



**CABINET FOR HEALTH AND FAMILY SERVICES
OFFICE OF INSPECTOR GENERAL**

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Inspection Report

Provider Name: Dorsey, Luvine	Provider Information	CLR No: C6537
Provider Address: 1506 Nantucket Court, Louisville, KY, 40211	Provider Type: CERTIFIED	Capacity: 6

Inspection Type: Renewal Application	Inspection Information	Inspection No: 244639
Date Initiated: 06/15/2018 8:55 AM	Date Concluded: 06/15/2018 11:00 AM	
No. of Children Enrolled: 10	No. of Children Present: 7	

Inspection Report	
Background Checks	Not In Compliance
5 - Background check/left alone/dismissed/relocated	Not In Compliance
<p>922 KAR 2:280 - Section 3. Implementation and Enforcement.</p> <p>(1) A person who is a child care staff member prior to January 1, 2018, shall submit to and complete background checks in accordance with this administrative regulation no later than September 30, 2018.</p> <p>(2) A child care staff member hired on or after January 1, 2018, shall:</p> <p>(a) Have completed the background checks required in accordance with this administrative regulation and found to have no disqualifying offense prior to becoming a child care staff member; or</p> <p>(b)1. Have submitted to the background checks required in accordance with this administrative regulation;</p> <p>2. Not be left unsupervised with a child in care pending the completion of the background checks in accordance with this administrative regulation; and</p> <p>3. Be dismissed or relocated from the residence if the person is found to have a disqualifying background check result.</p>	
Findings:	
<p>General: Based on observation, interview and review of documentation, the family child care home failed to comply with background checks in accordance with regulatory requirements. Observation of the family child care home revealed at 9:31 AM an assistant with an unknown date of hire escorted seven (7) children out of the home, while the provider stayed in the home. Interview with the provider revealed the aforementioned assistant was escorting the children on a walking fieldtrip by himself. Review of the assistant's file with an unknown date of hire revealed there was not background checks on file at the time of the survey. Review of DRCC records revealed the aforementioned assistant was not listed as an assistant in the annual survey conducted in 2017.</p>	
Supervision	In Compliance
General Administration	Not In Compliance
125 - Total Capacity	Not In Compliance
<p>922 KAR 2:100 - Section 10. Standards for the Provider.</p> <p>(4) The maximum number of unrelated children in the care of a certified family child-care home provider shall not exceed six (6) at any one (1) time. A provider may care for four (4) related children in addition to six (6) unrelated children for a maximum child care capacity of ten (10) at any one (1) time.</p>	
Findings:	
<p>General: Based on observation and interview, the family child care home failed to comply with regulatory requirements. Observation of the family child care home revealed there were seven (7) children present. Interview with the provider revealed there were seven children present. Continued interview with the provider revealed two (2) of the children in care are her great nephews and one (1) of the children in care is her great niece.</p>	
Provider Requirements	In Compliance

Inspection Report

Provider Records

Not In Compliance

240 - Provider CPR/First Aid

Not In Compliance

922 KAR 2:100 - Section 2. Certification Process.

- (4) An applicant shall be currently certified by an agency approved in accordance with 922 KAR 2:240 in infant and child:**
 - (a) Cardiopulmonary resuscitation (CPR); and**
 - (b) First aid.**

Findings:

General: Based on review of documentation and interview, the family child care home failed to maintain employee records in accordance with regulatory requirements. Upon request the provider did not present verification of current CPR/First Aid training.

265 - Provider Training Requirements

Not In Compliance

922 KAR 2:100 - Section 10. Standards for the Provider.

- (1)(a) A provider shall complete annually at least nine (9) hours of cabinet-approved early care and education training beginning with the second year of operation, including one and one-half (1½) hours of cabinet-approved pediatric abusive head trauma training in accordance with KRS 199.8982(2):**
 - 1. Within the second year of employment or operation in child care; and**
 - 2. Every subsequent five (5) years of employment or operation in child care.**

Findings:

General: Based on review of documentation and interview, the family child care home failed to maintain records in accordance with regulatory requirements. Review of provider files presented at the time of the survey revealed the provider did not have documentation of nine (9) hours of cabinet-approved training annually. Review of ECE-TRIS training report verified the provider did not complete nine (9) hours of cabinet-approved training. Interview with the provider revealed she did not have documentation to verify the nine (9) hours of training had been completed.

270 - Assistant CPR/FA, if applicable

Not In Compliance

922 KAR 2:100 - Section 10. Standards for the Provider.

- (6) Prior to being left alone with a child, an assistant shall be certified by a cabinet-approved agency in infant and child:**
 - (a) CPR; and**
 - (b) First aid.**

Findings:

General: Based on review of documentation and interview, the family child care home failed to maintain records in accordance with regulatory requirements. Upon request, the provider did not present verification of current CPR/First Aid training for an assistant that escorted children on a walking fieldtrip without the provider.

Programming

In Compliance

Premises

Not In Compliance

330 - Free from risk of harm

Not In Compliance

922 KAR 2:100 - Section 11. The General Requirements of the Family Child-Care Home Environment.

- (1) A provider's home and each play area used for child care shall:**
 - (a) Be free from risk of harm in accordance with the requirements of this administrative regulation; and**

Findings:

General: Based on observation, the family child care home failed to maintain the premises in accordance with regulatory requirements. Observation of the kitchen revealed a large hole in the ceiling, from which material was falling at time of the survey.

355 - Covered Outlets

Not In Compliance

922 KAR 2:100 - Section 11. The General Requirements of the Family Child-Care Home Environment.

- (5) Electrical outlets not in use shall be covered.**

Findings:

General: Based on observation, the family child care home failed to maintain the premises in accordance with regulatory requirements. Observation of the nap room revealed two (2) electrical outlets not covered at the time of the survey.

420 - Sink

Not In Compliance

922 KAR 2:100 - Section 13. Toilet and Diapering Requirements.

- (2) A sink shall be;**
 - (a) Located near or in close proximity to toilets;**
 - (b) Equipped with hot and cold running water that allows for hand washing;**
 - (c) Equipped with hot water at a minimum temperature of ninety (90) degrees Fahrenheit and a maximum of 120 degrees Fahrenheit;**
 - (d) Equipped with liquid soap and single use, disposable hand drying material;**
 - (e) Equipped with an easily cleanable, covered waste receptacle; and**
 - (f) Near or in close proximity to a changing area used for infants and toddlers.**

Findings:

General: Based on observation, the family child care home failed to maintain the premises in accordance with regulatory requirements. Observation in the bathroom sink revealed a thermometer to register the water temperature over 120 (one hundred twenty) degrees Fahrenheit. Continued observation of the bathroom sink revealed there was no single use, disposable hand drying materials at the time of the survey.

Hygienic Practices

In Compliance

First Aid/Medication

In Compliance

Outdoor Play Area

In Compliance

Inspection Report

Equipment

Not In Compliance

555 - Infant rest time

Not In Compliance

922 KAR 2:100 - Section 12. Care Requirements for a Provider.

(9) Rest time shall include adequate space specified by the child's age as follows:

(a) For an infant:

- 1. An individual non-tiered crib that meets Consumer Product Safety Commission standards established in 16 C.F.R. 1219-1220;**
- 2. A firm crib mattress in good repair with a clean tight-fitted sheet that is changed:
 - a. Weekly; or**
 - b. Immediately if it is soiled or wet;****
- 3. No loose bedding, such as a bumper or a blanket; and**
- 4. No toys or other items except for the infant's pacifier; or**

Findings:

General: Based on observation and interview, the family child care home failed to maintain equipment in accordance with regulatory requirements. Observation of the family child care home revealed an infant in care lying in a car seat. Continued observation revealed the family child care home did not have a crib for rest time for the infant. Interview with the provider revealed there was not a crib for rest time for the infant.

Transportation

Not In Compliance

575 - Seat belts

Not In Compliance

922 KAR 2:100 - Section 17. Transportation.

(1) If transportation is provided or arranged by the certified family child-care home provider, the provider shall:

(b) Have a car or van equipped with seat belts;

Findings:

General: Based on observation, the family child care home failed to comply with regulatory requirements regarding transportation. Observation of the provider's van revealed two (2) seatbelts that were missing parts, causing them to be inoperable at the time of the survey.

580 - Car seats/seated/back seat

Not In Compliance

922 KAR 2:100 - Section 17. Transportation.

(1) If transportation is provided or arranged by the certified family child-care home provider, the provider shall:

(c) Require that a child:

- 1. Be restrained in an appropriate safety seat meeting state and federal motor vehicle safety standards in accordance with KRS 189.125 and 49 C.F.R. 571.213;**
- 2. Remain seated while the vehicle is in motion; and**
- 3. If under thirteen (13) years of age, be transported in the back seat;**

Findings:

General: Based on observation, review of documentation and interview, the family child care home failed to comply with regulatory requirements regarding transportation. Observation revealed a car seat on the back seat of the van; review of the expiration date on the aforementioned car seat revealed it expired December 2005. Continued observation of the van revealed a booster seat and a car seat on the front seat of the van; review of the expiration dates on the aforementioned booster seat and car seat revealed the following: the booster seat expired December 2013 and the car seat expired 10/10/17. Interview with the provider revealed the aforementioned car seats and booster seat are used to transport children for the family child care home.

Food Service/Food Program

Not In Compliance

640 - Breakfast

Not In Compliance

922 KAR 2:100 - Section 14. Food Requirements.

(7) Breakfast shall include:

- (a) Milk;**
- (b) A whole grain or an enriched grain bread; and**
- (c) Fruit, vegetable, or 100 percent juice.**

Findings:

General: Based on observation and interview, the family child care home failed to maintain food service in accordance with regulatory requirements. Observation of breakfast served to children revealed milk was not served to the children; therefore, it did not have all the food requirements. Interview with the provider revealed she believed she could substitute orange juice for milk.

Food Service

Not In Compliance

695 - Weekly Menu

Not In Compliance

922 KAR 2:100 - Section 14. Food Requirements.

(10) A weekly menu shall be:

- (a) Prepared;**
- (b) Dated;**
- (c) Available to a parent of a child in care or the cabinet upon request; and**
- (d) Kept on file for thirty (30) calendar days.**

Findings:

General: Based on review of documentation and interview, the family child care home failed to maintain food service in accordance with regulatory requirements. Upon request, a dated menu was not presented for review.

Inspection Report

Children's Records

Not In Compliance

730 - Immunization

Not In Compliance

922 KAR 2:100 - Section 18. Records.

(1) A provider shall maintain:

(a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;

Findings:

General: Based on review of documentation and interview, the family child care home failed to maintain children's records in accordance with regulatory requirements. Review of children's files revealed a child with the enrollment date 3/13/13 did not have a current immunization certificate at the time of the survey. Interview with the provider revealed there was not a current immunization certificate for the child with the enrollment date 3/13/13.

735 - Children's information

Not In Compliance

922 KAR 2:100 - Section 18. Records.

(1) A provider shall maintain:

(b) A written record for each child:

1. Completed and signed by the child's parent;

2. Retained on file on the first day the child attends the family child-care home; and

3. To contain:

a. Identifying information about the child, which includes, at minimum, the child's name, address, and date of birth;

b. Contact information to enable the provider to contact the child's:

(i) Parent at the parent's home or place of employment;

(ii) Family physician; and

(iii) Preferred hospital;

c. The name of each person who is designated in writing to pick-up the child;

d. The child's general health status and medical history including, if applicable:

(i) Allergies;

(ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and

(iii) Permission from the parent for third-party professional services in the family child-care home;

e. The name and phone number of each person to be contacted in an emergency situation involving or impacting the child;

f. Authorization by the parent for the provider to seek emergency medical care for the child in the parent's absence; and

Findings:

General: Based on review of documentation and interview, the family child care home failed to maintain children's records in accordance with regulatory requirements. Review of three (3) children files revealed the they did not contain the family physician and hospital information. Continued review of children's files revealed three (3) children's files did not contain the child's general health status and medical history. Further review of children's files revealed three (3) children's files did not contain authorization by the parent for the provider to seek emergency medical care for the child in the parent's absence. Upon request the aforementioned information was not presented for review.

Written Documentation

Not In Compliance

750 - Liability insurance

Not In Compliance

922 KAR 2:100 - Section 2. Certification Process.

(2) An applicant for certification shall:

(b) Obtain commercial liability insurance of at least \$50,000 per occurrence; and

Findings:

General: Based on review of documentation and interview, the family child care home failed to maintain written documentation in accordance with regulatory requirements. Upon request, the provider did not provide verification of current liability insurance. The insurance documentation presented for review expired on 4/19/18.

795 - Evacuation Plan Records

Not In Compliance

922 KAR 2:100 - Section 18. Records.

(7)(a) A certified family child-care home provider shall have a written evacuation plan in the event of fire, natural disaster, or other threatening situation that may pose a health or safety hazard to a child in care in accordance with KRS 199.895.

Findings:

General: Based on review of documentation and interview, the family child care home failed to maintain written documentation in accordance with regulatory requirements. Upon request, a written evacuation plan in the event of fire, natural disaster, or other threatening situation that may pose a health or safety hazard to a child in care in accordance with KRS 199.895 was not presented for review.

Posted/Available Documentation

Not In Compliance

815 - Daily Planned Program of Activities

Not In Compliance

922 KAR 2:100 - Section 12. Care Requirements for a Provider.

(13) A certified family child care home shall provide a daily planned program:

(a) That is available to a parent of a child in care or the cabinet upon request;

(b) Of activities that are developmentally appropriate for each child served;

(c) That provides experience to promote the individual child's physical, emotional, social, and intellectual growth and well-being; and

Findings:

General: Based on review of documentation and interview, the family child care home failed to comply with regulatory requirements regarding posted / available documentation. Upon request, the child care provider did not present daily planned program of activities for review at the time of the survey.

Inspection Report

820 - Diapering/Handwashing Procedures

Not In Compliance

922 KAR 2:100 - Section 13. Toilet and Diapering Requirements.

(8) The proper methods of diapering and hand-washing shall be available at each diaper changing area.

Findings:

General: Based on review of documentation and interview, the family child care home failed to comply with regulatory requirements regarding posted / available documentation. Upon request, the child care provider did not present diapering and handwashing procedures for the diaper changing area.

Animals

In Compliance

Posted Requirements

In Compliance

Signature of
Provider/Representative

Title

Date