



**CABINET FOR HEALTH AND FAMILY SERVICES
OFFICE OF INSPECTOR GENERAL**

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Inspection Report

Provider Name: Dorsey, Luvine	Provider Information	CLR No: C6537
Provider Address: 1506 Nantucket Court, Louisville, KY, 40211	Provider Type: CERTIFIED	Capacity: 6

Inspection Type: Annual Inspection	Inspection Information	Inspection No: 220050
Date Initiated: 06/06/2017 1:20 PM	Date Concluded: 06/06/2017 3:25 PM	
No. of Children Enrolled: 3	No. of Children Present: 1	

Inspection Report	
Supervision	In Compliance
General Administration	In Compliance
Provider Requirements	In Compliance
Provider Records	Not In Compliance
135 - Provider CPR/First Aid	Not In Compliance
<p>922 KAR 2:100 - Section 2. Certification Process. (4) An applicant shall be currently certified by an agency approved in accordance with 922 KAR 2:240 in infant and child: (a) Cardiopulmonary resuscitation (CPR); and (b) First aid.</p> <p>Findings: General: Based on Review of Documentation and Interview, the family child care home failed to maintain provider records in accordance with regulations. The First Aid/CPR card presented for review at the time of survey for the provider hired 5/17/13 expired 6/30/16. The provider revealed this was the only documentation available for review at the time of survey.</p>	
155 - Provider Training Requirements	Not In Compliance
<p>922 KAR 2:100 - Section 10. Standards for the Provider. (1)(a) A provider shall complete annually at least nine (9) hours of cabinet-approved early care and education training beginning with the second year of operation, including one and one-half (1½) hours of cabinet-approved pediatric abusive head trauma training in accordance with KRS 199.8982(2): a. Within the second year of employment or operation in child care; and b. Every subsequent five (5) years of employment or operation in child care.</p> <p>Findings: General: Based on Review of Documentation and Interview, the family child care home failed to maintain provider records in accordance with regulations. Review of provider records and ECE-TRIS report revealed documentation of nine (9) hours of cabinet-approved early care and education training and documentation of pediatric abusive head trauma training (PAHT) was not presented for review at the time of survey for the provider hired 5/17/13. The provider revealed she failed to complete all of her annual training hours and her PAHT documentation was not available for review at the time of survey.</p>	
Programming	In Compliance

Inspection Report

Premises

Not In Compliance

275 - Stairs Blocked, if applicable

Not In Compliance

922 KAR 2:100 - Section 11. The General Requirements of the Family Child-Care Home Environment.

(11) If an infant or toddler is in the care of a provider, indoor stairs with more than two (2) steps shall be blocked.

Findings:

General: Based on Observation and Interview, the family child care home failed to maintain the premises in accordance with regulations. A nine-month-old infant was in the care of the provider for the duration of the survey. The indoor staircase leading upstairs was not blocked. The provider acknowledged the stairs were not blocked.

Hygienic Practices

In Compliance

First Aid/Medication

Not In Compliance

400 - First Aid Supplies

Not In Compliance

922 KAR 2:100 - Section 15. Medication and First Aid.

(5) First aid supplies shall include a fully-equipped first aid kit containing the following non-expired items:

- (a) Liquid soap;**
- (b) Adhesive bandages;**
- (c) Sterile gauze;**
- (d) Medical tape;**
- (e) Scissors;**
- (f) Thermometer;**
- (g) Flashlight;**
- (h) Cold pack;**
- (i) First-aid book;**
- (j) Disposable gloves; and**
- (k) CPR mouthpiece.**

Findings:

General: Based on Observation and Interview, the family child care home failed to comply with the regulatory requirements regarding first aid and/or medication. The first aid kit was not equipped with a working thermometer. The provider acknowledged the thermometer did not work.

Outdoor Play Area

In Compliance

Equipment

Not In Compliance

440 - Infant rest time

Not In Compliance

922 KAR 2:100 - Section 12. Care Requirements for a Provider.

(8) Rest time shall include adequate space specified by the child's age as follows:

(a) For an infant:

- 1. An individual non-tiered crib that meets Consumer Product Safety Commission standards established in 16 C.F.R. 1219-1220;**
- 2. A firm crib mattress in good repair with a clean tight-fitted sheet that is changed:
 - a. Weekly; or**
 - b. Immediately if it is soiled or wet;****
- 3. No loose bedding; and**
- 4. No toys or other items except for the infant's pacifier; or**

Findings:

General: Based on Observation and Interview, the family child care home failed to maintain equipment in accordance with regulations. A nine-month-old infant was in the care of the provider for the duration of the survey. Observation revealed there was not a crib anywhere in the home. The provider revealed she has a crib for the infant; however, the crib was stored at another location at the time of the survey.

Transportation

Not In Compliance

720 - Driver Requirements

Not In Compliance

922 KAR 2:100 - Section 17. Transportation.

(9) A driver of a vehicle transporting a child for a certified provider shall:

- (a) Be at least twenty-one (21) years old;**
- (b) Complete:
 - 1. The background checks described in Section 2(2)(c)5 or 2(5) of this administrative regulation; and**
 - 2. An annual check of the:
 - a. Kentucky driver history records in accordance with KRS 186.018; or**
 - b. Driver history records through the state transportation agency that issued the driver's license;******
- (c) Hold a current driver's license that has not been suspended or revoked during the last five (5) years; and**
- (d) Not caused an accident which resulted in the death of a person.**

Findings:

General: Based on Interview and Review of Documentation, the family child care home failed to comply with regulatory requirements regarding transportation. The provider revealed she transported two (2) children to and from home on 6/5/17. The provider's driver's license presented for review at the time of survey expired 3/14/17. The provider acknowledged the driver's license was expired. A Kentucky Driver History Record was not presented for review at the time of survey for the provider hired 5/17/13. The provider revealed she could not locate this documentation at the time of survey.

Inspection Report

Food Service

Not In Compliance

555 - Weekly Menu

Not In Compliance

922 KAR 2:100 - Section 14. Food Requirements.

(10) A weekly menu shall be:

- (a) Prepared;**
- (b) Dated;**
- (c) Posted in a conspicuous place; and**
- (d) Kept on file for thirty (30) calendar days.**

Findings:

General: Based on Review of Documentation and Interview, the family child care home failed to maintain food service in accordance with regulations. A menu for the week of 6/5/17 was not posted at the time of survey. The provider revealed the current menu had not been completed yet.

Children's Records

Not In Compliance

590 - Immunization

Not In Compliance

922 KAR 2:100 - Section 18. Records.

(1) A provider shall maintain:

- (a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;**

Findings:

General: Based on Review of Documentation and Interview, the family child care home failed to maintain children's records in accordance with regulations. Review of three (3) children's files revealed a current immunization certificate was not presented for review at the time of survey for two (2) children. The provider revealed she could not locate this documentation at the time of survey.

595 - Children's information

Not In Compliance

922 KAR 2:100 - Section 18. Records.

(1) A provider shall maintain:

(b) A written record for each child:

- 1. Completed and signed by the child's parent;**
- 2. Retained on file on the first day the child attends the family child-care home; and**
- 3. To contain:**
 - a. Identifying information about the child, which includes, at minimum, the child's name, address, and date of birth;**
 - b. Contact information to enable the provider to contact the child's:**
 - (i) Parent at the parent's home or place of employment;**
 - (ii) Family physician; and**
 - (iii) Preferred hospital;**
 - c. The name of each person who is designated in writing to pick-up the child;**
 - d. The child's general health status and medical history including, if applicable:**
 - (i) Allergies;**
 - (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and**
 - (iii) Permission from the parent for third-party professional services in the family child-care home;**
 - e. The name and phone number of each person to be contacted in an emergency situation involving or impacting the child;**
 - f. Authorization by the parent for the provider to seek emergency medical care for the child in the parent's absence; and**

Findings:

General: Based on Review of Documentation and Interview, the family child care home failed to maintain children's records in accordance with regulations. Review of three (3) children's files revealed the written record for all three (3) children failed to contain: (1) Contact information to enable a person in charge to contact the child's family physician and preferred hospital; (2) The child's general health status and medical history, including if applicable, allergies; (3) Authorization by the parent for the provider to seek emergency medical care for the child in the parent's absence; and (4) The name of each person who is designated in writing to pick-up the child. The provider revealed she could not locate this documentation at the time of survey.

605 - Written child care agreement

Not In Compliance

922 KAR 2:100 - Section 19. Certified Family Child-Care Home Program.

The certified family child-care home provider shall: (9) Maintain a written child care agreement with each child's parent, including the name of each person designated by the parent to pick up the child; and

Findings:

General: Based on Review of Documentation and Interview, the family child care home failed to maintain children's records in accordance with regulations. Review of three (3) children's files revealed a written child care agreement was not presented for review at the time of survey for two (2) children. The provider revealed she could not locate this documentation at the time of survey.

Inspection Report

Written Documentation

Not In Compliance

625 - Fire Drill Requirements

Not In Compliance

922 KAR 2:100 - Section 11. The General Requirements of the Family Child-Care Home Environment.

(19) A fire drill shall be conducted during hours of operation:

- (a) At least monthly; and**
- (b) Documented.**

Findings:

General: Based on Review of Documentation and Interview, the family child care home failed to maintain written documentation in accordance with regulations. Documentation of fire drills conducted from May 2016 – December 2016 was not presented for review at the time of survey. The provider revealed she could not locate this documentation at the time of survey.

630 - Tornado Drill Requirements

Not In Compliance

922 KAR 2:100 - Section 11. The General Requirements of the Family Child-Care Home Environment.

(20) An earthquake drill and a tornado drill shall be conducted during hours of operation:

- (a) At least quarterly; and**
- (b) Documented.**

Findings:

General: Based on Review of Documentation and Interview, the family child care home failed to maintain written documentation in accordance with regulations. Documentation of earthquake drills conducted in the 2nd, 3rd, and 4th quarter 2016 were not presented for review at the time of survey. The provider revealed she could not locate this documentation at the time of survey. Documentation of tornado drills conducted in the 3rd and 4th quarter 2016 were not presented for review at the time of survey. The provider revealed she could not locate this documentation at the time of survey.

640 - Earthquake Drills

Not In Compliance

922 KAR 2:100 - Section 18. Records.

(5) A certified family child-care home provider shall maintain a written record of:

(a) Quarterly practiced earthquake drills and tornado drills detailing the date, time, and participants in accordance with Section 11(20) of this administrative regulation;

Findings:

General: Based on Review of Documentation and Interview, the family child care home failed to maintain written documentation in accordance with regulations. Documentation of earthquake drills conducted in the 2nd, 3rd, and 4th quarter 2016 were not presented for review at the time of survey. The provider revealed she could not locate this documentation at the time of survey. Documentation of tornado drills conducted in the 3rd and 4th quarter 2016 were not presented for review at the time of survey. The provider revealed she could not locate this documentation at the time of survey. The names of the children who participated in the 1st quarter 2017 earthquake drill and the 1st and 2nd quarter 2017 tornado drills were not presented for review at the time of survey. The provider acknowledged the names of the children were not documented for these drills.

645 - Fire Drills

Not In Compliance

922 KAR 2:100 - Section 18. Records.

(5) A certified family child-care home provider shall maintain a written record of:

(b) Monthly practiced fire drills detailing the date, time, and participants in accordance with Section 11(19) of this administrative regulation; and

Findings:

General: Based on Review of Documentation and Interview, the family child care home failed to maintain written documentation in accordance with regulations. Documentation of fire drills conducted from May 2016 – December 2016 was not presented for review at the time of survey. The provider revealed she could not locate this documentation at the time of survey. The names of the children who participated in the drills from January 2017- April 2017 were not presented for review at the time of survey. The provider acknowledged the names of the children were not documented for these drills.

655 - Evacuation plan Records

Not In Compliance

922 KAR 2:100 - Section 18. Records.

(7)(a) A certified family child-care home provider shall have a written evacuation plan in the event of fire, natural disaster, or other threatening situation that may pose a health or safety hazard to a child in care in accordance with KRS 199.895.

Findings:

General: Based on Review of Documentation and Interview, the family child care home failed to maintain written documentation in accordance with regulations. A written evacuation plan was not presented for review at the time of survey. The provider revealed she was in the process of completing the plan.

Posted Documentation

Not In Compliance

675 - Diapering/Handwashing Procedures

Not In Compliance

922 KAR 2:100 - Section 13. Toilet and Diapering Requirements.

(8) The proper methods of diapering and hand-washing shall be posted at each diaper changing area.

Findings:

General: Based on Review of Documentation and Interview, the family child care home failed to post documentation in accordance with regulations. The proper methods of diapering were not posted at the diaper changing area. The provider acknowledged this documentation was not posted at the time of survey.

Animals

Not Applicable

Posted Requirements

In Compliance

Signature of
Provider/Representative

Title

Date