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INSPECTOR GENERAL

**Inspection Report**

<b>Provider Name:</b> Southern Preschool & Childcare	<b>Provider Information</b> <b>Provider Type:</b> LICENSED TYPE I	<b>CLR No:</b> L358307
<b>Provider Address:</b> 109 Steele Street, Frankfort, KY, 40601		<b>Capacity:</b> 112
<b>Owner(s):</b> Southern Preschool & Childcare, Inc.		<b>Director(s):</b> Anderson, Jessica Ann

<b>Inspection Type:</b> Renewal Application	<b>Inspection Information</b>	<b>Inspection No:</b> 293724
<b>Date Initiated:</b> 07/14/2020 10:00 AM	<b>Date Concluded:</b> 07/14/2020 1:00 PM	
	<b>No. of Children Present:</b> 64	

<b>Inspection Report</b>		
<b>Background Checks</b>	<b>In Compliance</b>	
<b>Supervision</b>	<b>In Compliance</b>	

**125 - Ratios and Group Size**

**Not In Compliance**

**922 KAR 2:120. Section 2. Child Care Services.**

**(2) For an operating child-care center, minimum staff-to-child ratios and group size shall be maintained as established in the table established in this subsection.**

**Age of Children Ratio Maximum Group Size\***

**Infant**

**1 staff for 5 children 10**

**Toddler 12 to 24 months**

**1 staff for 6 children 12**

**Toddler 24 to 36 months**

**1 staff for 10 children 20**

**Preschool-age 3 to 4 years**

**1 staff for 12 children 24**

**Preschool-age 4 to 5 years**

**1 staff for 14 children 28**

**School-age 5 to 7 years**

**1 staff for 15 children 30**

**School-age 7 and older**

**1 staff for 25 children**

**(for before and after school) 30**

**1 staff for 20 children**

**(full day of care) 30**

**\*Maximum Group Size shall be applicable only to Type I child-care centers.**

**(b) The age of the youngest child in the group shall determine the:**

- 1. Staff-to-child ratio; and**
- 2. Maximum group size.**

**Findings:**

General: Based on observation and interview:

1) The surveyor entered the older children's playground area and observed 27 children playing. The classrooms divided and thirteen students entered the facility with one staff member. Fourteen children remained on the playground. The surveyor followed the classroom into the facility and asked the staff member the ages of the children in her care. The staff member stated that she had children age three years, and believed that she had only 12 students. The surveyor counted with the staff member and the staff member realized that one of the children who was supposed to remain on the playground had joined her classroom while the classroom washed their hands. The classroom was over ratio by one student from 10:00 am – 10:04 am.

2) The surveyor entered the two-year-old classroom at 10:10 am and observed ten children. The surveyor asked the staff member in this classroom the ages of children served. The staff member stated that the children were age two-years. The surveyor observed a child who appeared to be younger and inquired what this child's age was. The staff member stated that the child was age one-year, and would be turning two in September. The staff member added that out of the ten students present, seven were age two and three were one-year-old. The classroom contained the same ten students when the surveyor left the facility at 1:00 pm. The classroom was over ratio by four students during the duration of the survey.

**General Administration**

**In Compliance**

**Director Requirements**

**Not In Compliance**

**350 - Health, Safety, Comfort**

**Not In Compliance**

**922 KAR 2:090. Section 10. Director Requirements and Responsibilities.**

**(1) A director shall:**

- (l) Assure the health, safety, and comfort of each child;**

**Findings:**

General: Based on observation, there was one uncovered electrical outlet located in the infant classroom.

**Employee Records**

**Not In Compliance**

**390 - Educational Requirements**

**Not In Compliance**

**922 KAR 2:090. Section 11. Staff Requirements.**

**(1) Child-care center staff:**

**(a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:**

- 1. High school diploma;**
- 2. GED or qualifying documentation from a comparable educational entity; or**
- 3. Commonwealth Child Care Credential as described in 922 KAR 2:250;**

**Findings:**

General: Based on review of documentation, a staff member hired 6/5/2019, did not have proof of educational qualifications in their personnel file.

**Programming**

**In Compliance**

**Inspection Report**

**Premises**

**Not In Compliance**

**520 - Inaccessible Items**

**Not In Compliance**

**922 KAR 2:120. Section 3. General Requirements.**

- (7) The following shall be inaccessible to a child in care:**
  - (a) Toxic cleaning supplies, poisons, and insecticides;**
  - (b) Matches, cigarettes, lighters, and flammable liquids; and**
  - (c) Personal belongings and medications of staff.**

**Findings:**

General: Based on observation and interview, there was an unlocked storage room across from the staff lounge that contained a gallon container of primer and a gallon container of plaster. These items were accessible to the children in care. The staff person in charge stated that the Housing Authority had come to the building the day prior and did not lock the storage room door before to leaving the premises.

**540 - Premises Requirements**

**Not In Compliance**

**922 KAR 2:120. Section 4. Premises Requirements.**

- (1) The premises shall be:**
  - (a) Suitable for the purpose intended;**
  - (b) Kept clean and in good repair;**

**Findings:**

General: Based on observation, the staff hand-washing sink in the two-year-old classroom was leaking.

**560 - Building Requirements**

**Not In Compliance**

**922 KAR 2:120. Section 4. Premises Requirements.**

- (5) The building shall be constructed to ensure the:**
  - (a) Building is:**
    - 1. Dry;**
    - 2. Ventilated; and**
    - 3. Well lit, including clean light fixtures that are:**
      - a. In good repair in all areas; and**
      - b. Shielded or have shatter-proof bulbs installed; and**
  - (b) Following are protected:**
    - 1. Windows;**
    - 2. Doors;**
    - 3. Stoves;**
    - 4. Heaters;**
    - 5. Furnaces;**
    - 6. Pipes; and**
    - 7. Stairs.**

**Findings:**

General: Based on observation, the girls' restroom across from the school-aged bathroom had a fluorescent light that was not in working order.

**580 - Floors, Walls, Ceilings**

**Not In Compliance**

**922 KAR 2:120. Section 4. Premises Requirements.**

- (9) Floors, walls, and ceilings shall be smooth, in good repair, and constructed to be easily cleaned.**

**Findings:**

General: Based on observation:

- 1) There was a water-stained ceiling tile located in the Infant Classroom.
- 2) There were several staples protruding from the wall in the infant classroom. The staples were low on the wall and accessible to the children in care.
- 3) There was a large stain on an animal themed rug in the Infant Classroom.
- 4) The vent in the two-year-old classroom had an accumulation of dust.
- 5) A ceiling tile located above the block center in the two-year-old classroom had a water-stain.
- 6) A ceiling tile in the boy's restroom of the two-year-old classroom was not secure in the drop-down tile frame.
- 7) The school-aged bathroom contained a ceiling tile that did not fill the drop-down tile framing.

**Hygienic Practices**

**In Compliance**

**First Aid/Medication**

**In Compliance**

**Outdoor Play Area**

**In Compliance**

**Inspection Report**

**Equipment**

**Not In Compliance**

**795 - Individual Bed/Mat/Cot and Bedding**

**Not In Compliance**

**922 KAR 2:120. Section 6. Sleeping and Napping Requirements.**

- (3) Rest time shall include adequate space specified by the child's age as follows:**
  - (b) For a toddler or preschool-age child:**
    - 1. An individual bed, a two (2) inch thick waterproof mat, or cot in good repair; and**
    - 2. Bedding that is in good repair and is changed:**
      - a. Weekly; or**
      - b. Immediately if it is soiled or wet.**

**Findings:**

General: Based on observation, there were four napping mats in the three and four-year-old classroom that had frayed edges and exposed foam, rendering the mat unable to be properly sanitized.

**820 - Indoor/Outdoor Equipment**

**Not In Compliance**

**922 KAR 2:120. Section 11. Toys and Furnishings.**

- (2) Indoor and outdoor equipment shall:**
  - (a) Be clean, safe, and in good repair;**
  - (b) Meet the physical, developmental needs, and interests of children of different age groups;**
  - (c) Be free from sharp points or corners, splinters, protruding nails or bolts, loose or rusty parts, hazardous small parts, lead-based paint, poisonous material, and flaking or chalking paint; and**
  - (d) Be designed to guard against entrapment or situations that may cause strangulation.**

**Findings:**

General: Based on observation:

- 1) The older children's playground had a wooden bench that was in disrepair. The wooden planks were not securely fastened to the bench.
- 2) The sink in the four and five-year-old classroom had an accumulation of dirt in the storage space underneath.
- 3) A drawer located in the three and four-year-old classroom was in disrepair. The front of the drawer was disconnected from the outer sides of the drawer.

**Transportation**

**In Compliance**

**Food Service/Food Program**

**In Compliance**

**Food Service**

**Not In Compliance**

**1050 - Bottles**

**Not In Compliance**

**922 KAR 2:120. Section 8. Kitchen Requirements.**

- (9) Bottles shall be:**
  - (a) Individually labeled;**
  - (b) Promptly refrigerated;**
  - (c) Covered while not in use; and**
  - (d) Consumed within one (1) hour of being heated or removed from the refrigerator.**

**Findings:**

General: Based on observation, there were two bottles stored in the infant classroom refrigerator that were not labeled with a child's name.

**Children's Records**

**Not In Compliance**

**1135 - Immunization**

**Not In Compliance**

**922 KAR 2:090. Section 9. Records.**

- (1) A child-care center shall maintain:**
  - (a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;**

**Findings:**

General: Based on review of documentation, one child enrolled 6/15/2020, had an immunization record on file that was no longer current. The document reviewed had expired 7/4/2020.

1140 - Enrollment Information

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(b) A written record for each child:

- 1. Completed and signed by the child's parent;
- 2. Retained on file on the first day the child attends the child-care center; and
- 3. To contain:
  - a. Identifying information about the child, which includes, at a minimum, the child's name, address, and date of birth;
  - b. Contact information to enable a person in charge to contact the child's:
    - (i) Parent at the parent's home or place of employment;
    - (ii) Family physician; and
    - (iii) Preferred hospital;
  - c. The name of each person who is designated in writing to pick-up the child;
  - d. The child's general health status and medical history including, if applicable:
    - (i) Allergies;
    - (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and
    - (iii) Permission from the parent for third-party professional services in the child-care center;
  - e. The name and phone number of each person to be contacted in an emergency involving or impacting the child;
  - f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;

Findings:

General: Based on review of documentation, one child enrolled 6/15/2020, did not have a preferred hospital listed in their enrollment information.

Written Documentation

In Compliance

Posted Documentation

Not In Compliance

1215 - Daily Activities

Not In Compliance

922 KAR 2:090. Section 10. Director Requirements and Responsibilities.

(1) A director shall:

(h) Post a schedule of daily activities, to include dates and times of activities to be conducted with the children in each classroom;

Findings:

General: Based on review of documentation, the lesson plan posted in the infant classroom was outdated by one week. The posted plan was dated 7/1/2020-7/5/2020.

Animals

In Compliance

Signature of Provider/Representative

Title

Date