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Inspection Report

<b>Provider Name:</b> Little Eagles Preschool	<b>Provider Information</b>	<b>CLR No:</b> L358321
<b>Provider Address:</b> 6040 Frankfort Road, Shelbyville, KY, 40065	<b>Provider Type:</b> LICENSED TYPE I	<b>Capacity:</b> 49
<b>Owner(s):</b> Little Eagles Preschool, LLC		<b>Director(s):</b> Stiles, Aundrea Dione

<b>Inspection Type:</b> Renewal Application	<b>Inspection Information</b>	<b>Inspection No:</b> 307673
<b>Date Initiated:</b> 06/17/2021 9:52 AM	<b>Date Concluded:</b> 06/17/2021 1:40 PM	
	<b>No. of Children Present:</b> 25	

Inspection Report	
<b>Background Checks</b>	<b>In Compliance</b>
<b>Supervision</b>	<b>In Compliance</b>
<b>Staffing Requirements</b>	<b>Not In Compliance</b>
<b>125 - Ratios and Group Size</b>	<b>Not In Compliance</b>

**922 KAR 2:120. Section 2. Child Care Services.**  
**(2) For an operating child-care center, minimum staff-to-child ratios and group size shall be maintained as established in the table established in this subsection.**

Age of Children	Ratio	Maximum Group Size*
Infant	1 staff for 5 children	10
Toddler 12 to 24 months	1 staff for 6 children	12
Toddler 24 to 36 months	1 staff for 10 children	20
Preschool-age 3 to 4 years	1 staff for 12 children	24
Preschool-age 4 to 5 years	1 staff for 14 children	28
School-age 5 to 7 years	1 staff for 15 children	30
School-age 7 and older	1 staff for 25 children	
(for before and after school)	1 staff for 20 children	30
(full day of care)		30

\*Maximum Group Size shall be applicable only to Type I child-care centers.  
**(b) The age of the youngest child in the group shall determine the:**  
**1. Staff-to-child ratio; and**  
**2. Maximum group size.**

**Findings:**

General: Based on observation, interview and review of documentation, the child care center failed to comply with staffing requirements. Observation of the infant/one-year-old room revealed there were six (6) children present. Interview with the staff member revealed the age range of the children were six (6) months old through one-year-old; therefore, the classroom was over ratio by one (1) child.

**Inspection Report**

**General Administration**

**Not In Compliance**

**210 - Licensee Responsibility**

**Not In Compliance**

**922 KAR 2:090. Section 8. General.**

**(1) A licensee shall:**

- (a) Be responsible for the operation of the child-care center pursuant to this administrative regulation, 922 KAR 2:120, and 922 KAR 2:280; and**
- (b) Protect and assure the health, safety, and comfort of each child.**

**Findings:**

General: Based on observation, interview and review of documentation, the child care center director failed to assure compliance with regulatory requirements. Upon request, a criminal background record check (CRC) was not presented for review for staff members with the hire dates of 08/21/2019 and 09/28/2020. Also, a completed child abuse and neglect check (CAN) was not presented for review for the staff member with the hire date 08/21/2019. Observation of the school age room revealed the staff member with the hire date 08/21/2019 was the sole caregiver for four (4) children. Review of the staff file failed to reveal a completed Child Abuse and Neglect (CAN) background check and a completed Criminal Records Check (CRC). Upon request the background checks were not presented for review at the time of the survey. Observation of the two-year-old room revealed the employee with the hire date 09/28/2020 was the sole caregiver for six (6) children. Interview with the staff in-charge revealed the aforementioned documents were not in the staff records and acknowledged the regulatory violation.

Observation of the Direct T.V. dish that was located on the outside playground revealed there was a black wire hanging from the dish; the wire was accessible to the children. There were electrical outlets that were not covered in the pre-k and infant classrooms.

**Director Requirements**

**Not In Compliance**

**340 - Staff Meeting**

**Not In Compliance**

**922 KAR 2:090. Section 10. Director Requirements and Responsibilities.**

**(1) A director shall:**

- (i) Conduct, manage, and document in writing recurring staff meetings;**

**Findings:**

General: Based on interview and review of documentation, the child care center director failed to assure compliance with regulatory requirements. Upon request, documentation of staff meetings was not presented for review. The documentation presented was documents dated from 2018 and 2019. Interview with the staff in-charge revealed she could not could not verify that staff meetings had been conducted recently.

**345 - Staff Evaluation**

**Not In Compliance**

**922 KAR 2:090. Section 10. Director Requirements and Responsibilities.**

**(1) A director shall:**

- (j) Assess each staff person's interaction with children in care and classroom performance through an annual written performance evaluation;**

**Findings:**

General: Based on interview and review of documentation, the child care center director failed to assure compliance with regulatory requirements. Upon request, a current written annual staff evaluation was not presented for review for four (4) staff members with the hire dates of 07/17/2018, 03/09/2018, 05/13/2019 and 08/21/2019.

**360 - Caregiver Alone**

**Not In Compliance**

**922 KAR 2:090. Section 10. Director Requirements and Responsibilities.**

**(1) A director shall:**

- (m) Assure that a person acting as a caregiver of a child in care shall not be left alone with a child, if the licensee has not received the results of the background checks as described in 922 KAR 2:280;**

**Findings:**

General: Based on observation, interview and review of documentation, the child care center director failed to assure compliance with regulatory requirements. Observation of the school age room revealed a staff member with the hire date 08/21/2019 was the sole caregiver for four (4) children. Review of the staff file failed to reveal a completed Child Abuse and Neglect (CAN) background check and a completed Criminal Records Check (CRC). Upon request the background checks were not presented for review at the time of the survey. Observation of the two-year-old room revealed an employee with the hire date 09/28/2020 was the sole caregiver for six (6) children. Upon request a completed Criminal Records Check (CRC) was not presented for review at the time of the survey.

**370 - Parental/Family Involvement Activity**

**Not In Compliance**

**922 KAR 2:090. Section 10. Director Requirements and Responsibilities.**

**(1) A director shall:**

- (o) Coordinate at least one (1) annual activity involving parental or family participation;**

**Findings:**

General: Based on interview and review of documentation, the child care center director failed to assure compliance with regulatory requirements. Upon request, the staff in-charge did not present verification that the child care center had coordinated at least one (1) annual activity involving parental or family participation.

**Employee Records**

**Not In Compliance**

**385 - Personnel File**

**Not In Compliance**

**922 KAR 2:090. Section 9. Records.**

**(1) A child-care center shall maintain:**

**(e) A current personnel file for each child-care center staff person to include:**

- 1. Name, address, date of birth, and date of employment;**
- 2. Proof of educational qualifications;**
- 3. Record of annual performance evaluation;**
- 4. Documentation of compliance with tuberculosis screening in accordance with Section 11(1)(b) of this administrative regulation; and**
- 5. The results of background checks conducted in accordance with 922 KAR 2:280;**

**Findings:**

General: Based on interview and review of documentation, the child care center failed to maintain employee records in accordance with regulatory requirements. Upon request, the staff in-charge did not present a personnel file for two (2) staff members with unknown hire dates.

**390 - Educational Requirements**

**Not In Compliance**

**922 KAR 2:090. Section 11. Staff Requirements.**

**(1) Child-care center staff:**

**(a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:**

- 1. High school diploma;**
- 2. GED or qualifying documentation from a comparable educational entity; or**
- 3. Commonwealth Child Care Credential as described in 922 KAR 2:250;**

**Findings:**

General: Based on interview and review of documentation, the child care center failed to maintain employee records in accordance with regulatory requirements. Upon request, the staff member in-charge did not present a high school diploma, GED, or a qualifying document from a comparable educational entity for two (2) staff members with unknown hire dates.

**395 - TB Verification**

**Not In Compliance**

**922 KAR 2:090. Section 11. Staff Requirements.**

**(1) Child-care center staff:**

**(b) Shall provide, prior to employment and every two (2) years thereafter:**

- 1. A statement from a health professional that the individual is free of active tuberculosis; or**
- 2. A copy of negative tuberculin results.**

**Findings:**

General: Based on interview and review of documentation, the child care center failed to maintain employee records in accordance with regulatory requirements. Upon request, the staff in-charge did not provide documented evidence from a health care professional that the individuals were free of active tuberculosis for two (2) staff members with the hire date of 02/23/2007 and 05/13/2019 and for two (2) staff members with unknown hire dates.

**400 - CPR/First Aid Coverage**

**Not In Compliance**

**922 KAR 2:090. Section 11. Staff Requirements.**

**(3) For a child-care center licensed for infant, toddler, or preschool-age children, at least one (1) person on duty and present with the children shall be currently certified by a cabinet-approved training agency in the following skills:**

- (a) Infant and child cardiopulmonary resuscitation; and**
- (b) Infant and child first aid.**

**(4) For a child-care center licensed for school-age children, at least one (1) person on duty and present with the children shall be currently certified by a cabinet-approved training agency in the following skills:**

- (a) Adult cardiopulmonary resuscitation; and**
- (b) First aid.**

**(5) Cardiopulmonary resuscitation (CPR) and first aid training shall be in addition to the fifteen (15) clock hours requirement in subsection (16) of this section.**

**Findings:**

General: Based on interview and review of documentation, the child care center failed to maintain employee records in accordance with regulatory requirements. Upon request, the staff in-charge did not provide documented evidence to verify at least one (1) staff member who was working at the child care center at the time of the survey was certified in first aid and cardiopulmonary resuscitation. Review of documentation revealed there is not one (1) staff member employed at the child care center that is certified in first aid and cardiopulmonary resuscitation.

**410 - Training**

**Not In Compliance**

**922 KAR 2:090. Section 11. Staff Requirements.**

**(16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:**

- (a) Six (6) hours of cabinet-approved orientation completed within the first three (3) months of employment in a child care program;**
- (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment in a child care program, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and**
- (c) Fifteen (15) hours of cabinet-approved early care and education training completed between July 1 and the following June 30 of each subsequent year of employment in a child care program, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.**

**(17) A staff person's compliance with training requirements of this section shall be verified through the cabinet-designated database maintained pursuant to 922 KAR 2:240.**

**Findings:**

General: Based on interview and review of documentation, the child care center failed to maintain employee records in accordance with regulatory requirements. Upon request, the staff in-charge did not provide documented evidence to verify the six (6) hour cabinet-approved orientation training had been completed for staff members with the hire dates of 03/09/2018 and 05/13/2019. Review of ECE-TRIS report verified that the aforementioned staff members had not completed the training within the first three (3) months of employment. Upon request, the staff in-charge did not present verification that the one and one-half (1 1/2) hours of cabinet-approved pediatric abusive head trauma training was completed once every five (5) years for staff members with the hire dates of 07/17/2018 and 05/13/2029. Also, there were two (2) staff members with unknown hire dates whose training could not be verified. Review of ECE-TRIS report revealed the pediatric abusive head trauma training had not been completed by the aforementioned staff members.

**420 - Program of Activities Followed**

**Not In Compliance**

**922 KAR 2:120. Section 2. Child Care Services.**

**(4) The child-care center shall provide a daily planned program:**

- (a) Posted in writing in a conspicuous location with each age group and followed;**
- (b) Of activities that are individualized and developmentally appropriate for each child served;**
- (c) That provides experience to promote the individual child's physical, emotional, social, and intellectual growth and well-being; and**
- (d) Unless the child-care center is a before- or after-school program that operates part day or less, that offers a variety of creative activities including:**

- 1. Art or music;**
- 2. Math or numbers;**
- 3. Dramatic play;**
- 4. Stories and books;**
- 5. Science or nature;**
- 6. Block building or stacking;**
- 7. Tactile or sensory activity;**
- 8. Multi-cultural exposure;**
- 9. Indoor or outdoor play in which a child makes use of both small and large muscles;**
- 10. A balance of active and quiet play, including group and individual activity;**
- 11. An opportunity for a child to:**
  - a. Have some free choice of activities;**
  - b. If desired, play apart from the group at times;**

**Findings:**

General: Based on interview and review of documentation, the child care center failed to comply with regulatory requirements. Upon request, a daily activity plan was not posted or presented for review on the day of the survey for the school-age room.

**Premises**

**Not In Compliance**

**540 - Premises Requirements**

**Not In Compliance**

**922 KAR 2:120. Section 4. Premises Requirements.**

**(1) The premises shall be:**

- (a) Suitable for the purpose intended;**
- (b) Kept clean and in good repair;**

**Findings:**

General: Based on observation, the child care center failed to maintain the premises in accordance with regulatory requirements. There was one (1) electrical outlet face plate that was broken in the infant/one-year-old room and in the pre-k room.

**545 - Phone Requirement**

**Not In Compliance**

**922 KAR 2:120. Section 4. Premises Requirements.**

**(1) The premises shall be:**

- (c) Equipped with:**
  - 1. A working telephone accessible to a room used by a child; and**
  - 2. A list of emergency numbers posted by the telephone or maintained in the telephone's contact, including numbers for the:**
    - a. Police;**
    - b. Fire station;**
    - c. Emergency medical care and rescue squad; and**
    - d. Poison control center.**

**Findings:**

General: Based on interview and review of documentation, the child care center failed to maintain the premises in accordance with regulatory requirements. Upon request, the staff in-charge did not provide evidence that the child care center had a list of emergency numbers for: A. Police; B. Fire Station; C. Emergency medical care and rescue squad; and D. Poison control center. Interview with the staff member in-charge revealed she did not know if the aforementioned telephone numbers were programmed into the child care center's telephone.

**580 - Floors, Walls, Ceilings**

**Not In Compliance**

**922 KAR 2:120. Section 4. Premises Requirements.**

**(9) Floors, walls, and ceilings shall be smooth, in good repair, and constructed to be easily cleaned.**

**Findings:**

General: Based on observation, the child care center failed to maintain the premises in accordance with regulatory requirements. There was a brown stain on the ceiling located over the director's desk, indicative of water damage. The teal wall located in the infant / one- year old room was chipping paint and the drywall was exposed. Also, on the corner of the wall, the paint and dry wall had chipped away causing the metal flashing to be exposed. On the orange wall located in the two-year-old bathroom there were two (2) holes under the hand rail. The orange wall behind the bathroom door contained a partial hole and the dry wall was exposed in the two-year-old room. There was a black substance on the ceiling around the three (3) air vents in the two-year-old room.

**640 - Toilet Room**

**Not In Compliance**

**922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.**

- (2) A toilet room shall:**
- (a) 1. Be provided for each gender; or**
  - 2. A plan shall be implemented to use the same toilet room at separate times;**
  - (b) Have a supply of toilet paper; and**
  - (c) Be cleaned and disinfected daily.**

**Findings:**

General: Based on observation, the child care center failed to maintain the premises in accordance with regulatory requirements. Observation of the bathroom within the two-year-old room and the bathroom adjacent to the kitchen hallway revealed both bathrooms did not contain toilet paper.

**645 - Sink**

**Not In Compliance**

**922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.**

- (3) A sink shall be:**
- (a) Located in or immediately adjacent to toilet rooms;**
  - (b) Equipped with hot and cold running water that allows for hand washing;**
  - (c) Equipped with hot water at a minimum temperature of ninety (90) degrees Fahrenheit and a maximum of 120 degrees Fahrenheit;**
  - (d) Equipped with liquid soap;**
  - (e) Equipped with hand-drying blower or single use disposable hand drying material;**
  - (f) Equipped with an easily cleanable waste receptacle; and**
  - (g) Immediately adjacent to a changing area used for infants and toddlers.**

**Findings:**

General: Based on observation, the child care center failed to maintain the premises in accordance with regulatory requirements. The bathroom adjacent to the kitchen hallway was not equipped with a hand-drying blower or single disposable hand drying material at the time of the survey. There was a changing table located in the infant / one-year-old room that did not have a hand washing sink immediately adjacent to the changing table or inside the classroom. Interview with staff member revealed the staff use hand sanitizer on the children to substitute for soap and water.

**Hygienic Practices**

**In Compliance**

**First Aid/Medication**

**Not In Compliance**

**715 - First Aid Supplies**

**Not In Compliance**

**922 KAR 2:120. Section 7. First Aid and Medicine.**

- (1) First aid supplies shall:**
- (a) Be available to provide prompt and proper first aid treatment;**
  - (b) Be stored out of reach of a child;**
  - (c) Be periodically inventoried to ensure the supplies have not expired;**
  - (d) If reusable, be:**
    - 1. Sanitized; and**
    - 2. Maintained in a sanitary manner; and**
  - (e) Include:**
    - 1. Liquid soap;**
    - 2. Adhesive bandages;**
    - 3. Sterile gauze;**
    - 4. Medical tape;**
    - 5. Scissors;**
    - 6. A thermometer;**
    - 7. Flashlight;**
    - 8. Cold pack;**
    - 9. First aid book;**
    - 10. Disposable gloves; and**
    - 11. A cardiopulmonary resuscitation mouthpiece protector.**

**Findings:**

General: Based on observation and interview, the child care center failed to comply with regulatory requirements regarding first aid. Review of the center's first aid supplies revealed there was not a first aid book and a cardiopulmonary resuscitation mouthpiece protector presented for review on the day of the survey. Interview with the owner revealed she was not aware that she had to have those items.

**720 - Medication Administration**

**Not In Compliance**

**922 KAR 2:120. Section 7. First Aid and Medicine.**

- (4) Prescription and nonprescription medication shall be administered to a child in care:**
- (a) 1. With a written request of the child's parent or the child's prescribing health professional; and**
  - 2. According to the directions or instructions on the medication's label; or**
  - (b) For epinephrine, in accordance with KRS 199.8951 and 311.646.**

**Findings:**

General: Based on interview and review of documentation, the child care center failed to comply with regulatory requirements regarding medication. A record for a child with an unknown enrollment date did not contain written permission from the parent or a health care provider for Dextroamphetamine to be administered to the aforementioned child. Interview with the staff in-charge revealed a staff member at the child care center administers the aforementioned medication to the child on the days the child is present at the child care center.

**725 - Administration Record**

**Not In Compliance**

**922 KAR 2:120. Section 7. First Aid and Medicine.**

- (5) The child-care center shall keep a written record of the administration of medication, including:**
- (a) Time of each dosage;**
  - (b) Date;**
  - (c) Amount;**
  - (d) Name of staff person giving the medication;**
  - (e) Name of the child; and**
  - (f) Name of the medication.**

**Findings:**

General: Based on interview and review of documentation, the child care center failed to comply with regulatory requirements regarding medication. Interview with a multiple sources revealed that a child with an unknown enrollment date was administered Clonidine and Dextroamphetamine. Review of the attendance record revealed the child was present at the center on the following days: June 3, 4, 8, 9, 10, 14,15 and 16 2021. Review of the medication log failed to reveal documentation of the administering of medication for the child.

**730 - Medication**

**Not In Compliance**

**922 KAR 2:120. Section 7. First Aid and Medicine.**

**(6) Medication, including refrigerated medication, shall be:**

- (a) Stored in a separate and locked place, out of the reach of a child unless the medication is:**
  - 1. A first aid supply and is maintained in accordance with subsection (1) of this section;**
  - 2. Diaper cream, sunscreen, or toothpaste. Diaper cream, sunscreen, or toothpaste shall be inaccessible to a child;**
  - 3. An epinephrine auto-injector. A licensed child-care center shall comply with KRS 199.8951 and 311.646, including:**
    - a. An epinephrine auto-injector shall be inaccessible to a child;**
    - b. A child-care center shall have at least one (1) person onsite who has received training on the administration of an epinephrine auto-injector if the child-care center maintains an epinephrine auto-injector;**
    - c. A child-care center shall seek emergency medical care for a child if an auto-injector is administered to the child; and**
    - d. A child-care center shall report to the child's parent and the cabinet in accordance with 922 KAR 2:090, Section 12(1)(b) if an epinephrine auto-injector is administered to a child; or**
  - 4. An emergency or rescue medication for a child in care, such as medication to respond to diabetic or asthmatic condition, as prescribed by the child's physician. Emergency or rescue medication shall be inaccessible to a child in care;**
- (b) Kept in the original bottle; and**
- (c) Properly labeled.**
- (7) Medication shall not be given to a child if the medication's expiration date has passed.**

**Findings:**

General: Based on interview and review of documentation, the child care center failed to comply with regulatory requirements regarding medication. Review of the first aid supplies that were stored in an unlocked plastic container revealed it contained four (4) packets of diphen and two (2) packets of non-asprin. Interview with the staff in-charge revealed the first aid supplies were stored in an unlocked cabinet, therefore the medication was not locked. Observation of an unlocked lunch bag that was presented for review contained two (2) prescription drugs, Clonidine and Dextroamphetamine. Interview with the staff in-charge revealed the aforementioned prescriptions were stored in an unlocked cabinet in the kitchen and the kitchen door was not locked, therefore the medication was not locked.

**Outdoor Play Area**

**Not In Compliance**

**755 - Protective Surface**

**Not In Compliance**

**922 KAR 2:120. Section 4. Premises Requirements.**

**(21) A protective surface shall:**

- (a) Be provided for outdoor play equipment used to:**
  - 1. Climb;**
  - 2. Swing; and**
  - 3. Slide; and**
- (b) Have a fall zone equal to the height of the equipment.**

**Findings:**

General: Based on observation, the child care center failed to maintain an outdoor play area in accordance with regulatory requirements. There was not sufficient protective surfacing at the foot of the brown and green slides. The ground and black landscaping material was visible. There were three (3) climbing structures that were approximately four and one-half (4 1/2) feet tall and the space between each climbing structure was two (2) and three (3) feet apart from each structure; therefore, the structures did not have a proper fall zone equal to the height of the equipment.

**760 - Fences**

**Not In Compliance**

**922 KAR 2:120. Section 4. Premises Requirements.**

**(23) Fences shall be:**

- (a) Constructed of safe material;**
- (b) Stable; and**
- (c) In good condition.**

**Findings:**

General: Based on observation, the child care center failed to maintain an outdoor play area in accordance with regulatory requirements. The gate attached to the fence located on the outside playground did not contain a latch, therefore, the gate would not stay closed.

**Inspection Report**

**Equipment**

**Not In Compliance**

**770 - Play Equipment**

**Not In Compliance**

**922 KAR 2:120. Section 4. Premises Requirements.**

- (24) Supports for climbing apparatus and large equipment shall be securely fastened to the ground.
- (25) Crawl spaces, such as tunnels, shall be short and wide enough to permit access by adults.
- (26) A sandbox shall be:
  - (a) Constructed to allow for drainage;
  - (b) Covered when not in use;
  - (c) Kept clean; and
  - (d) Checked for vermin prior to use.

**Findings:**

General: Based on observation, the child care center failed to maintain an outdoor play area in accordance with regulatory requirements. There was a two (2) seat See-Saw that was not secured into the ground. The play equipment moved when the surveyor pushed down on one (1) of the seats.

**810 - Individual Bedding Storage**

**Not In Compliance**

**922 KAR 2:120. Section 6. Sleeping and Napping Requirements.**

- (9) Individual bedding shall be stored in a sanitary manner.

**Findings:**

General: Based on observation, the child care center failed to maintain equipment in accordance with regulatory requirements. Observation of the tall wooden cubbies that divide the school-age room and the infant / one-year-old room revealed there were children's bedding that was not stored properly and the some of the children's bedding was touching other individuals' bedding.

**815 - Toys/Furniture**

**Not In Compliance**

**922 KAR 2:120. Section 11. Toys and Furnishings.**

- (1) All toys and furniture contacted by a child shall be:
  - (a) Kept clean and in good repair; and
  - (b) Free of peeling, flaking, or chalking paint.

**Findings:**

General: Based on observation, the child care center failed to maintain equipment in accordance with regulatory requirements. There was a white, wooden rocking chair located in the infant / one-year-old-room that was chipping paint and contained a splintered area on the headrest.

**820 - Indoor/Outdoor Equipment**

**Not In Compliance**

**922 KAR 2:120. Section 11. Toys and Furnishings.**

- (2) Indoor and outdoor equipment shall:
  - (a) Be clean, safe, and in good repair;
  - (b) Meet the physical, developmental needs, and interests of children of different age groups;
  - (c) Be free from sharp points or corners, splinters, protruding nails or bolts, loose or rusty parts, hazardous small parts, lead-based paint, poisonous material, and flaking or chalking paint; and
  - (d) Be designed to guard against entrapment or situations that may cause strangulation.

**Findings:**

General: Based on observation, the child care center failed to maintain equipment in accordance with regulatory requirements. Observation of the wooden swing set located on the outside playground revealed the metal plate securing the glider swing was not secured to the wooden structure; the metal plate was very wobbly when the chains to the swing were moved. While going up the wooden steps to gain access to the slide, there was a rusted screw protruding from a piece of wood that was accessible to the children. There were several wooden timbers that were used as a barrier around the perimeter of the play structures that were rotted, leaving splintered edges and rusted nails protruding from the wooden timbers. There were five (5) metal stakes protruding from the ground adjacent to the wooden swing set, causing a potential tripping hazard. Also, the two (2) seater See Saw play equipment located on the outside playground contained chipping paint and rust.

**825 - Toys**

**Not In Compliance**

**922 KAR 2:120. Section 11. Toys and Furnishings.**

- (3) Toys shall be:
  - (a) Used according to the manufacturer's safety specifications;
  - (b) Durable; and
  - (c) Without sharp points or edges.

**Findings:**

General: Based on observation, the child care center failed to maintain equipment in accordance with regulatory requirements. The Little Tyke piano located in the infant / one-year-old room was chipping paint and the metal plates were heavily rusted.

**Transportation**

**Not Applicable**

**Food Service/Food Program**

**In Compliance**



**Inspection Report**

**Food Service**

**Not In Compliance**

**1025 - Refrigerator**

**Not In Compliance**

**922 KAR 2:120. Section 8. Kitchen Requirements.**

- (4) A cold-storage facility used for storage of perishable food in a nonfrozen state shall:**
  - (a) Have an indicating thermometer or other appropriate temperature measuring device;**
  - (b) Be in a safe environment for preservation; and**
  - (c) Be forty (40) degrees Fahrenheit or below.**

**Findings:**

General: Based on observation and interview, the child care center failed to maintain food service in accordance with regulatory requirements. The refrigerator located in the kitchen did not have an indicating thermometer. Therefore, the temperature inside the refrigerator could not be verified. Interview with a staff member revealed she could not locate the thermometer inside the refrigerator.

**1030 - Frozen Food**

**Not In Compliance**

**922 KAR 2:120. Section 8. Kitchen Requirements.**

- (5) Frozen food shall be:**
  - (a) Kept at a temperature of zero degrees Fahrenheit or below; and**
  - (b) Thawed:**
    - 1. At refrigerator temperatures;**
    - 2. Under cool, potable running water;**
    - 3. As part of the cooking process; or**
    - 4. By another method in accordance with the Department of Public Health's food safety standards and permits, established in KRS Chapter 217.**

**Findings:**

General: Based on observation and interview, the child care center failed to maintain food service in accordance with regulatory requirements. The refrigerator freezer located in the kitchen did not contain an indicating thermometer. Interview with a staff member revealed she could not locate the thermometer. Therefore, the temperature of the freezer could not be verified.

**Children's Records**

**Not In Compliance**

**1140 - Enrollment Information**

**Not In Compliance**

**922 KAR 2:090. Section 9. Records.**

- (1) A child-care center shall maintain:**
  - (b) A written record for each child:**
    - 1. Completed and signed by the child's parent;**
    - 2. Retained on file on the first day the child attends the child-care center; and**
    - 3. To contain:**
      - a. Identifying information about the child, which includes, at a minimum, the child's name, address, and date of birth;**
      - b. Contact information to enable a person in charge to contact the child's:**
        - (i) Parent at the parent's home or place of employment;**
        - (ii) Family physician; and**
        - (iii) Preferred hospital;**
      - c. The name of each person who is designated in writing to pick-up the child;**
      - d. The child's general health status and medical history including, if applicable:**
        - (i) Allergies;**
        - (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and**
        - (iii) Permission from the parent for third-party professional services in the child-care center;**
      - e. The name and phone number of each person to be contacted in an emergency involving or impacting the child;**
      - f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;**

**Findings:**

General: Based on review of documentation, the child care center failed to maintain children's records in accordance with regulatory requirements. Based on review of children's records for children with enrollment dates of 03/02/2021, 08/19/2019, 04/15/2021, 06/11/2018, 04/15/2021, 06/11/2018, 07/20/2020 and one (1) child with an unknown enrollment date, the files did not contain authorization by the parent for the child care center to seek medical care for the child in the parents absence and there was not a signature by the parent. Also, there were three (3) children's records that did not contain the date of the child's first day of attendance at the child care center.

**Written Documentation**

**Not In Compliance**

**1150 - Evacuation Plan**

**Not In Compliance**

**922 KAR 2:090. Section 5. Evacuation Plan.**

- (1) A licensed child-care center shall have a written evacuation plan in the event of a fire, natural disaster, or other threatening situation that may pose a health or safety hazard for a child in care in accordance with KRS 199.895 and 42 U.S.C. 9858c(c)(2)(U).**

**Findings:**

General: Based on review of documentation, the child care center failed to maintain written documentation in accordance with regulatory requirements. The child care center failed to maintain a current Emergency/Disaster Preparedness Plan. Additionally, a staff member failed to submit a newly signed and dated emergency plan to the local emergency management agency.



**1155 - Policies and Procedures**

**Not In Compliance**

**922 KAR 2:090. Section 8. General.**

**(4) Program policies and procedures shall:**

**(a) Be in writing; and**

**(b) Include:**

- 1. Staff policies;**
- 2. Job descriptions;**
- 3. An organization chart;**
- 4. Chain of command; and**
- 5. Other procedures necessary to ensure implementation of:**
  - a. KRS 199.898, Rights for children in child-care programs and their parents, custodians, or guardians - posting and distribution requirements;**
  - b. 922 KAR 2:120, Child-care center health and safety standards;**
  - c. 922 KAR 2:280, Background checks for child care staff members, reporting requirements, and appeals; and**
  - d. This administrative regulation.**

**Findings:**

General: Based on interview and review of documentation, the child care center failed to maintain written documentation in accordance with regulatory requirements. The staff in-charge failed to present a current organizational chart for review on the day of the survey.

**1170 - Professional Development**

**Not In Compliance**

**922 KAR 2:090. Section 9. Records.**

**(1) A child-care center shall maintain:**

**(f) A written annual plan for child-care staff professional development;**

**Findings:**

General: Based on interview and review of documentation, the child care center failed to maintain written documentation in accordance with regulatory requirements. A current written professional development plan was not presented for review at the time of the survey for staff members with the hire dates; 07/17/2018, 03/09/2018, 05/13/2019, 08/21/2019 and two (2) staff members with an unknown hire date. Interview with the staff member in-charge revealed she could not present the aforementioned documents.

**1175 - Earthquake/Tornado/Fire Drills**

**Not In Compliance**

**922 KAR 2:090. Section 9. Records.**

**(1) A child-care center shall maintain:**

**(h) A written record of quarterly practiced earthquake drills and tornado drills detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;**

**(i) A written record of practiced fire drills conducted monthly detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;**

**Findings:**

General: Based on interview and review of documentation, the child care center failed to maintain written documentation in accordance with regulatory requirements. Review of presented documentation failed to reveal a written record of quarterly practiced earthquake and tornado drills for the first quarter 2021 detailing the date, time and children who participated in the drills. Also, the monthly practiced fire drills for January, February, March, April and May 2021 detailing the date, time and the children who participated in the drills were not presented for review at the time of the survey.

**Posted Documentation**

**Not In Compliance**

**1200 - Posting Requirements**

**Not In Compliance**

**922 KAR 2:090. Section 8. General.**

**(6) In addition to the posting requirement of KRS 199.898(3), a child-care center shall post the following in a conspicuous place and make available for public inspection:**

- (a) The provider's preliminary or regular license;**
- (b) Each statement of deficiency and civil penalty notice issued by the cabinet during the current licensure year;**
- (c) Each plan of correction submitted by the child-care center to the cabinet during the current licensure year;**
- (d) Information on the Kentucky Consumer Product Safety Program and the program's Web site as specified in KRS 199.897;**
- (e) A description of services provided by the child-care center, including:**
  - 1. Current rates for child care; and**
  - 2. Each service charged separately and in addition to the basic rate for child care;**
- (f) Minimum staff-to-child ratios and group size established in 922 KAR 2:120; and**
- (g) Daily planned program.**

**Findings:**

General: Based on observation, the child care center failed to post documentation in accordance with regulatory requirements. Upon request a daily planned program was not presented for review for the infant / one-year-old room and the school age room at the time of the survey.

**1215 - Daily Activities**

**Not In Compliance**

**922 KAR 2:090. Section 10. Director Requirements and Responsibilities.**

**(1) A director shall:**

**(h) Post a schedule of daily activities, to include dates and times of activities to be conducted with the children in each classroom;**

**Findings:**

General: Based on observation, the child care center failed to post documentation in accordance with regulatory requirements. There was not a daily schedule for the school age room and the two-year-old room.

**Animals**

**Not Applicable**

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Signature of Provider/Representative

Title

Date