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Inspection Report

Provider Name: Heartland Montessori School	Provider Information	CLR No: L359492
Provider Address: 583 Pine Valley Drive, Elizabethtown, KY, 42701	Provider Type: LICENSED TYPE I	Capacity: 49
Owner(s): Heartland Montessori School Inc.		Director(s): Ramirez, Charlotte L.

Inspection Type: Renewal Application	Inspection Information	Inspection No: 290008
Date Initiated: 06/11/2019 10:15 AM	Date Concluded: 06/11/2019 12:30 PM	
	No. of Children Present: 37	

Inspection Report	
Background Checks	In Compliance
Supervision	In Compliance
Staffing Requirements	In Compliance
General Administration	Not In Compliance

180 - Plan of Correction/15 days **Not In Compliance**

922 KAR 2:090. Section 14. Statement of Deficiency and Corrective Action Plans.
(2) Except for a violation posing an immediate threat as handled in accordance with KRS 199.896(5)(c), a child-care center shall submit a written corrective action plan to the cabinet or its designee within fifteen (15) calendar days of the date of the statement of deficiency to eliminate or correct the regulatory violation.

Findings:

A PLAN OF CORRECTION WAS DUE ON 07/08/2019 AND AS OF 07/31/2019, THE PLAN OF CORRECTION HAS NOT BEEN RECEIVED.

290 - Fire Marshal/Zoning Compliance **Not In Compliance**

922 KAR 2:120. Section 4. Premises Requirements.
(2) A child-care center shall be in compliance with the State Fire Marshal and the local zoning laws.

Findings:

General: Based on review of documentation and interview, the child care center failed to comply with regulatory requirements in regards to maintaining compliance with the State Fire Marshal. Review of the presented fire marshal report on 6/11/19 revealed that a current inspection from the State Fire Marshal verifying continued compliance with the State Fire Marshal's Office was not presented for review. The last inspection conducted by the State Fire Marshal was 8/17/15. Upon request, the assistant director did not present a current inspection verifying continued compliance with the State Fire Marshal Office at the time of the survey.

Director Requirements **Not In Compliance**

345 - Staff Evaluation **Not In Compliance**

922 KAR 2:090. Section 10. Director Requirements and Responsibilities.
(1) A director shall:
(j) Assess each staff person's interaction with children in care and classroom performance through an annual written performance evaluation;

Findings:

General: Based on review of documentation and interview, the child care center director failed to assure compliance with regulatory requirements. Review of the presented employee records revealed two (2) staff members with hire dates 3/13/13 and 11/17/11 whose file contained evaluations that required a signature but were not signed by the staff members. Upon request, the assistant director did not present signed evaluations for the aforementioned staff members at the time of the survey.

Inspection Report

Employee Records

Not In Compliance

410 - Training

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:

- (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;**
- (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and**
- (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.**

Findings:

General: Based on review of documentation and interview, the child care center failed to maintain employee records in accordance with regulatory requirements. Review of the presented employee records revealed a staff member with hire date 11/17/11 whose file did not contain documentation to verify completion of the fifteen (15) hours of cabinet approved training. Review of the staff members ECE-TRIS report verified that the staff member did not complete fifteen (15) hours of cabinet approved training. Upon request, the assistant director did not present training documentation for the aforementioned staff member at the time of the survey.

Programming

In Compliance

Premises

In Compliance

Hygienic Practices

In Compliance

First Aid/Medication

In Compliance

Outdoor Play Area

In Compliance

Equipment

Not In Compliance

820 - Indoor/Outdoor Equipment

Not In Compliance

922 KAR 2:120. Section 11. Toys and Furnishings.

(2) Indoor and outdoor equipment shall:

- (a) Be clean, safe, and in good repair;**
- (b) Meet the physical, developmental needs, and interests of children of different age groups;**
- (c) Be free from sharp points or corners, splinters, protruding nails or bolts, loose or rusty parts, hazardous small parts, lead-based paint, poisonous material, and flaking or chalking paint; and**
- (d) Be designed to guard against entrapment or situations that may cause strangulation.**

Findings:

General: Based on observation and interview, the child care center failed to maintain equipment in accordance with regulatory requirements. The smaller wooden swing set located in the outdoor play area was missing four (4) swings from the set. Interview with the assistant director revealed that the swings had been removed due to being broken.

Transportation

Not Applicable

Food Service/Food Program

In Compliance

Food Service

Not In Compliance

1030 - Frozen Food

Not In Compliance

922 KAR 2:120. Section 8. Kitchen Requirements.

(5) Frozen food shall be:

- (a) Kept at a temperature of zero degrees Fahrenheit or below; and**
- (b) Thawed:**
 - 1. At refrigerator temperatures;**
 - 2. Under cool, potable running water;**
 - 3. As part of the cooking process; or**
 - 4. By another method in accordance with the Department of Public Health's food safety standards and permits, established in KRS Chapter 217.**

Findings:

General: Based on observation, the child care center failed to maintain food service in accordance with regulatory requirements. The freezer portion of the white refrigerator located in the kitchen had an indicating thermometer reading of four (4) degrees Fahrenheit at the time of the survey.

Inspection Report

Children's Records

Not In Compliance

1140 - Enrollment Information

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(b) A written record for each child:

- 1. Completed and signed by the child's parent;
- 2. Retained on file on the first day the child attends the child-care center; and
- 3. To contain:
 - a. Identifying information about the child, which includes, at a minimum, the child's name, address, and date of birth;
 - b. Contact information to enable a person in charge to contact the child's:
 - (i) Parent at the parent's home or place of employment;
 - (ii) Family physician; and
 - (iii) Preferred hospital;
 - c. The name of each person who is designated in writing to pick-up the child;
 - d. The child's general health status and medical history including, if applicable:
 - (i) Allergies;
 - (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and
 - (iii) Permission from the parent for third-party professional services in the child-care center;
 - e. The name and phone number of each person to be contacted in an emergency involving or impacting the child;
 - f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;

Findings:

General: Based on review of documentation and interview, the child care center failed to maintain children's records in accordance with regulatory requirements.

1) Review of the presented children's records revealed three (3) children with enrollment date 8/9/17 and two (2) with the same enrollment date 6/3/19 whose file did not contain the name of the family's preferred hospital.

2) Continued review of the presented children's records revealed two (2) children with the same enrollment date 6/3/19 and two (2) children with the same enrollment date 10/29/18 whose file did not contain information on the child's general health status and medical history including allergies.

Upon request, the assistant director did not present the documentation for the aforementioned children at the time of the survey.

Written Documentation

Not In Compliance

1190 - Orientation Procedure

Not In Compliance

922 KAR 2:120. Section 2. Child Care Services.

(3)(b) The program shall include:

2. Written policy that specifies that the procedures that were taught at the orientation training shall be implemented by each child-care center staff member.

Findings:

General: Based on review of documentation and interview, the child care center failed to maintain written documentation in accordance with regulatory requirements. Review of the presented documentation revealed that a written policy that specifies that the procedures that were taught at the cabinet approved orientation training shall be implemented by each child care staff member was not presented for review. Upon request, the assistant director did not present the documentation at the time of the survey.

Posted Documentation

Not In Compliance

1215 - Daily Activities

Not In Compliance

922 KAR 2:090. Section 10. Director Requirements and Responsibilities.

(1) A director shall:

(h) Post a schedule of daily activities, to include dates and times of activities to be conducted with the children in each classroom;

Findings:

General: Based on observation, the child care center failed to post documentation in accordance with regulatory requirements.

1) The schedule of daily activities posted in the Toddler Room on 6/11/19 was no longer current as it was dated 5/20-5/24.

2) The schedule of daily activities posted in the Preschool Room on 6/11/19 was no longer current as it was dated 6/3-6/7.

Animals

Not Applicable

Signature of Provider/Representative

Title

Date