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Inspection Report

Provider Name: Hawthorne Elementary Childcare Enrichment Program	Provider Information Provider Type: LICENSED TYPE I	CLR No: L354785
Provider Address: 2301 Clarendon Avenue, Louisville, KY, 40205		Capacity: 100
Owner(s): The Young Mens Christian Association Of Greater Louisville		Director(s): Slaten, Valerie Rae

Inspection Type: Renewal Application	Inspection Information	Inspection No: 245658
Date Initiated: 09/25/2018 3:45 PM	Date Concluded: 09/25/2018 5:30 PM	
	No. of Children Present: 58	

Inspection Report	
Background Checks	Not In Compliance
5 - Background check/left alone/dismissed/relocated	Not In Compliance
<p>922 KAR 2:280. Section 3. Implementation and Enforcement. (1) A person who is a child care staff member prior to January 1, 2018, shall submit to and complete background checks in accordance with this administrative regulation no later than September 30, 2018. (2) A child care staff member hired on or after April 1, 2018, shall: (a) Have completed the background checks required in accordance with this administrative regulation and been found to have no disqualifying offense prior to becoming a child care staff member; or (b)1. Have submitted to the background checks required in accordance with this administrative regulation; 2. Not be left unsupervised with a child in care pending the completion of the background checks in accordance with this administrative regulation; and 3. Be dismissed or relocated from the residence if the person is found to have a disqualifying background check result.</p>	
Findings:	
<p>General: Based on observation, review of documentation and interview, the child care center failed to comply with background checks in accordance with regulatory requirements. Observation of the child care center revealed a staff member with hire date 8/17/18 working with sixteen (16) children ranging in age from nine-years-old through twelve-years-old from 3:45pm-5:07pm. The aforementioned staff member was not observed working alone at the time of the survey. Review of the presented employee records revealed that the staff member with hire date 8/17/18 had a file that did not contain documentation to verify that he had been fingerprinted. Review of the KARES system verified that the aforementioned staff member had not been fingerprinted at the time of the survey. Upon request, the staff member in charge did not present documentation to verify that the aforementioned staff member had been fingerprinted at the time of the survey.</p>	
Supervision	In Compliance
Staffing Requirements	In Compliance
General Administration	Not In Compliance
180 - Plan of Correction/15 days	Not In Compliance
<p>922 KAR 2:090. Section 14. Statement of Deficiency and Corrective Action Plans. (2) Except for a violation posing an immediate threat as handled in accordance with KRS 199.896(5)(c), a child-care center shall submit a written corrective action plan to the cabinet or its designee within fifteen (15) calendar days of the date of the statement of deficiency to eliminate or correct the regulatory violation.</p>	
Findings:	
<p>A PLAN OF CORRECTION WAS DUE ON 11/02/2018 AND AS OF 11/17/2018, THE PLAN OF CORRECTION HAS NOT BEEN RECEIVED.</p>	
Director Requirements	In Compliance

Inspection Report

Employee Records

Not In Compliance

390 - Educational Requirements

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

- (a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:
 1. High school diploma;
 2. GED or qualifying documentation from a comparable educational entity; or
 3. Commonwealth Child Care Credential as described in 922 KAR 2:250;

Findings:

General: Based on review of documentation and interview, the child care center failed to maintain employee records in accordance with regulatory requirements. Review of the presented employee records revealed a staff member with hire date 9/15/18 whose file did not contain a high school diploma, GED or qualifying documentation from a comparable educational entity that matched the name of the employee. Upon request, the staff member in charge and the staff member with hire date 9/15/18 stated that he legally changed his name after becoming a United States citizen but did not present educational documentation matching the current name of the aforementioned staff member or documentation verifying that his name had been legally changed at the time of the survey.

400 - CPR/First Aid Coverage

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(3) For a child-care center licensed for infant, toddler, or preschool-age children, at least one (1) person on duty and present with the children shall be currently certified by a cabinet-approved training agency in the following skills:

- (a) Infant and child cardiopulmonary resuscitation; and
- (b) Infant and child first aid.

(4) For a child-care center licensed for school-age children, at least one (1) person on duty and present with the children shall be currently certified by a cabinet-approved training agency in the following skills:

- (a) Adult cardiopulmonary resuscitation; and
- (b) First aid.

Findings:

General: Based on observation, review of documentation and interview, the child care center failed to maintain employee records in accordance with regulatory requirements. Observation of the child care center revealed three (3) staff members with hire dates 10/14/13, 8/17/18 and 9/15/18 working with fifty-eight (58) children ranging in age from five-years-old through twelve-years-old from 3:45pm-4:30pm. Review of documentation revealed that the aforementioned staff members had files that did not contain a current First Aid and CPR card. Upon request, the staff member in charge did not present current First Aid and CPR cards for the aforementioned staff members at the time of the survey.

Programming

In Compliance

Premises

In Compliance

Hygienic Practices

In Compliance

First Aid/Medication

In Compliance

Outdoor Play Area

In Compliance

Equipment

In Compliance

Transportation

In Compliance

Food Service/Food Program

In Compliance

Food Service

In Compliance

Children's Records

Not In Compliance

1135 - Immunization

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;

Findings:

General: Based on review of documentation and interview, the child care center failed to maintain children's records in accordance with regulatory requirements. Review of the presented children's records revealed two (2) children with enrollment dates 7/11/18 and 5/24/18 whose files did not contain a current immunization certificate. Upon request, the staff member in charge did not present a current immunization certificate for the aforementioned children at the time of the survey.

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(b) A written record for each child:

- 1. Completed and signed by the child's parent;
- 2. Retained on file on the first day the child attends the child-care center; and
- 3. To contain:
 - a. Identifying information about the child, which includes, at a minimum, the child's name, address, and date of birth;
 - b. Contact information to enable a person in charge to contact the child's:
 - (i) Parent at the parent's home or place of employment;
 - (ii) Family physician; and
 - (iii) Preferred hospital;
 - c. The name of each person who is designated in writing to pick-up the child;
 - d. The child's general health status and medical history including, if applicable:
 - (i) Allergies;
 - (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and
 - (iii) Permission from the parent for third-party professional services in the child-care center;
 - e. The name and phone number of each person to be contacted in an emergency involving or impacting the child;
 - f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;

Findings:

General: Based on review of documentation and interview, the child care center failed to maintain children's records in accordance with regulatory requirements. Review of the presented children's records revealed three (3) children with enrollment dates 8/13/18, 8/15/18 and 8/16/18 whose files did not contain the name of the family physician. Continued review of the presented children's records revealed three (3) children with enrollment dates 8/13/18, 8/15/18 and 8/16/18 whose files did not contain the name of the preferred hospital. Upon request, the staff member in charge did not present the documentation for the aforementioned children at the time of the survey.

Written Documentation

In Compliance

Posted Documentation

In Compliance

Animals

Not Applicable

Signature of Provider/Representative

Title

Date