



**Andy Beshear**  
GOVERNOR

**CABINET FOR HEALTH AND FAMILY SERVICES**  
**OFFICE OF INSPECTOR GENERAL**

**Eric Friedlander**  
SECRETARY

**Melissa A. Moore, Director**  
Division of Regulated Child Care  
Northern Branch  
908 W. Broadway, 10-W  
Louisville, KY 40203

**Adam Mather**  
INSPECTOR GENERAL

Phone: (502) 595-5781 Fax: (502) 595-5773  
<https://chfs.ky.gov/agencies/os/oig>

**Inspection Report**

<b>Provider Name:</b> Pleasant Grove Elementary YMCA Before & After School Child Care	<b>Provider Information</b> <b>Provider Type:</b> LICENSED TYPE I	<b>CLR No:</b> L355967
<b>Provider Address:</b> 6415 Highway 44 E, Mt. Washington, KY, 40047		<b>Capacity:</b> 125
<b>Owner(s):</b> The Young Mens Christian Association Of Greater Louisville		<b>Director(s):</b> Bryant, Sharon Kay

<b>Inspection Type:</b> Renewal Application	<b>Inspection Information</b>	<b>Inspection No:</b> 278682
<b>Date Initiated:</b> 03/18/2019 3:00 PM	<b>Date Concluded:</b> 03/18/2019 5:21 PM	
	<b>No. of Children Present:</b> 66	

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Background Checks	Not In Compliance
<b>85 - Employment Status</b>	<b>Not In Compliance</b>
<p><b>922 KAR 2:280. Section 11. Status of Employment.</b>  <b>(1) A child care provider shall maintain the employment or residential status of each child care staff member who has submitted to a fingerprint-based criminal background check by reporting the status using the NBCP Web-based system.</b></p> <p><b>Findings:</b>                      General: Based on interview and review of documentation, the child care center failed to assure compliance with regulatory background check requirements. Review of the KARES Surveyor Report and interview with staff-in-charge revealed six (6) individuals who were no longer employed with the child care center. The staff-in-charge stated she was not aware that the status was to be changed from current to separated employees for the individuals identified. The staff-in-charge also confirmed that the six (6) individuals were no longer staff members.</p>	
<b>Supervision</b>	<b>In Compliance</b>
<b>Staffing Requirements</b>	<b>In Compliance</b>
<b>General Administration</b>	<b>In Compliance</b>
<b>Director Requirements</b>	<b>In Compliance</b>
<b>Employee Records</b>	<b>Not In Compliance</b>
<b>390 - Educational Requirements</b>	<b>Not In Compliance</b>
<p><b>922 KAR 2:090. Section 11. Staff Requirements.</b>  <b>(1) Child-care center staff:</b>  <b>(a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:</b>                      1. High school diploma;                      2. GED or qualifying documentation from a comparable educational entity; or                      3. Commonwealth Child Care Credential as described in 922 KAR 2:250;</p> <p><b>Findings:</b>                      General: Based on observation, interview and review of documentation, the child care center failed to maintain employee records in accordance with regulatory requirements. Upon request, the staff-in-charge failed to provide documented evidence of education for staff member with a hire date of 03/01/19. Review of employee record and interview with the staff-in-charge revealed the staff is a high school student.</p>	

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410 - Training

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

- (16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:
  - (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;
  - (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and
  - (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.

Findings:

General: Based on interview and review of documentation, the child care center failed to maintain employee records in accordance with regulatory requirements. Upon request, the staff-in-charge failed to provide documented evidence that a staff member with a hire date of 08/19/97 completed one and one-half (1 1/2) hours of cabinet approved pediatric abusive head trauma (PAHT) once every five (5) years. Review of ECE-TRIS on 03/18/19 revealed the last time the staff completed PAHT was 03/19/13.

Programming	In Compliance
Premises	In Compliance
Hygienic Practices	In Compliance
First Aid/Medication	In Compliance
Outdoor Play Area	In Compliance
Equipment	In Compliance
Transportation	Not In Compliance

860 - Transportation Notification/Full Coverage Insurance

Not In Compliance

922 KAR 2:120. Section 12. Transportation.

- (3) Prior to transporting a child, a center providing transportation services of a child shall notify the cabinet or its designee in writing of the:
  - (d) Full insurance coverage for each vehicle;

Findings:

General: Based on interview and review of documentation, the child care center failed to maintain transportation in accordance with regulatory requirements. Upon request, the child care center staff-in-charge failed to provide documented evidence of full coverage insurance for third party transportation used to transport children in care.

960 - Children's Transportation Records

Not In Compliance

922 KAR 2:120. Section 12. Transportation.

- (17) Transportation services provided shall:
  - (a) Be recorded in writing and include:
    - 1. The first and last name of the child transported; and
    - 2. The time each child gets on and the time each child gets off;
  - (b) Be completed by a staff member other than the driver; and
  - (c) Be kept for five (5) years.

Findings:

General: Based on interview and review of documentation, the child care center failed to maintain transportation in accordance with regulatory requirements. Upon request, the child care center staff-in-charge failed to provide documented evidence of transportation logs and field trip permission slips for children in care. Interview with the staff-in-charge revealed field trips were taken during the review period.

Food Service/Food Program	In Compliance
Food Service	In Compliance
Children's Records	Not In Compliance

1135 - Immunization

Not In Compliance

922 KAR 2:090. Section 9. Records.

- (1) A child-care center shall maintain:
  - (a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;

Findings:

General: Based on interview and review of documentation, the child care center failed to maintain children's records in accordance with regulatory requirements. Upon request, the staff-in-charge failed to provide documented evidence of a current immunization certificate for five (5) of ten (10) children whose records were reviewed. Further review revealed the children had been enrolled for more than thirty (30) days.

1145 - Documentation for Off Premises Trip

Not In Compliance

922 KAR 2:090. Section 9. Records.

- (1) A child-care center shall maintain:
  - (b) A written record for each child:
    - 3. To contain:
      - g. A permission form for each trip off the premises signed by the child's parent in accordance with 922 KAR 2:120, Section 12;

Findings:

General: Based on interview and review of documentation, the child care center failed to maintain children's records in accordance with regulatory requirements. Upon request, the child care center staff-in-charge failed to provide documented evidence of transportation logs and field trip permission slips for children in care. Interview with the staff-in-charge revealed field trips were taken during the review period.

**Inspection Report**

**Written Documentation**

**Not In Compliance**

**1150 - Evacuation Plan**

**Not In Compliance**

**922 KAR 2:090. Section 5. Evacuation Plan.**

**(1) A licensed child-care center shall have a written evacuation plan in the event of a fire, natural disaster, or other threatening situation that may pose a health or safety hazard for a child in care in accordance with KRS 199.895 and 42 U.S.C. 9858c(c)(2)(U).**

**Findings:**

General: Based on interview and review of documentation, the child care center failed to maintain written documentation in accordance with regulatory requirements. Upon request, the staff-in-charge failed to provide documented evidence that an emergency preparedness plan was submitted to local emergency officials by December 31st of the review period.

**Posted Documentation**

**In Compliance**

**Animals**

**Not Applicable**

Signature of Provider/Representative

Title

Date