



**Andy Beshear**  
GOVERNOR

**CABINET FOR HEALTH AND FAMILY SERVICES**  
**OFFICE OF INSPECTOR GENERAL**

**Eric Friedlander**  
SECRETARY

**Melissa A. Moore, Director**  
Division of Regulated Child Care  
Northern Branch  
908 W. Broadway, 10-W  
Louisville, KY 40203

**Adam Mather**  
INSPECTOR GENERAL

Phone: (502) 595-5781 Fax: (502) 595-5773  
<https://chfs.ky.gov/agencies/os/oig>

**Inspection Report**

<b>Provider Name:</b> Southwest YMCA Child Care Center	<b>Provider Information</b>	<b>CLR No:</b> L353656
<b>Provider Address:</b> 2801 Fordhaven Road, Louisville, KY, 40214	<b>Provider Type:</b> LICENSED TYPE I	<b>Capacity:</b> 118
<b>Owner(s):</b> The Young Mens Christian Association Of Greater Louisville		<b>Director(s):</b> Heistand, Wendy

<b>Inspection Type:</b> Renewal Application	<b>Inspection Information</b>	<b>Inspection No:</b> 305473
<b>Date Initiated:</b> 12/01/2020 8:40 AM	<b>Date Concluded:</b> 12/01/2020 12:10 PM	
	<b>No. of Children Present:</b> 52	

Inspection Report	
<b>Background Checks</b>	<b>In Compliance</b>
<b>Supervision</b>	<b>In Compliance</b>
<b>Staffing Requirements</b>	<b>In Compliance</b>
<b>General Administration</b>	<b>In Compliance</b>
<b>Director Requirements</b>	<b>Not In Compliance</b>
<b>320 - Operation instruction/Regulation copy</b>	<b>Not In Compliance</b>
<b>922 KAR 2:090. Section 8. General.</b> <b>(2) Child-care center staff shall be:</b> <b>(a) Instructed by the child-care center’s director regarding requirements for operation; and</b> <b>(b) Provided with a copy of this administrative regulation, 922 KAR 2:120, and 922 KAR 2:280.</b>	
<b>Findings:</b>	
General: Based on interview and review of documentation, the staff in charge did not present verification of a current copy of the administrative regulations. The documents presented were dated for 2013.	
<b>345 - Staff Evaluation</b>	<b>Not In Compliance</b>
<b>922 KAR 2:090. Section 10. Director Requirements and Responsibilities.</b> <b>(1) A director shall:</b> <b>(j) Assess each staff person’s interaction with children in care and classroom performance through an annual written performance evaluation;</b>	
<b>Findings:</b>	
General: Based on interview and review of documentation, the staff in charge did not present verification of the evaluation assessing the staff person’s interaction with children in care and classroom performance for the employees with a hire date of 06/09/2013, 08/07/2017, 06/14/2017 and 04/09/2018. The documents presented were dated for 2018.	
<b>350 - Health, Safety, Comfort</b>	<b>Not In Compliance</b>
<b>922 KAR 2:090. Section 10. Director Requirements and Responsibilities.</b> <b>(1) A director shall:</b> <b>(l) Assure the health, safety, and comfort of each child;</b>	
<b>Findings:</b>	
General: Based on observation in the school-age male restroom, there was a toilet plunger accessible to the children.	

**Inspection Report**

**Employee Records**

**Not In Compliance**

**410 - Training**

**Not In Compliance**

**922 KAR 2:090. Section 11. Staff Requirements.**

- (16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:**
- (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;**
  - (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and**
  - (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.**

**Findings:**

General: Based on interview and review of documentation, the staff in charge did not present verification that the staff members with the hire dates of 06/09/2013, 06/14/2017, and 11/29/2000 had updated their Pediatric Head Trauma training after five (5) years. Continued review revealed the staff members with the hire dates of 08/10/2020 and 08/24/2020 did not complete new orientation training within the first ninety (90) days of employment. Review of documentation in the ECE-TRIS training system revealed the staff members listed above had not met the requirement.

**Programming**

**In Compliance**

**Premises**

**Not In Compliance**

**520 - Inaccessible Items**

**Not In Compliance**

**922 KAR 2:120. Section 3. General Requirements.**

- (7) The following shall be inaccessible to a child in care:**
- (a) Toxic cleaning supplies, poisons, and insecticides;**
  - (b) Matches, cigarettes, lighters, and flammable liquids; and**
  - (c) Personal belongings and medications of staff.**

**Findings:**

General: Based on observation, in the three-year-old classroom there was a staff purse sitting on the children's table, which was within reach of the children. Therefore the purse was accessible to the children.

**540 - Premises Requirements**

**Not In Compliance**

**922 KAR 2:120. Section 4. Premises Requirements.**

- (1) The premises shall be:**
- (a) Suitable for the purpose intended;**
  - (b) Kept clean and in good repair;**

**Findings:**

General: Based on observation, in the school-age women's restroom the lighting was non-operational. The school-age men's restroom, behind the restroom stall door had a small area where the wall had a quarter size hole with the drywall flaking. There was an area on the back wall located in the gross motor room that contained flaking paint. There was a small portion of the rubber wall trim that was missing, with exposed dried glue.

**645 - Sink**

**Not In Compliance**

**922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.**

- (3) A sink shall be:**
- (a) Located in or immediately adjacent to toilet rooms;**
  - (b) Equipped with hot and cold running water that allows for hand washing;**
  - (c) Equipped with hot water at a minimum temperature of ninety (90) degrees Fahrenheit and a maximum of 120 degrees Fahrenheit;**
  - (d) Equipped with liquid soap;**
  - (e) Equipped with hand-drying blower or single use disposable hand drying material;**
  - (f) Equipped with an easily cleanable waste receptacle; and**
  - (g) Immediately adjacent to a changing area used for infants and toddlers.**

**Findings:**

General: Based on observation, the school-age men's restroom was not equipped with a hand-drying blower or single use disposable hand drying material.

**650 - Toilet**

**Not In Compliance**

**922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.**

- (4) Each toilet shall:**
- (a) Be kept in clean condition;**
  - (b) Be kept in good repair;**
  - (c) Be in a lighted room; and**
  - (d) Have ventilation to outside air.**

**Findings:**

General: Observation in the women's restroom revealed the lighting was non-operational. The men's restroom, behind the restroom stall door had a small area where the wall had a quarter size hole with the drywall flaking.

**Hygienic Practices**

**In Compliance**

**First Aid/Medication**

**In Compliance**

**Outdoor Play Area**

**In Compliance**

**Equipment**

**In Compliance**

**Inspection Report**

**Transportation**

**In Compliance**

**Food Service/Food Program**

**In Compliance**

**Food Service**

**Not In Compliance**

**1050 - Bottles**

**Not In Compliance**

**922 KAR 2:120. Section 8. Kitchen Requirements.**

**(9) Bottles shall be:**

- (a) Individually labeled;**
- (b) Promptly refrigerated;**
- (c) Covered while not in use; and**
- (d) Consumed within one (1) hour of being heated or removed from the refrigerator.**

**Findings:**

General: Based on observation, the bottles located in the refrigerator in the infant classroom were not labeled for individual use.

**Children's Records**

**In Compliance**

**Written Documentation**

**Not In Compliance**

**1150 - Evacuation Plan**

**Not In Compliance**

**922 KAR 2:090. Section 5. Evacuation Plan.**

**(1) A licensed child-care center shall have a written evacuation plan in the event of a fire, natural disaster, or other threatening situation that may pose a health or safety hazard for a child in care in accordance with KRS 199.895 and 42 U.S.C. 9858c(c)(2)(U).**

**Findings:**

General: Based on interview and review of documentation, the staff in charge did not present verification that the evacuation plan in the event of a fire, natural disaster, or other threatening situation that may pose a health or safety hazard for a child in care had been submitted to the local emergency management team within the last year. The plan presented was dated for 2018.

**1175 - Earthquake/Tornado/Fire Drills**

**Not In Compliance**

**922 KAR 2:090. Section 9. Records.**

**(1) A child-care center shall maintain:**

- (h) A written record of quarterly practiced earthquake drills and tornado drills detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;**
- (i) A written record of practiced fire drills conducted monthly detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;**

**Findings:**

General: Based on interview and review of documentation, the staff in charge did not present verification that the monthly fire drill had been conducted for the months of September, October, and November 2020.

**Posted Documentation**

**Not In Compliance**

**1210 - Disaster Course of Action**

**Not In Compliance**

**922 KAR 2:090. Section 9. Records.**

**(1) A child-care center shall maintain:**

- (j) A written plan and diagram outlining the course of action in the event of a natural or manmade disaster, posted in a prominent place;**

**Findings:**

General: Based on interview and review of documentation, the staff in charge did not present verification that a written plan, outlining the course of action in the event of a natural or manmade disaster, was posted in a prominent place.

**Animals**

**Not Applicable**

**Emergency Regulation**

**Not In Compliance**

**1255 - Emergency Regulation**

**Not In Compliance**

**922 KAR 2:405E. Emergency Regulation.**

**Due to the current declared public health emergency caused by the Novel Coronavirus Disease (COVID-19), licensed child care centers must operate under Centers for Disease Control and Prevention and public health guidelines, as mandated by 922 KAR 2:405E, to prevent the spread of COVID-19.**

**Findings:**

General: Based on observation, interview and review of documentation, the child care center failed to comply with the regulatory requirements specific to the current pandemic as established in 922 KAR 2:405e. The staff in charge did not present verification that the criminal records check (CRC) and Child Abuse Registry (CAN) had been submitted/conducted for the employees with the hire dates of 07/13/2020, 08/10/2020, 08/24/2020 and 10/05/2020. The record for the employee with the hire date of 10/06/2020 did not contain a copy of the criminal records check. Interview with staff revealed the staff members with the hire dates of 10/05/2020 and 10/06/2020 were working alone from 07:00 a.m. to 8:30 a.m.

Signature of Provider/Representative

Title

Date