Compliance
625 - Floors, Walls, Ceilings	Not In Compliance
922 KAR 2:120. Section 4. Premises Requirements. (9) Floors, walls, and ceilings shall be smooth, in good repair, and constructed to be easily cleaned.	
Findings: General: Based on observation, the following was found: 1.) There was a large hole in wall, peeling paint on the sink cabinet and walls, nails showing through drywall and multiple water stains on the ceiling in the K1 classroom. 2.) In the Intermediate classroom, there was several stained ceiling tiles and the carpet was in disrepair. In the preschool bathroom, there was a hole cut out in the drywall. 3.) In the girls bathroom used by older children, the wall behind the toilet had a few spots of peeling paint which looked to be from a water leak. 4.) In the boys bathroom used by the older children, there was a water stain on the ceiling.	
Hygienic Practices	In Compliance
First Aid/Medication	In Compliance
Outdoor Play Area	Not In Compliance
795 - Playground Conditions	Not In Compliance
922 KAR 2:120. Section 4. Premises Requirements. (20) An outdoor play area shall be: (d) Safe from foreseeable hazard; (e) Well drained; (f) Well maintained; (g) In good repair; and (h) Visible to staff at all times.	
Findings: General: Based on observation, there was standing water in several areas, including the bottom of the slide, and excessive weed growth in the outdoor play area.	
805 - Fence Construction	Not In Compliance
922 KAR 2:120. Section 4. Premises Requirements. (24) Fences shall be: (a) Constructed of safe material; (b) Stable; and (c) In good condition.	
Findings: General: Based on observation, the fence was in disrepair in two areas of the outdoor play area.	
Equipment	Not In Compliance
860 - Toys/Furniture	Not In Compliance
922 KAR 2:120. Section 13. Toys and Furnishings. (1) All toys and furniture contacted by a child shall be: (a) Kept clean and in good repair; and (b) Free of peeling, flaking, or chalking paint.	
Findings: General: Based on Observation, there was excessive amount of tape residue on shelves in the K1 classroom.	
865 - Indoor/Outdoor Equipment	Not In Compliance
922 KAR 2:120. Section 13. Toys and Furnishings. (2) Indoor and outdoor equipment shall: (a) Be clean, safe, and in good repair; (b) Meet the physical, developmental needs, and interests of children of different age groups; (c) Be free from sharp points or corners, splinters, protruding nails or bolts, loose or rusty parts, hazardous small parts, lead-based paint, poisonous material, and flaking or chalking paint; and (d) Be designed to guard against entrapment or situations that may cause strangulation.	
Findings: General: Based on observation, the following was found: 1.) Three of the soap dispensers at the facility were broken. 2.) One of the plastic play structures on the playground was in disrepair.	
Transportation	In Compliance

Inspection Report	
Kitchen Requirements	Not In Compliance
1040 - Refrigerator	Not In Compliance
922 KAR 2:120. Section 8. Kitchen Requirements. (4) A cold-storage facility used for storage of perishable food in a nonfrozen state shall: (a) Have an indicating thermometer or other appropriate temperature measuring device; (b) Be in a safe environment for preservation; and (c) Be forty (40) degrees Fahrenheit or below.	
Findings: General: Based on observation, the refrigerator used to store the children's lunches did not have a thermometer; therefore the temperature could not be determined.	
Food Service	In Compliance
Meal Planning/Center Provides Meals	In Compliance
Meal Planning/Center Does Not Provide Meals	In Compliance
Children's Records	Not In Compliance
1245 - Immunization	Not In Compliance
922 KAR 2:090. Section 9. Records. (1) A child-care center shall maintain: (a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;	
Findings: General: Based on review of documentation, one children's file did not contain an immunization certificate.	
1250 - Enrollment Information	Not In Compliance
922 KAR 2:090. Section 9. Records. (1) A child-care center shall maintain: (b) A written record for each child: 1. Completed and signed by the child's parent; 2. Retained on file on the first day the child attends the child-care center; and 3. To contain: a. Identifying information about the child, which includes, at a minimum, the child's name, address, and date of birth; b. Contact information to enable a person in charge to contact the child's: (i) Parent at the parent's home or place of employment; (ii) Family physician; and (iii) Preferred hospital; c. The name of each person who is designated in writing to pick-up the child; d. The child's general health status and medical history including, if applicable: (i) Allergies; (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and (iii) Permission from the parent for third-party professional services in the child-care center; e. The name and phone number of each person to be contacted in an emergency involving or impacting the child; f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;	
Findings: General: Based on review of documentation, two children's files did not have physician contact information listed on their enrollment information at the facility.	
Written Documentation	Not In Compliance
1260 - Evacuation Plan	Not In Compliance
922 KAR 2:090. Section 5. Evacuation Plan. (1) A licensed child-care center shall have a written evacuation plan in the event of a fire, natural disaster, or other threatening situation that may pose a health or safety hazard for a child in care in accordance with KRS 199.895 and 42 U.S.C. 9858c(c)(2)(U).	
Findings: General: Based on review of documentation, there was no evidence that the facility's Emergency Preparedness Plan had been updated or submitted to the proper authority for review since 2019.	
1285 - Earthquake/Tornado/Fire Drills	Not In Compliance
922 KAR 2:090. Section 9. Records. (1) A child-care center shall maintain: (h) A written record of quarterly practiced earthquake drills and tornado drills detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3; (i) A written record of practiced fire drills conducted monthly detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;	
Findings: General: Based on review of documentation, there was no documentation of drills being conducted for August, September, and October of 2021.	

Inspection Report

1305 - Fire Drills

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

(12) A fire drill shall be:

- (a) Conducted during hours of operation at least monthly; and
- (b) Documented.

(13) An earthquake drill, shelter-in-place or lockdown drill, and tornado drill shall be:

- (a) Conducted during hours of operation at least quarterly; and
- (b) Documented.

Findings:

General: Based on review of documentation, there was no evidence of any lockdown/stay in place drills being conducted at the facility.

Posted Documentation

Not In Compliance

1310 - Posting Requirements

Not In Compliance

922 KAR 2:090. Section 8. General.

(6) In addition to the posting requirement of KRS 199.898(3), a child-care center shall post the following in a conspicuous place and make available for public inspection:

- (a) The provider's preliminary or regular license;
- (b) Each statement of deficiency and civil penalty notice issued by the cabinet during the current licensure year;
- (c) Each plan of correction submitted by the child-care center to the cabinet during the current licensure year;
- (d) Information on the Kentucky Consumer Product Safety Program and the program's Web site as specified in KRS 199.897;
- (e) A description of services provided by the child-care center, including:
 - 1. Current rates for child care; and
 - 2. Each service charged separately and in addition to the basic rate for child care;
- (f) Minimum staff-to-child ratios and group size established in 922 KAR 2:120; and
- (g) Daily planned program.

Findings:

General: Based on observation, the statement of deficiency and plan of correction from previous relicensure survey was not posted at the facility.

Animals

In Compliance

Signature of Provider/Representative

Title

Date