



Andy Beshear
GOVERNOR

CABINET FOR HEALTH AND FAMILY SERVICES
OFFICE OF INSPECTOR GENERAL

Eric Friedlander
SECRETARY

Melissa A. Moore, Director
Division of Regulated Child Care
Eastern Branch
455 Park Place, Suite 120A
Lexington, KY 40511

Adam Mather
INSPECTOR GENERAL

Phone: (859) 246-2301 Fax: (859) 246-2307
<https://chfs.ky.gov/agencies/os/oig>

Inspection Report

Provider Name: Little Lamb Pre-School	Provider Information	CLR No: L350999
Provider Address: #1 Hudson Hollow, Frankfort, KY, 40601	Provider Type: LICENSED TYPE I	Capacity: 60
Owner(s): Little Lamb Pre-school, Inc.		Director(s): Miller, Linda Carol

Inspection Type: Renewal Application	Inspection Information	Inspection No: 292736
Date Initiated: 02/03/2020 10:21 AM	Date Concluded: 02/03/2020 1:55 PM	
	No. of Children Present: 44	

Inspection Report	
Background Checks	In Compliance
Supervision	In Compliance
Staffing Requirements	In Compliance
General Administration	In Compliance
Director Requirements	Not In Compliance

335 - Staff Management/Policy Development/Supervision **Not In Compliance**

922 KAR 2:090. Section 10. Director Requirements and Responsibilities.
(1) A director shall:
(e) Manage the staff in their individual job descriptions;
(f) Assure the development, implementation, and monitoring of child-care center plans, policies, and procedures;
(g) Supervise staff conduct to ensure implementation of program policies and procedures;

Findings:

General: Based on observation, interview and review of documentation, a review of the facility's parent handbook found that sunscreen is the only medication administered by the facility. However, during a tour of the Infant Room, a red plastic box with a lid and a handle were observed on top of a white cabinet. Inside the unlocked box was a tube of prescription Calmoseptine ointment, a container with a prescription label for Magic Butt Treatment and a tube of Desitin diaper ointment. The surveyor asked staff if they had forms obtaining written permission to administer each of the medications. Staff indicated the prescription medications belonged to children who have moved to another classroom. Staff also indicated they do not ask parents to fill out a form giving them permission to administer the diaper ointment or other medications. A tube of A and D diaper ointment was also found in a drawer in the diaper changing area used for the children in the 1's Room. An interview with staff in the 1's Room found that they do not request written permission to administer the diaper ointment. During the exit conference, the staff person in charge indicated the facility does not administer any medication. Further interviews with staff found that the medication belongs to children who attend the facility and are related to staff at the facility. The director did not assure that staff implement program policies and procedures.

Employee Records **Not In Compliance**

395 - TB Verification **Not In Compliance**

922 KAR 2:090. Section 11. Staff Requirements.
(1) Child-care center staff:
(b) Shall provide, prior to employment and every two (2) years thereafter:
1. A statement from a health professional that the individual is free of active tuberculosis; or
2. A copy of negative tuberculin results.

Findings:

General: Based on observation and review of documentation, out of 12 staff files reviewed, there was one staff person with a hire date of 3/26/19 who did not have a T.B. test completed until 1/3/2020. There was an additional staff person hired 7/12/19, who had the results of a T.B. test dated 1/8/2020. The T.B. tests were completed after each staff person's date of hire and not prior to employment. There was no other documentation in the personnel file that indicated the staff person had the results of a current negative T.B. test or a statement from a health professional indicating the individual is free from the symptoms of T.B. prior to employment.

410 - Training

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

- (16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:**
- (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;**
 - (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and**
 - (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.**

Findings:

General: Based on review of documentation, review of documentation, and ECE-Tris records, seven staff had not completed fifteen hours of cabinet-approved, annual training based on their date of employment. In addition, there was a staff person hired 6/25/18, who had not completed 1.5 hours of cabinet-approved pediatric abusive head trauma training during their first year of employment.

Programming

Not In Compliance

495 - Bedding/Toys in Crib

Not In Compliance

922 KAR 2:120. Section 6. Sleeping and Napping Requirements.

- (3) Rest time shall include adequate space specified by the child's age as follows:**
- (a) For an infant:**
 - 3. No loose bedding, such as a bumper or a blanket; and**
 - 4. No toys or other items except the infant's pacifier;**

Findings:

General: Based on observation during a tour of the infant room, a three-month-old infant was observed sleeping in a crib. The child had a pacifier in their mouth that was fastened to a strap attached to the front of the child's clothing. No toys or other items other than the child's pacifier are allowed in the crib with the child. The strap attached to the child's pacifier and clothing is a safety hazard.

Premises

Not In Compliance

520 - Inaccessible Items

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

- (7) The following shall be inaccessible to a child in care:**
- (a) Toxic cleaning supplies, poisons, and insecticides;**
 - (b) Matches, cigarettes, lighters, and flammable liquids; and**
 - (c) Personal belongings and medications of staff.**

Findings:

General: Based on observation, during a tour of the facility the following was observed:

- 1) In the 3's room, a staff person's black purse had been placed on top of a three-drawer filing cabinet. The filing cabinet was low enough where children could reach the purse.
- 2) In the Preschool Room, a staff person's bag with personal belongings was observed on the floor between the desk and a chair. The bag was accessible to the children.
- 3) In the 2's room, a container of Clorox disinfectant wipes had been placed on the desk. The label on the container of wipes contains a warning to keep out of the reach of children. The Clorox wipes were accessible to the children.

535 - Smoking Protocol

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

- (10) Smoking or vaping shall:**
- (a) Be permitted in accordance with local ordinances;**
 - (b) Be allowed only in outside designated areas; and**
 - (c) Not be permitted in the presence of a child.**

Findings:

General: Based on observation and interview, a large open metal container was observed on the front porch of the facility. Numerous cigarette butts were observed in the container. Interviews with staff who smoke found that they smoke in their vehicles. One of the staff vehicles was observed parked in front of the outdoor play area. The staff person's vehicle is within view of the playground where the children play. It should also be noted that staff, parents and children enter the building through a door where the front porch is located. This same staff person also commented that he will sometimes smoke on the front porch but this is not done while children are in view. This regulation requires that staff do not smoke in areas that can be viewed by a child.

540 - Premises Requirements

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

- (1) The premises shall be:**
- (a) Suitable for the purpose intended;**
 - (b) Kept clean and in good repair;**

Findings:

General: Based on observation, during a tour of the facility the following was found:

- 1) An inspection of the Boys' bathroom found a toilet brush and a toilet plunger stored on the floor between the sink and the trash can. Both of these items were accessible to the children and stored in an unsanitary manner. A roll of toilet paper was also observed stored on the back of one of the toilets in the Boys' bathroom. This is an unsanitary method for storage of the toilet paper.
- 2) An inspection of the Girls' bathroom found a roll of toilet paper stored on the edge one of the sinks. The toilet paper was stored in an unsanitary manner.

560 - Building Requirements

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

(5) The building shall be constructed to ensure the:

(a) Building is:

- 1. Dry;**
- 2. Ventilated; and**
- 3. Well lit, including clean light fixtures that are:**
 - a. In good repair in all areas; and**
 - b. Shielded or have shatter-proof bulbs installed; and**

(b) Following are protected:

- 1. Windows;**
- 2. Doors;**
- 3. Stoves;**
- 4. Heaters;**
- 5. Furnaces;**
- 6. Pipes; and**
- 7. Stairs.**

Findings:

General: Based on observation, it was found that the screen on the window in the Preschool Room was in disrepair. A large hole was observed in the screen when the surveyor was inspecting the outdoor play area.

580 - Floors, Walls, Ceilings

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

(9) Floors, walls, and ceilings shall be smooth, in good repair, and constructed to be easily cleaned.

Findings:

General: Based on observation, during a tour of the facility the following was found:

- 1) Dirt, grime and chipping paint were observed along the baseboards in all of the classrooms and in the hallway where the 1's room is located.
- 2) In the 1's Room, the door facing around the door that leads outside had areas of chipping and peeling paint as well as dirt and grime on the surface. The door also had dirt and grime on the surface.
- 3) In the 2's Room, the wall by the door that leads into the hallway had a dried white substance that had splattered on the surface.
- 4) In the Infant Room ceiling, there was a portion of the wooden door missing that covers the opening to the attic.

645 - Sink

Not In Compliance

922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.

(3) A sink shall be:

- (a) Located in or immediately adjacent to toilet rooms;**
- (b) Equipped with hot and cold running water that allows for hand washing;**
- (c) Equipped with hot water at a minimum temperature of ninety (90) degrees Fahrenheit and a maximum of 120 degrees Fahrenheit;**
- (d) Equipped with liquid soap;**
- (e) Equipped with hand-drying blower or single use disposable hand drying material;**
- (f) Equipped with an easily cleanable waste receptacle; and**
- (g) Immediately adjacent to a changing area used for infants and toddlers.**

Findings:

General: Based on observation, the water temperature at the sinks in the Girls' and Boys' bathrooms measured approximately 140 degrees Fahrenheit. This exceeds the maximum allowable water temperature of 120 degrees Fahrenheit.

650 - Toilet

Not In Compliance

922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.

(4) Each toilet shall:

- (a) Be kept in clean condition;**
- (b) Be kept in good repair;**
- (c) Be in a lighted room; and**
- (d) Have ventilation to outside air.**

Findings:

General: Based on observation, the toilets in the Girls' and the Boys' bathrooms had a dried brown substance that had accumulated up under the rim of the toilets in both bathrooms. Therefore the toilets have not been maintained and cleaned properly.

Hygienic Practices

In Compliance

Inspection Report

First Aid/Medication

Not In Compliance

720 - Medication Administration

Not In Compliance

922 KAR 2:120. Section 7. First Aid and Medicine.

- (4) Prescription and nonprescription medication shall be administered to a child in care:
 - (a) 1. With a written request of the child's parent or the child's prescribing health professional; and
 - 2. According to the directions or instructions on the medication's label; or
 - (b) For epinephrine, in accordance with KRS 199.8951 and 311.646.

Findings:

General: Based on observation, interview and review of documentation, a review of the facility's parent handbook found that sunscreen is the only medication administered by the facility. However, during a tour of the Infant Room, a red plastic box with a lid and a handle were observed on top of a white cabinet. Inside the unlocked box was a tube of prescription Calmoseptine ointment, a container with a perscription label for Magic Butt Treatment and a tube of Desitin diaper ointment. The surveyor asked staff if they had forms obtaining written permission to administer each of the medications. Staff indicated the prescription medications belonged to children no longer in the infant room. Staff also indicated they do not ask parents to fill out a form giving permission to administer the diaper ointment or other medications. A tube of A and D diaper ointment was also found in a drawer in diaper changing area used for the children in the 1's Room. During the exit conference the staff person in charge indicated the facility does not administer any medication. Further interviews with staff found that the medication belongs to children who attend the facility and are related to staff at the facility.

730 - Medication

Not In Compliance

922 KAR 2:120. Section 7. First Aid and Medicine.

- (6) Medication, including refrigerated medication, shall be:
 - (a) Stored in a separate and locked place, out of the reach of a child unless the medication is:
 - 1. A first aid supply and is maintained in accordance with subsection (1) of this section;
 - 2. Diaper cream, sunscreen, or toothpaste. Diaper cream, sunscreen, or toothpaste shall be inaccessible to a child;
 - 3. An epinephrine auto-injector. A licensed child-care center shall comply with KRS 199.8951 and 311.646, including:
 - a. An epinephrine auto-injector shall be inaccessible to a child;
 - b. A child-care center shall have at least one (1) person onsite who has received training on the administration of an epinephrine auto-injector if the child-care center maintains an epinephrine auto-injector;
 - c. A child-care center shall seek emergency medical care for a child if an auto-injector is administered to the child; and
 - d. A child-care center shall report to the child's parent and the cabinet in accordance with 922 KAR 2:090, Section 12(1)(b) if an epinephrine auto-injector is administered to a child; or
 - 4. An emergency or rescue medication for a child in care, such as medication to respond to diabetic or asthmatic condition, as prescribed by the child's physician. Emergency or rescue medication shall be inaccessible to a child in care;
 - (b) Kept in the original bottle; and
 - (c) Properly labeled.
- (7) Medication shall not be given to a child if the medication's expiration date has passed.

Findings:

General: Based on observation during a tour of the facility the following was found:

- 1) During a tour of the Infant Room, a red plastic box with a lid and a handle were observed on top of a white cabinet. Inside the unlocked box was a tube of prescription Calmoseptine ointment, a container with a perscription label for Magic Butt Treatment and a tube of Desitin diaper ointment.
- 2) A tube of A and D diaper ointment was also found in a drawer in diaper changing area used for the children in the 1's Room. This area is located where the bathrooms are located. The drawer that contained the A and D ointment was not in a locked container or area and was accessible to the children. The label on the diaper ointment contains a warning to keep out of the reach of children.

Outdoor Play Area

Not In Compliance

745 - Playground Clean

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

- (20) An outdoor play area shall be:
 - (c) Free from:
 - 1. Litter;
 - 2. Glass;
 - 3. Rubbish; and
 - 4. Flammable materials;

Findings:

General: Based on observation during an inspection of the small playground, there were two blue chairs that had broken seats. The playground was not free from litter or rubish.

Inspection Report

750 - Playground Conditions

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

- (20) An outdoor play area shall be:
 - (d) Safe from foreseeable hazard;
 - (e) Well drained;
 - (f) Well maintained;
 - (g) In good repair; and
 - (h) Visible to staff at all times.

Findings:

General: Based on observation during an inspection of the outdoor playgrounds, the following was found:

- 1) On both playgrounds, there were numerous areas where the mesh ground cover under the mulch was torn and exposed. This left area where the mesh was bunched up under the equipment and areas where the children walk causing a tripping hazard.
- 2) On the large playground, the head of a metal spike used to secure plastic landscape timbers into the ground was exposed. This was accessible to the children and a hazard.

Equipment

Not In Compliance

795 - Individual Bed/Mat/Cot and Bedding

Not In Compliance

922 KAR 2:120. Section 6. Sleeping and Napping Requirements.

- (3) Rest time shall include adequate space specified by the child's age as follows:
 - (b) For a toddler or preschool-age child:
 1. An individual bed, a two (2) inch thick waterproof mat, or cot in good repair; and
 2. Bedding that is in good repair and is changed:
 - a. Weekly; or
 - b. Immediately if it is soiled or wet.

Findings:

General: Based on observation during a tour of the facility, it was found that nap mats in the 1's Room, 2's Room, 3's Room and the Preschool Room were in disrepair. The edges of the mats had areas that were worn and torn. This does not allow for the mats to be cleaned and sanitized properly.

815 - Toys/Furniture

Not In Compliance

922 KAR 2:120. Section 11. Toys and Furnishings.

- (1) All toys and furniture contacted by a child shall be:
 - (a) Kept clean and in good repair; and
 - (b) Free of peeling, flaking, or chalking paint.

Findings:

General: Based on observation during a tour of the facility, the following was found:

- 1) In the 2's Room, tape residue was observed on the surface of the tables used by the children. An accumulation of dirt could be observed on the residue. This was also observed on the front of a stove in the dramatic play area.
- 2) In the Preschool Room, a rug with the alphabet printed on the surface had areas that were soiled and stained.
- 3) The vinyl fence used to define the 1's Room, had dust, dirt and grime on the bottom of the posts used to connect each section of fence. Tape residue was also observed on the top rail of some of the fence sections.
- 4) In the Infant Room, a blue mat under the kidney shaped feeding table had a dried white substance and areas that were soiled and stained on the surface. Crumbs of food and dirt were also observed. This regulation requires that toys and furnishings be kept clean and in good repair.

820 - Indoor/Outdoor Equipment

Not In Compliance

922 KAR 2:120. Section 11. Toys and Furnishings.

- (2) Indoor and outdoor equipment shall:
 - (a) Be clean, safe, and in good repair;
 - (b) Meet the physical, developmental needs, and interests of children of different age groups;
 - (c) Be free from sharp points or corners, splinters, protruding nails or bolts, loose or rusty parts, hazardous small parts, lead-based paint, poisonous material, and flaking or chalking paint; and
 - (d) Be designed to guard against entrapment or situations that may cause strangulation.

Findings:

General: Based on observation, the following was found:

- 1) An inspection of the small playground found numerous areas of rust and chipped paint on the blue poles used to hold the swings.
- 2) A crockpot used to warm bottles in the Infant Room had a white substance dried on the surface of the lid.
- 3) In the 2's Room, a black trash can located against the wall by the door had an accumulation of dirt and grime on the front. This regulation requires that indoor and outdoor equipment be kept clean and in good repair.

Transportation

Not Applicable

Food Service/Food Program

In Compliance

Food Service

In Compliance

1140 - Enrollment Information

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(b) A written record for each child:

- 1. Completed and signed by the child's parent;**
- 2. Retained on file on the first day the child attends the child-care center; and**
- 3. To contain:**
 - a. Identifying information about the child, which includes, at a minimum, the child's name, address, and date of birth;**
 - b. Contact information to enable a person in charge to contact the child's:**
 - (i) Parent at the parent's home or place of employment;**
 - (ii) Family physician; and**
 - (iii) Preferred hospital;**
 - c. The name of each person who is designated in writing to pick-up the child;**
 - d. The child's general health status and medical history including, if applicable:**
 - (i) Allergies;**
 - (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and**
 - (iii) Permission from the parent for third-party professional services in the child-care center;**
 - e. The name and phone number of each person to be contacted in an emergency involving or impacting the child;**
 - f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;**

Findings:

General: Based on interview and review of documentation, of the 10 children's files reviewed at the time of the survey, there was not an area on the enrollment form for a parent or guardian to list any type of medical issues, allergies or restrictions. During the exit conference with the staff person in charge, it was indicated that when a child is enrolled they, verbally ask the parent if their child has any medical issues and then document this information on the child's enrollment form. The staff person in charge further stated that they did not have any children enrolled at this time who have medical issues or restrictions. It should be noted there is a section on the enrollment form with a heading titled, Medical History. However, the information requested in this particular area of the application does not include an area that asks for information regarding medical issues, allergies or restrictions.

Written Documentation

Not In Compliance

1150 - Evacuation Plan

Not In Compliance

922 KAR 2:090. Section 5. Evacuation Plan.

(1) A licensed child-care center shall have a written evacuation plan in the event of a fire, natural disaster, or other threatening situation that may pose a health or safety hazard for a child in care in accordance with KRS 199.895 and 42 U.S.C. 9858c(c)(2)(U).

Findings:

General: Based on interview and review of documentation, a date of 6/5/19, was noted on the written evacuation plan. An interview with the staff person in charge found the plan was faxed to someone, but they were not sure where the document had been faxed. The staff person in charge also indicated it was not known as to whom the document was sent. There was no verification to determine the document had been received by the proper person. It was also found through interview that the location of the reunification site was at an agency located near the facility. However, the occupants of the facility moved approximately two weeks ago and the building is now unoccupied. The facility had not determined a new location and informed parents of this location nor had the facility resubmitted their plan with the recent change.

1160 - Daily Attendance Records

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(c) Daily attendance records documenting the arrival and departure time of each child, including records that are required in accordance with 922 KAR 2:160, Section 13, if a child receives services from the child-care center through the Child Care Assistance Program;

Findings:

General: Based on interview and review of documentation, some of the parents of children who receive child care payments through the Child Care Assistance program had already signed the document for the week even though it was the beginning of the week. It was also found that these same parents had already initialed verification of their child being in attendance for each day of the week even though it was the beginning of the week.

1175 - Earthquake/Tornado/Fire Drills

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

- (h) A written record of quarterly practiced earthquake drills and tornado drills detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;**
- (i) A written record of practiced fire drills conducted monthly detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;**

Findings:

General: Based on review of documentation provided at the time of the survey, documentation with a date, names of children who participated and time noted was provided; however, there was no information stating what type of drill had been conducted for each of these dates. Therefore, it could not be determined that a fire drill had been conducted monthly, nor could it be determined that an earthquake and a fire drill had been conducted and recorded on a quarterly basis.

1195 - Fire Drills

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

(12) A fire drill shall be:

- (a) Conducted during hours of operation at least monthly; and
- (b) Documented.

(13) An earthquake drill and a tornado drill shall be:

- (a) Conducted during hours of operation at least quarterly; and
- (b) Documented.

Findings:

General: Based on observation and review of documentation provided at the time of the survey, found documentation with a date and time noted but there was no information stating what type of drill had been conducted for each of these dates and times. Therefore, it could not be determined that a fire drill had been conducted monthly, nor could it be determined that an earthquake and a fire drill had been conducted and recorded on a quarterly basis.

Posted Documentation

In Compliance

Animals

Not Applicable

Signature of Provider/Representative

Title

Date