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Inspection Report

Provider Information		
Provider Name: Dunn Elementary Childcare Enrichment Program	Provider Type: LICENSED TYPE I	CLR No: L354587
Provider Address: 2010 Rudy Lane, Louisville, KY, 40211		Capacity: 150
Owner(s): YMCA of Greater Louisville		Director(s): Lee, Chaelyn Nicole

Inspection Information		
Inspection Type: Renewal Application	Date Initiated: 08/26/2019 3:00 PM	Inspection No: 290330
Date Concluded: 08/26/2019 4:30 PM	No. of Children Present: 66	

Inspection Report	
Background Checks	In Compliance
Supervision	In Compliance
Staffing Requirements	Not In Compliance
120 - Adequate Adults/Qualified Staff	Not In Compliance
<p>922 KAR 2:090. Section 11. Staff Requirements.</p> <p>(10) The minimum number of adult workers in a child-care center shall be sufficient to ensure that:</p> <p>(a) Minimum staff-to-child ratios in accordance with 922 KAR 2:120 are followed;</p> <p>(b) Each staff person under eighteen (18) years of age and each student trainee are under the direct supervision of a qualified staff person who meets the requirements of this section; and</p> <p>(c) Unless providing care with a qualified staff person, a person under the age of eighteen (18) shall not be counted as staff for the staff-to-child ratio.</p>	
<p>Findings:</p> <p>General: Based on observation, interview and review of documentation, the child care center failed to comply with staffing requirements. Interview with the staff member in charge revealed 102 children are enrolled at the center. Observation of the cafeteria revealed sixty-six (66) children ages five (5) through eleven (11) years old were present at the time of the survey. Review of the staff schedule revealed only three (3) staff members were employed at the center, therefore, the center failed to employ an adequate amount of staff members in order to ensure that minimum staff-to-child ratios could be followed.</p>	

125 - Ratios and Group Size

Not In Compliance

922 KAR 2:120. Section 2. Child Care Services.

(2) For an operating child-care center, minimum staff-to-child ratios and group size shall be maintained as established in the table established in this subsection.

Age of Children Ratio Maximum Group Size***Infant**

1 staff for 5 children 10

Toddler 12 to 24 months

1 staff for 6 children 12

Toddler 24 to 36 months

1 staff for 10 children 20

Preschool-age 3 to 4 years

1 staff for 12 children 24

Preschool-age 4 to 5 years

1 staff for 14 children 28

School-age 5 to 7 years

1 staff for 15 children 30

School-age 7 and older

1 staff for 25 children

(for before and after school) 30

1 staff for 20 children

(full day of care) 30

*Maximum Group Size shall be applicable only to Type I child-care centers.

(b) The age of the youngest child in the group shall determine the:

1. Staff-to-child ratio; and
2. Maximum group size.

Findings:

General: Based on observation and interview, the child care center failed to comply with staffing requirements. Observation of the cafeteria revealed the center was noncompliant with staff to child ratio regulations for the duration of the one (1) and one half (1/2) hour survey. Sixty-six (66) children were present, and separated into three (3) groups. A group of twenty-six (26) five (5) and six (6) year old children was supervised (1) by one staff member. For twenty (20) minutes, one (1) staff member supervised thirty-two (32) five (5) through eleven year old children. This exceeds the minimum staff to child ratio of one (1) staff member to fifteen (15) children, based on the age of the youngest child present.

General Administration

Not In Compliance

180 - Plan of Correction/15 days

Not In Compliance

922 KAR 2:090. Section 14. Statement of Deficiency and Corrective Action Plans.

(2) Except for a violation posing an immediate threat as handled in accordance with KRS 199.896(5)(c), a child-care center shall submit a written corrective action plan to the cabinet or its designee within fifteen (15) calendar days of the date of the statement of deficiency to eliminate or correct the regulatory violation.

Findings:

A PLAN OF CORRECTION WAS DUE ON 09/23/2019 AND AS OF 11/21/2019, THE PLAN OF CORRECTION HAS NOT BEEN RECEIVED.

Director Requirements

Not In Compliance

350 - Health, Safety, Comfort

Not In Compliance

922 KAR 2:090. Section 10. Director Requirements and Responsibilities.

(1) A director shall:

(i) Assume the health, safety, and comfort of each child;

Findings:

General: Based on observation and interview, the child care center director failed to assure compliance with regulatory requirements. Observation of the cafeteria revealed a doorbell rang for ten (10) minutes, and staff members did not respond to it. After ten (10) minutes, a child walked into the cafeteria and asked the staff members, "Didn't you hear me ringing the doorbell?" Interview with the staff member in charge revealed the child was eleven (11) years old and enrolled to attend the center. The staff member in charge stated that the child is transported to the center via school bus. The center is located in an elementary school, and, per the staff member in charge, the school does not allow non-faculty members to answer the door. Later in the survey, two middle school-aged children were observed to exit a school bus, walk across a section of parking lot, and ring the doorbell for five (5) minutes. The three (3) aforementioned children were outside of the school building, and not within the scope of sight or sound of any adult for an excessive amount of time; therefore, their safety was compromised. Two (2) of the aforementioned children walked across a section of parking lot, outside of the scope of sight and sound of an adult; therefore, their safety was compromised.

Employee Records

Not In Compliance

390 - Educational Requirements

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

(a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:

1. High school diploma;
2. GED or qualifying documentation from a comparable educational entity; or
3. Commonwealth Child Care Credential as described in 922 KAR 2:250;

Findings:

General: Based on interview and review of documentation, the child care center failed to maintain employee records in accordance with regulatory requirements. Upon request, the staff member in charge did not present verification that the file for a staff member with a hire date of 10/30/18 contained a high school diploma, GED or qualifying documentation from a comparable educational entity; or Commonwealth Child Care Credential.

395 - TB Verification

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

(b) Shall provide, prior to employment and every two (2) years thereafter:

- 1. A statement from a health professional that the individual is free of active tuberculosis; or**
- 2. A copy of negative tuberculin results.**

Findings:

General: Based on interview and review of documentation, the child care center failed to maintain employee records in accordance with regulatory requirements. Upon request, the staff member in charge did not present verification that the files for staff members with hire dates of 5/24/96 and 10/30/18 contained a current statement from a health professional that the individuals are free of active tuberculosis; or a copy of negative tuberculin results.

405 - Adequate Substitute(s)

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(6) Child-care centers shall have available in case of need:

- (a) One (1) qualified substitute staff person for a Type II child-care center; or**
- (b) Two (2) qualified substitute staff persons for a Type I child-care center.**

(7) Each qualified substitute staff person shall:

- (a) Meet the staff requirements of this administrative regulation; and**
- (b) Provide the required documentation to verify compliance with this administrative regulation.**

Findings:

General: Based on observation, interview and review of documentation, the child care center failed to maintain employee records in accordance with regulatory requirements. Review of staff files revealed the center had only one (1) qualified substitute.

410 - Training

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:

- (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;**
- (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and**
- (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.**

Findings:

General: Based on interview and review of documentation, the child care center failed to maintain employee records in accordance with regulatory requirements. Upon request, the staff member in charge did not present verification that the staff members with hire dates of 5/24/96 and 6/2/15 and had completed one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training within the past five (5) years. This was also cited in the 2018 renewal survey.

Programming

In Compliance

Premises

In Compliance

Hygienic Practices

In Compliance

First Aid/Medication

In Compliance

Outdoor Play Area

In Compliance

Equipment

In Compliance

Transportation

In Compliance

Food Service/Food Program

In Compliance

Food Service

In Compliance

Inspection Report		
Children's Records		Not In Compliance
1140 - Enrollment Information		Not In Compliance
<div>922 KAR 2:090. Section 9. Records. (1) A child-care center shall maintain: (b) A written record for each child: 1. Completed and signed by the child's parent; 2. Retained on file on the first day the child attends the child-care center; and 3. To contain: a. Identifying information about the child, which includes, at a minimum, the child's name, address, and date of birth; b. Contact information to enable a person in charge to contact the child's: (i) Parent at the parent's home or place of employment; (ii) Family physician; and (iii) Preferred hospital; c. The name of each person who is designated in writing to pick-up the child; d. The child's general health status and medical history including, if applicable: (i) Allergies; (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and (iii) Permission from the parent for third-party professional services in the child-care center; e. The name and phone number of each person to be contacted in an emergency involving or impacting the child; f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;</div>		
Findings: General: Based on interview and review of documentation, the child care center failed to maintain children's records in accordance with regulatory requirements. Upon request, the staff member in charge did not present verification that the files for children with enrollment dates of 8/12/19, 8/15/19, and 8/26/19 contained the name of a family physician or a preferred hospital.		
Written Documentation		In Compliance
Posted Documentation		Not In Compliance
1215 - Daily Activities		Not In Compliance
<div>922 KAR 2:090. Section 10. Director Requirements and Responsibilities. (1) A director shall: (h) Post a schedule of daily activities, to include dates and times of activities to be conducted with the children in each classroom;</div>		
Findings: General: Based on review of documentation, the child care center failed to post documentation in accordance with regulatory requirements. Review of posted documentation revealed that the daily schedule of activities, which included the dates and times of activities to be conducted, was outdated.		
Animals		In Compliance