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Inspection Report

Provider Name: Southern Preschool & Childcare	Provider Information	CLR No: L358307
Provider Address: 109 Steele Street, Frankfort, KY, 40601	Provider Type: LICENSED TYPE I	Capacity: 112
Owner(s): Southern Preschool & Childcare, Inc.		Director(s): Anderson, Jessica Ann

Inspection Type: Renewal Application	Inspection Information	Inspection No: 307328
Date Initiated: 05/25/2021 9:22 AM	Date Concluded: 05/25/2021 11:00 PM	
	No. of Children Present: 60	

Inspection Report	
Background Checks	In Compliance
Supervision	In Compliance
Staffing Requirements	In Compliance
General Administration	Not In Compliance

210 - Licensee Responsibility **Not In Compliance**

922 KAR 2:090. Section 8. General.
(1) A licensee shall:
(a) Be responsible for the operation of the child-care center pursuant to this administrative regulation, 922 KAR 2:120, and 922 KAR 2:280; and
(b) Protect and assure the health, safety, and comfort of each child.

Findings:

General: Based on interview and review of documentation, the following was found during staff file review:

- 1) A staff person hired 6/5/2019 turned 18 years old in April 2020. An application for the results of a criminal records check was not submitted for this individual. An interview with the staff person in charge found this staff person was sent to a local pharmacy for fingerprinting.
- 2) A staff person hired 4/5/2021 did not have the results of a criminal records check or the results of a Child Abuse and Neglect check on file at the facility. An interview with the staff person in charge found the staff person had been sent by someone from another agency to a local pharmacy for fingerprinting.

Director Requirements **Not In Compliance**

360 - Caregiver Alone **Not In Compliance**

922 KAR 2:090. Section 10. Director Requirements and Responsibilities.
(1) A director shall:
(m) Assure that a person acting as a caregiver of a child in care shall not be left alone with a child, if the licensee has not received the results of the background checks as described in 922 KAR 2:280;

Findings:

General: Based on observation, interview and review of documentation, the following was found during staff file review:

- 1) A staff person hired 6/5/2019 turned 18 years old in April 2020. An application for the results of a criminal records check was not submitted for this individual. An interview with the staff person in charge found that this staff person only works when school is out during the summer and will not be working until the following week once school is out; however, it was found that the staff person supervised children alone during the summer of 2020 when staff took their breaks.
- 2) A staff person hired 4/5/2021 did not have the results of a criminal records check or the results of a Child Abuse and Neglect check on file at the facility. This staff person was observed working alone in the Toddler Room with six children.

410 - Training

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:
(a) Six (6) hours of cabinet-approved orientation completed within the first three (3) months of employment in a child care program;
(b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment in a child care program, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and
(c) Fifteen (15) hours of cabinet-approved early care and education training completed between July 1 and the following June 30 of each subsequent year of employment in a child care program, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.
(17) A staff person's compliance with training requirements of this section shall be verified through the cabinet-designated database maintained pursuant to 922 KAR 2:240.

Findings:

General: Based on review of documentation, a staff person hired on 6/5/2019 and a staff person hired 6/11/2019, completed PAHT training on 12/5/2013 and 2/15/2016 respectively; however, neither staff have renewed this training. The ECE-TRIS system was reviewed.

Programming

Not In Compliance

420 - Program of Activities Followed

Not In Compliance

922 KAR 2:120. Section 2. Child Care Services.

(4) The child-care center shall provide a daily planned program:
(a) Posted in writing in a conspicuous location with each age group and followed;
(b) Of activities that are individualized and developmentally appropriate for each child served;
(c) That provides experience to promote the individual child's physical, emotional, social, and intellectual growth and well-being; and
(d) Unless the child-care center is a before- or after-school program that operates part day or less, that offers a variety of creative activities including:
1. Art or music;
2. Math or numbers;
3. Dramatic play;
4. Stories and books;
5. Science or nature;
6. Block building or stacking;
7. Tactile or sensory activity;
8. Multi-cultural exposure;
9. Indoor or outdoor play in which a child makes use of both small and large muscles;
10. A balance of active and quiet play, including group and individual activity;
11. An opportunity for a child to:
a. Have some free choice of activities;
b. If desired, play apart from the group at times;

Findings:

General: Based on observation, children in the Toddler Room were observed seated on the floor while the staff person read a book. Some of the children were not interested in the story and got up to play with toys on the shelves. The staff person was observed telling the children to sit down and listen to the story. The staff person was also observed telling one child she would be put in time out if she did not sit down and listen. The children in the room were ages, one- to two-years-old. It is not developmentally appropriate for children to be required to continue with an activity if they become disinterested.

445 - Waiting Period

Not In Compliance

922 KAR 2:120. Section 2. Child Care Services.

(8) A child shall not be required to stand or sit for a prolonged period of time:
(a) During an activity;
(b) While waiting for an activity to start; or
(c) As discipline.

Findings:

General: Based on observation and interview, the following was observed:

1) During the initial walk through of the facility at approximately 9:34 a.m., six children age one- to two-years old were observed in the Toddler Room. Five of the children were seated at two tables while a sixth child was strapped in a low high chair. The children were served breakfast at 9:00 a.m. but all had finished eating. None of the children was provided an activity; however, each was instructed to remain seated until everyone in the group had their diaper changed. When children were observed getting up, the staff person told them to sit back down. At 9:58 a.m., the children were still observed sitting with no activity and remained there until the surveyor left the room at 10:08 a.m. During this timeframe, the staff person conducted an activity with one child at a time. One child was observed leaving her seat multiple times only to be told to go sit down and wait her turn. The staff person also told the child several times she would not get a turn if she did not sit down and she would not be allowed to go outside. The child strapped in the low high chair was observed crying and tried to climb out of the seat. The other children were crying and restless while waiting for their turn.

2) During the initial walk through of the facility at approximately 9:40 a.m., an infant was removed from her crib and placed in a bucket table without being given an activity. When the surveyor re-entered the room at approximately 10:09 a.m. the infant was still seated at the table with an additional child. Neither child had an activity. At approximately 10:14 a.m., both children were still seated at the table with no activity. There were five infants in the room ranging from four-months-old to one-year-old. The staff person was observed talking to the children seated at the table periodically; however, the children became restless and irritable as they continued to remain seated with no activity. At approximately 10:22 a.m., the staff person removed the children from the table and placed them on the floor.

Children should not be required to sit for long periods with no activity or while waiting for an activity to begin.

495 - Bedding/Toys in Crib

Not In Compliance

922 KAR 2:120. Section 6. Sleeping and Napping Requirements.

(3) Rest time shall include adequate space specified by the child's age as follows:

(a) For an infant:

- 3. No loose bedding, such as a bumper or a blanket; and
- 4. No toys or other items except the infant's pacifier;

Findings:

General: Based on observation, thick blankets were observed in cribs with some of the infants in the infant room. Loose bedding should not be in a crib with an infant.

Premises

Not In Compliance

520 - Inaccessible Items

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

(7) The following shall be inaccessible to a child in care:

- (a) Toxic cleaning supplies, poisons, and insecticides;
- (b) Matches, cigarettes, lighters, and flammable liquids; and
- (c) Personal belongings and medications of staff.

Findings:

General: Based on observation, a staff person's purse had been placed on the water table located by the kitchen door. The purse was accessible to the children.

560 - Building Requirements

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

(5) The building shall be constructed to ensure the:

(a) Building is:

- 1. Dry;
- 2. Ventilated; and
- 3. Well lit, including clean light fixtures that are:
 - a. In good repair in all areas; and
 - b. Shielded or have shatter-proof bulbs installed; and

(b) Following are protected:

- 1. Windows;
- 2. Doors;
- 3. Stoves;
- 4. Heaters;
- 5. Furnaces;
- 6. Pipes; and
- 7. Stairs.

Findings:

General: Based on observation during a tour of the facility, the following was found:

- 1) In the Three-Year-Old Room, there were two overhead lights that were not working.
- 2) In the Preschool Room, there was one overhead light that was not working.
- 3) In the Girls' bathroom located in the hallway, one of the overhead lights was not working.
- 4) In the Boys' bathroom located in the hallway, there were two overhead lights that were not working.

580 - Floors, Walls, Ceilings

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

(9) Floors, walls, and ceilings shall be smooth, in good repair, and constructed to be easily cleaned.

Findings:

General: Based on observation in the Three-Year-Old Room, there were four water-stained ceiling tiles. A dead bug was also observed on the floor in the area where the water fountain is located.

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Hygienic Practices

Not In Compliance

685 - Diaper Changing Area/Surface

Not In Compliance

922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.

(10) When a child is diapered, the child shall:

(b) Be placed on a surface that is:

- 1. Clean;**
- 2. Padded;**
- 3. Free of holes, rips, tears, or other damage;**
- 4. Nonabsorbent;**
- 5. Easily cleaned; and**
- 6. Free of any items not used for diaper changing.**

Findings:

General: Based on observation, the following was found:

- 1) Two pull down diaper changing stations were observed in the bathrooms located in the Two-Year-Old Room. Neither of the diaper changing stations had a padded surface. At the time of the survey, a staff person was observed changing a child's diaper on one of them.
- 2) In the Toddler Room, a cell phone and container of art materials had been placed on the mat on the diaper changing table. It should be noted that the materials in the container were used with the children at the time of the survey. This is unsanitary practice for the storage of materials and personal items.

710 - Toy Sanitation Procedure

Not In Compliance

922 KAR 2:120. Section 11. Toys and Furnishings.

(4) A toy or another item that is considered a mouth contact surface by a child not toilet trained shall be sanitized daily by:

- (a) 1. Scrubbing in warm, soapy water using a brush to reach into crevices;**
- 2. Rinsing in clean water;**
- 3. Submerging in a sanitizing solution for at least two (2) minutes; and**
- 4. Air dried; or**
- (b) Cleaning in a dishwasher if the toy or other item is dishwasher safe.**

Findings:

General: Based on interview, staff in the Toddler Room stated that toys that are mouthed by the children are wiped off with disinfectant wipes and sprayed with disinfectant spray at the end of each day. This method of cleaning and sanitation of toys mouthed by children not toilet trained does not meet the requirement of this regulation.

First Aid/Medication

In Compliance

Outdoor Play Area

Not In Compliance

755 - Protective Surface

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

(21) A protective surface shall:

(a) Be provided for outdoor play equipment used to:

- 1. Climb;**
- 2. Swing; and**
- 3. Slide; and**

(b) Have a fall zone equal to the height of the equipment.

Findings:

General: Based on observation, the following was found:

- 1) On the Toddler playground, there two Little Tikes brand climbers with slides. The mulch under and around these pieces of equipment was sparse. There were several areas where the dirt beneath was exposed.
- 2) On the large playground, there was a large hexagon shaped climber, a tall climber with two slides and a rock wall climber. The mulch under and around these pieces of equipment was sparse. There were several areas where the dirt beneath was exposed.

Equipment

Not In Compliance

795 - Individual Bed/Mat/Cot and Bedding

Not In Compliance

922 KAR 2:120. Section 6. Sleeping and Napping Requirements.

(3) Rest time shall include adequate space specified by the child's age as follows:

(b) For a toddler or preschool-age child:

- 1. An individual bed, a two (2) inch thick waterproof mat, or cot in good repair; and**
- 2. Bedding that is in good repair and is changed:**
 - a. Weekly; or**
 - b. Immediately if it is soiled or wet.**

Findings:

General: Based on observation, several of the mats used for nap-time had areas on the edges that were torn. This does not allow the mats to be properly sanitized after each use.

Transportation

Not Applicable

Food Service/Food Program

In Compliance

Inspection Report

Food Service

Not In Compliance

1040 - Kitchen Equipment Clean and Sanitary

Not In Compliance

922 KAR 2:120. Section 8. Kitchen Requirements.

(7) The following shall be clean and sanitary:

- (a) Eating and drinking utensils;**
- (b) Kitchenware;**
- (c) Food contact surfaces of equipment;**
- (d) Food storage utensils;**
- (e) Food storage containers;**
- (f) Cooking surfaces of equipment; and**
- (g) Nonfood contact surfaces of equipment.**

Findings:

General: Based on observation, a white substance had spilled and dried in the bottom of the refrigerator located in the Infant Room.

1050 - Bottles

Not In Compliance

922 KAR 2:120. Section 8. Kitchen Requirements.

(9) Bottles shall be:

- (a) Individually labeled;**
- (b) Promptly refrigerated;**
- (c) Covered while not in use; and**
- (d) Consumed within one (1) hour of being heated or removed from the refrigerator.**

Findings:

General: Based on observation, three uncovered bottles of formula had been placed on the counter in the Infant Room.

Children's Records

In Compliance

Written Documentation

In Compliance

Posted Documentation

In Compliance

Animals

Not Applicable

Signature of Provider/Representative

Title

Date