



Andy Beshear
GOVERNOR

CABINET FOR HEALTH AND FAMILY SERVICES
OFFICE OF INSPECTOR GENERAL

Eric Friedlander
SECRETARY

Melissa A. Moore, Director
Division of Regulated Child Care
Southern Branch
116 Commerce Ave
London, KY 40744

Adam Mather
INSPECTOR GENERAL

Phone: (606) 330-2030 Fax: (606) 330-2056
<https://chfs.ky.gov/agencies/os/oig>

Inspection Report

Provider Name: Little Sprouts Childcare	Provider Information	CLR No: L359110
Provider Address: 3515 West Highway 80, Russell Springs, KY, 42642	Provider Type: LICENSED TYPE I	Capacity: 139
Owner(s): Little Sprouts Childcare, Inc.		Director(s): Oaks, Heidi

Inspection Type: Renewal Application	Inspection Information	Inspection No: 318320
Date Initiated: 10/15/2021 9:35 AM	Date Concluded: 10/15/2021 12:45 PM	
	No. of Children Present: 36	

Inspection Report	
Background Checks	Not In Compliance
5 - Background check/left alone/dismissed/relocated	Not In Compliance
<p>922 KAR 2:280. Section 3. Implementation and Enforcement.</p> <p>(1) A person who is a child care staff member prior to January 1, 2018, shall submit to and complete background checks in accordance with this administrative regulation no later than September 30, 2018.</p> <p>(2) A child care staff member hired on or after April 1, 2018, shall:</p> <p>(a) Have completed the background checks required in accordance with this administrative regulation and been found to have no disqualifying offense prior to becoming a child care staff member; or</p> <p>(b) 1. Have submitted to the background checks required in accordance with this administrative regulation;</p> <p>2. Not be left unsupervised with a child in care pending the completion of the background checks in accordance with this administrative regulation; and</p> <p>3. Be dismissed or relocated from the residence if the person is found to have a disqualifying background check result.</p>	
Findings:	
General: Based on review of documentation, the surveyor found the following:	
<p>1. A staff's (DOH: 08/02/21) file did not contain documentation of background checks submitted through the Kentucky National Background Check Service. Based on review of the Kentucky National Background Check Service, the staff member did not have a completed background check; therefore, the staff person was hired prior to clearance for employment. During interview, staff-in-charge confirmed that a background check was not submitted through the Kentucky National Background Check Service and that she was unaware that she needed to submit a background check through the Kentucky National Background Check Service prior to the staff person's employment. Staff-in-charge stated that she did not receive an email or any clarification regarding submitting a background check through the Kentucky National Background Check Service prior to a staff's employment. Staff-in-charge stated that the staff person has worked alone with children. The surveyor did not observe the staff person working alone with children. The staff's file contained a completed Child Abuse/Neglect Background Check dated 03/02/21 and a completed Criminal Records Background Check dated 02/02/21. Staff-in-charge stated that the staff person was hired on 02/03/21 and a Child Abuse/Neglect Background Check and Criminal Records Background Check were completed at that time. Staff-in-charge stated that the staff person quit working for the child-care center and had a positive termination on 05/08/21. Staff-in-charge stated that the staff person was rehired on 08/02/21. Staff-in-charge stated no other background checks were completed when the staff person was rehired.</p> <p>2. A staff's (DOH: 09/13/21) file contained documentation of background checks submitted through the Kentucky National Background Check Service showing an eligible date of 09/21/21. Based on review of the Kentucky National Background Check Service, the staff person submitted to fingerprints on 09/21/21 and became eligible for employment on 09/21/21; therefore, the staff person was hired prior to clearance for employment. During interview, staff-in-charge stated that the staff person's first day of employment was on 09/13/21. Staff-in-charge stated that the staff person went on 09/13/21 to have a background check completed and they stated that she was not assigned in the system. Staff-in-charge stated that she contacted KARES several times and sent an email on 09/13/21 regarding what she needed to do to have a background check completed for the staff person. Staff-in-charge stated that she did not receive a response back to her email until 09/20/21 and also received a phone call that day advising her how to schedule an appointment for the staff person. Staff-in-charge stated that she continued to let the staff person work at the child-care center because she had been employed at the child-care center in the past and had completed background checks through the Kentucky National Background Check Service at that time. Staff-in-charge stated that she was not aware that the staff person had to be fingerprinted again because she had worked outside of daycare longer than six (6) months. Staff-in-charge stated that the staff person did not work alone with children.</p>	
Supervision	In Compliance
Staffing Requirements	In Compliance

Inspection Report

General Administration

Not In Compliance

225 - Licensee Responsibility

Not In Compliance

922 KAR 2:090. Section 8. General.

(1) A licensee shall:

- (a) Be responsible for the operation of the child-care center pursuant to this administrative regulation, 922 KAR 2:120, and 922 KAR 2:280; and**
- (b) Protect and assure the health, safety, and comfort of each child.**

Findings:

General: Based on observation, the surveyor found the following:

1. Books and toys stored on a tall black shelf located beside the exit door to the playground that appeared to not be stable or secured to the wall.
2. Several books stored on a tall brown book shelf located beside the exit door to the playground that appeared to not be secured.

305 - Fire Marshal/Zoning Compliance

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

(2) A child-care center shall be in compliance with the codes administered by the Kentucky Fire Marshal and the local zoning laws.

Findings:

General: Based on review of documentation, the surveyor found a fire marshal report dated for 04/18/19. During interview, staff-in-charge confirmed that the fire marshal has not been to the child-care center in the last two (2) years. Staff-in-charge stated that she would schedule a visit as soon as possible.

Director Requirements

Not In Compliance

370 - Caregiver Alone

Not In Compliance

922 KAR 2:090. Section 10. Director Requirements and Responsibilities.

(1) A director shall:

(m) Assure that a person acting as a caregiver of a child in care shall not be left alone with a child, if the licensee has not received the results of the background checks as described in 922 KAR 2:280;

Findings:

General: Based on review of documentation, the surveyor found that a staff's (DOH: 08/02/21) file did not contain documentation of background checks submitted through the Kentucky National Background Check Service. Based on review of the Kentucky National Background Check Service, the staff member did not have a completed background check; therefore, the staff person was hired prior to clearance for employment. During interview, staff-in-charge confirmed that a background check was not submitted through the Kentucky National Background Check Service and that she was unaware that she needed to submit a background check through the Kentucky National Background Check Service prior to the staff person's employment. Staff-in-charge stated that she did not receive an email or any clarification regarding submitting a background check through the Kentucky National Background Check Service prior to a staff's employment. Staff-in-charge stated that the staff person has worked alone with children. The surveyor did not observe the staff person working alone with children. The staff's file contained a completed Child Abuse/Neglect Background Check dated 03/02/21 and a completed Criminal Records Background Check dated 02/02/21. Staff-in-charge stated that the staff person was hired on 02/03/21 and a Child Abuse/Neglect Background Check and Criminal Records Background Check were completed at that time. Staff-in-charge stated that the staff person quit working for the child-care center and had a positive termination on 05/08/21. Staff-in-charge stated that the staff person was rehired on 08/02/21. Staff-in-charge stated no other background checks were completed when the staff person was rehired.

Employee Records

Not In Compliance

405 - TB Verification

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

(b) Shall provide, prior to employment and every two (2) years thereafter:

- 1. A statement from a health professional that the individual is free of active tuberculosis; or**
- 2. A copy of negative tuberculin results.**

Findings:

General: Based on review of documentation, the surveyor found that a staff's (DOH: 02/19/18) file did not contain documentation of a current TB skin test or a statement from a health professional stating that the adult was free from active tuberculosis. During interview, staff-in-charge stated that the staff person did not have an up-to-date TB skin test.

435 - Training

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:

- (a) Six (6) hours of cabinet-approved orientation completed within the first three (3) months of employment in a child-care program;**
- (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment in a child care program, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and**
- (c) Fifteen (15) hours of cabinet-approved early care and education training completed between July 1 and the following June 30 of each subsequent year of employment in a child care program, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.**

(17) A staff person's compliance with training requirements of this section shall be verified through the cabinet-designated database maintained pursuant to 922 KAR 2:240.

Findings:

General: Based on review of documentation, the surveyor found that a staff (DOH: 03/16/20) obtained thirteen (13) hours of the required fifteen (15) hours of cabinet-approved early care and education training for the review period 07/01/20 – 06/30/21. The staff's file contained a transcript of completed courses that showed nineteen (19) hours of completed training. During interview, staff-in-charge stated that she has called several times to try and have the training certificates uploaded to ECE-TRIS.

Programming

In Compliance

Inspection Report

Premises

Not In Compliance

565 - Inaccessible Items

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

(7) The following shall be inaccessible to a child in care:

- (a) Toxic cleaning supplies, poisons, and insecticides;**
- (b) Matches, cigarettes, lighters, and flammable liquids; and**
- (c) Personal belongings and medications of staff.**

Findings:

General: Based on observation, the surveyor found the following:

1. A spray bottle that stated bleach and water on the front of it, disinfectant wipes, hand sanitizer, rubbing alcohol, and two (2) bottles of sunscreen placed on a brown table in the School Age Classroom. The School Age Classroom is divided by a mobile wall. During interview, staff-in-charge stated that the younger children do utilize the space on other side of the mobile wall. The items were observed to be within reach and easily accessible to the children.
2. Two (2) air wick plugs placed in an unlocked cabinet under the sink in the first restroom located near the Preschool and School Age Classrooms.

585 - Premises Requirements

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

(1) The premises shall be:

- (a) Suitable for the purpose intended;**
- (b) Kept clean and in good repair;**

Findings:

General: Based on observation, the surveyor found the following:

1. A black square rug that contained stains and debris in the Four-Five Year Old Classroom; therefore, the rug was not kept clean.
2. A blue square rug that contained debris in the Four-Five Year Old Classroom; therefore, the rug was not kept clean.
3. Two (2) blue square rugs that contained stains in the Three Year Old Classroom; therefore, the rugs were not kept clean.
4. A chair with purple cushions that contained stains in the Three Year Old Classroom; therefore, the chair was not kept clean.
5. A small piece of carpet missing on the half wall/ledge in the Three Year Old Classroom exposing wood underneath; therefore, the half wall/ledge was not kept in good repair.
6. A chair with purple cushions that contained stains in the Two Year Old Classroom; therefore, the chair was not kept clean.
7. A green round rug that contained debris in the Two Year Old Classroom; therefore, the rug was not kept clean.
8. Two (2) blue square rugs that contained stains in the Two Year Old Classroom; therefore, the rugs were not kept clean.
9. A green square rug that contained stains in the One Year Old Classroom; therefore, the rug was not kept clean.

Hygienic Practices

Not In Compliance

730 - Diaper Changing Area/Surface

Not In Compliance

922 KAR 2:120. Section 12. Toilet, Diapering, and Toiletry Requirements.

(10) When a child is diapered, the child shall:

(b) Be placed on a surface that is:

- 1. Clean;**
- 2. Padded;**
- 3. Free of holes, rips, tears, or other damage;**
- 4. Nonabsorbent;**
- 5. Easily cleaned; and**
- 6. Free of any items not used for diaper changing.**

Findings:

General: Based on observation, the surveyor found the following:

1. Debris on the diaper changing table underneath the diaper changing pad in the restroom located in the front lobby; therefore, the diaper changing surface was not kept clean.
2. Debris on the diaper changing table underneath the diaper changing pad in the Two Year Old Classroom; therefore, the diaper changing surface was not kept clean.
3. Debris on the diaper changing table underneath the diaper changing pad in the One Year Old Classroom; therefore, the diaper changing surface was not kept clean.

First Aid/Medication

In Compliance

Inspection Report

Outdoor Play Area

Not In Compliance

795 - Playground Conditions

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

(20) An outdoor play area shall be:

- (d) Safe from foreseeable hazard;**
- (e) Well drained;**
- (f) Well maintained;**
- (g) In good repair; and**
- (h) Visible to staff at all times.**

Findings:

General: Based on observation, the surveyor found the following:

1. The end of a large nail sticking through and out the bottom of a silver fence post located next to the child-care building by the exit door on the playground.
2. Two (2) large nails sticking through and out the middle and bottom of a silver fence post located next to the child-care building on a slope on the playground.

Equipment

In Compliance

Transportation

In Compliance

Kitchen Requirements

In Compliance

Food Service

In Compliance

Meal Planning/Center Provides Meals

In Compliance

Meal Planning/Center Does Not Provide Meals

In Compliance

Children's Records

In Compliance

Written Documentation

In Compliance

Posted Documentation

In Compliance

Animals

In Compliance

Signature of Provider/Representative

Title

Date