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Adam Mather
INSPECTOR GENERAL

Inspection Report

Provider Name: Dunn Elementary Childcare Enrichment Program Provider Address: 2010 Rudy Lane, Louisville, KY, 40211 Owner(s): The Young Mens Christian Association Of Greater Louisville	Provider Information Provider Type: LICENSED TYPE I Inspection Information Date Initiated: 09/25/2018 3:30 PM Date Concluded: 09/25/2018 5:30 PM No. of Children Present: 72	CLR No: L354587 Capacity: 150 Director(s): Lee, Chaelyn Nicole
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Inspection Report	
Background Checks	Not In Compliance
10 - Submit background check	
922 KAR 2:280 - Section 4. Procedures and Payments. (1) To initiate the process for obtaining background checks on a prospective child care staff member, the child care provider shall: (a) Request that the prospective child care staff member provide a copy of his or her driver's license or other government-issued photo identification and verify that the photograph clearly matches the prospective child care staff member; (b) Request that the prospective child care staff member complete and sign the: 1. DCC-500, Applicant Child Care Staff Member Waiver Agreement and Statement; and 2. DCC-501, Disclosures to Be Provided to and Signed by the Applicant Child Care Staff Member; and (c) Log on to the NBCP portal and enter the prospective child care staff member's demographic information for a check of the: 1. Child abuse and neglect central registry pursuant to 922 KAR 1:470; 2. National Crime Information Center's National Sex Offender Registry in accordance with 34 U.S.C. 20921; and 3. Sex Offender Registry established in accordance with KRS 17.500 through 17.580.	
Findings:	
General: Based on review of documentation and interview, the child care center failed to assure compliance with regulatory background check requirements. Upon request, the child care center failed to provide documented evidence that staff with a hire date of 06/02/12 completed background checks in accordance with regulatory requirements.	
Supervision	In Compliance
Staffing Requirements	In Compliance
General Administration	Not In Compliance
180 - Plan of Correction/15 days	
922 KAR 2:090. Section 14. Statement of Deficiency and Corrective Action Plans. (2) Except for a violation posing an immediate threat as handled in accordance with KRS 199.896(5)(c), a child-care center shall submit a written corrective action plan to the cabinet or its designee within fifteen (15) calendar days of the date of the statement of deficiency to eliminate or correct the regulatory violation.	
Findings:	
A PLAN OF CORRECTION WAS DUE ON 10/29/2018 AND AS OF 11/03/2018, THE PLAN OF CORRECTION HAS NOT BEEN RECEIVED.	

Inspection Report	
Director Requirements	Not In Compliance
320 - Operation instruction/Regulation copy	Not In Compliance
922 KAR 2:090. Section 8. General. (2) Child-care center staff shall be: (a) Instructed by the child-care center's director regarding requirements for operation; and (b) Provided with a copy of this administrative regulation, 922 KAR 2:120, and 922 KAR 2:280.	
Findings: General: Based on review of documentation and interview, the child care center director failed to assure compliance with regulatory requirements. Upon request, the child care center failed to provide documented evidence that staff were provided a copy of the current administrative regulations. Regulations presented were printed on 10/31/17. However, administrative regulations were revised in 2018.	
Employee Records	Not In Compliance
385 - Personnel File	Not In Compliance
922 KAR 2:090. Section 9. Records. (1) A child-care center shall maintain: (e) A current personnel file for each child-care center staff person to include: 1. Name, address, date of birth, and date of employment; 2. Proof of educational qualifications; 3. Record of annual performance evaluation; 4. Documentation of compliance with tuberculosis screening in accordance with Section 11(1)(b) of this administrative regulation; and 5. The results of background checks conducted in accordance with 922 KAR 2:280;	
Findings: General: Based on review of documentation and interview, the child care center failed to maintain employee records in accordance with regulatory requirements. Upon request, the child care center site director failed to provide documented evidence of a current personnel file for staff hired on 06/02/12 to include the name, address, date of birth and date of employment, proof of educational qualifications, annual performance evaluation, training participation, a statement from a health professional.	
390 - Educational Requirements	Not In Compliance
922 KAR 2:090. Section 11. Staff Requirements. (1) Child-care center staff: (a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a: 1. High school diploma; 2. GED or qualifying documentation from a comparable educational entity; or 3. Commonwealth Child Care Credential as described in 922 KAR 2:250;	
Findings: General: Based on review of documentation and interview, the child care center failed to maintain employee records in accordance with regulatory requirements. Upon request, the child care center site director failed to provide documented evidence of proof of educational qualifications for staff with a hire date of 06/02/12.	
395 - TB Verification	Not In Compliance
922 KAR 2:090. Section 11. Staff Requirements. (1) Child-care center staff: (b) Shall provide, prior to employment and every two (2) years thereafter: 1. A statement from a health professional that the individual is free of active tuberculosis; or 2. A copy of negative tuberculin results.	
Findings: General: Based on review of documentation and interview, the child care center failed to maintain employee records in accordance with regulatory requirements. Upon request, the child care center site director failed to provide documented evidence of a statement from a health professional which indicated a staff with a hire date of 06/02/12 is free of active tuberculosis.	
410 - Training	Not In Compliance
922 KAR 2:090. Section 11. Staff Requirements. (16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following: (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment; (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.	
Findings: General: Based on review of documentation and interview, the child care center failed to maintain employee records in accordance with regulatory requirements. Upon request, the site-director failed to provide documented evidence of a currently completed one and one-half (1 ½) hours of pediatric abusive head trauma training for staff with hire dates 10/10/16, 11/14/16, 06/02/15, 06/02/12 and 09/12/13. In addition, there was no documented evidence of fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment for staff with hire dates 08/04/16, 06/02/12 and 03/16/15.	
Programming	In Compliance
Premises	In Compliance
Hygienic Practices	In Compliance
First Aid/Medication	In Compliance

Inspection Report		
	Outdoor Play Area	In Compliance
	Equipment	In Compliance
	Transportation	In Compliance
	Food Service/Food Program	In Compliance
	Food Service	In Compliance
	Children's Records	In Compliance
	Written Documentation	Not In Compliance
1150 - Evacuation Plan		Not In Compliance
922 KAR 2:090. Section 5. Evacuation Plan. (1) A licensed child-care center shall have a written evacuation plan in the event of a fire, natural disaster, or other threatening situation that may pose a health or safety hazard for a child in care in accordance with KRS 199.895 and 42 U.S.C. 9858c(c)(2)(U).		
Findings: General: Based on review of documentation and interview, the child care center failed to maintain written documentation in accordance with regulatory requirements. Upon request, the child care center site director failed to present documented evidence that the child care center submitted a written emergency preparedness plan to the local emergency management official by 12/31/17. The document posted and reviewed was dated 12/26/16.		
	Posted Documentation	In Compliance
	Animals	Not Applicable

Signature of Provider/Representative

Title

Date