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**Inspection Report**

<b>Provider Name:</b> Norris, Frankie	<b>Provider Information</b>	<b>Certificate No:</b> C2264
<b>Provider Address:</b> 116 Clinton Street, Albany, KY, 42602	<b>Provider Type:</b> CERTIFIED	<b>Capacity:</b> 6

<b>Inspection Type:</b> Annual Inspection	<b>Inspection Information</b>	<b>Inspection No:</b> 16868
<b>Visit Start Date:</b> 03/12/2013 10:14 AM	<b>Visit End Date:</b> 03/12/2013 11:45 AM	
<b>No. of Children Enrolled:</b> 9	<b>No. of Children Present:</b> 5	

**Inspection Report**

**Supervision**

<b>68 - Outdoor Supervision</b>	<b>In Compliance</b>
<b>922 KAR 2:100 - Section 10</b>	
<b>(15) Each child in an outdoor play area shall be under the direct supervision of the provider or assistant.</b>	

<b>89 - General Supervision</b>	<b>In Compliance</b>
<b>922 KAR 2:100 - Section 10</b>	
<b>(36) A child who is not asleep shall be visually supervised.</b>	

**General Administration**

<b>39 - Conditions for Revocation</b>	
<b>922 KAR 2:100 - Section 7</b>	
<b>(1) A family child-care home provider's certification shall be revoked if the provider:</b>	
<b>(a) Knowingly misrepresents or submits false information on the application or other form required by the cabinet or its designee;</b>	
<b>(b) Interferes with a cabinet representative's ability to perform an official duty;</b>	
<b>(c) Refuses, during the hours of operation, access by a parent or cabinet representative to:</b>	
<b>1. A child; or</b>	
<b>2. Space in the home used for child care;</b>	
<b>(d) Is convicted of a criminal charge that threatens the health, safety, or welfare of a child in care;</b>	
<b>(e) Is unable to operate a family child-care home due to a medical condition; or</b>	
<b>(f) Is unable to continue to meet the requirements of KRS 199.8982(1) or Sections 2, 3, and Sections 9 through 17 of this administrative regulation.</b>	

<b>1) Knowingly misrepresents/false information</b>	<b>In Compliance</b>
<b>2) Interference with Cabinet</b>	<b>In Compliance</b>
<b>3) Refuses access to child</b>	<b>In Compliance</b>
<b>4) Refuses access to space</b>	<b>In Compliance</b>
<b>5) Criminal conviction</b>	<b>In Compliance</b>
<b>6) Medical condition</b>	<b>In Compliance</b>
<b>7) Unable to meet requirements of regulation</b>	<b>In Compliance</b>
<b>46 - Unrelated Children</b>	<b>In Compliance</b>



**Inspection Report**

**922 KAR 2:100 - Section 9**

**(2) A provider shall not provide care for more unrelated children than the number authorized on the certificate of operation.**

**47 - Assistant Required For More Than 4 Infants**

**In Compliance**

**922 KAR 2:100 - Section 9**

**(3) If the provider cares for more than four (4) infants, including the provider's own or related infants, the provider shall have an assistant present.**

**48 - Maximum of 6 Children Under 6 Years of Age**

**In Compliance**

**922 KAR 2:100 - Section 9**

**(4) A provider shall not care for more than six (6) children under the age of six (6) years old, including the provider's own or related children.**

**49 - Total Capacity**

**In Compliance**

**922 KAR 2:100 - Section 9**

**(5) The maximum number of unrelated children in the care of a certified family child-care home provider shall not exceed six (6) at any one (1) time. A provider may care for four (4) related children in addition to six (6) unrelated children for a maximum child care capacity of ten (10) at any one (1) time.**

**50 - Respite Requirement**

**In Compliance**

**922 KAR 2:100 - Section 9**

**(6) If a provider operates the in-home child care business for twenty-four (24) consecutive hours, the provider shall:**

- (a) Receive an eight (8) hour period of respite after working sixteen (16) consecutive hours; and**
- (b) Employ an assistant during the period of respite.**

**52 - Assistant Requirements**

**922 KAR 2:100 - Section 9**

**(8) An assistant shall be:**

- (a) Eighteen (18) years of age or older;**
- (b) Under direct supervision of a provider;**
- (c) Used for providing care in a certified child-care home; and**
- (d) Used in the absence of the certified provider.**

**1) 18 years or older**

**In Compliance**

**2) Direct supervision**

**In Compliance**

**3) Provides care in the home**

**In Compliance**

**4) Used in the absence of the provider**

**In Compliance**

**75 - Alcohol/Controlled Substance/Smoking**

**922 KAR 2:100 - Section 10**

**(22) During hours of operation, the provider and other persons in the home shall:**

- (a) Be free of the influence of alcohol or a controlled substance, except for use of a controlled substance prescribed by a physician; and**
- (b) Prohibit smoking in the presence of children in care.**

**1) Free of the influence of alcohol or controlled substance**

**In Compliance**

**2) Smoking prohibited in the presence of children in care**

**In Compliance**

**76 - Assistant Present in Provider's Absence**

**In Compliance**

**922 KAR 2:100 - Section 10**

**(23) During a provider's absence, an assistant shall be physically present at the home during hours of operation.**

**77 - Provider Has No Other Employment**

**In Compliance**

**922 KAR 2:100 - Section 10**

**(24) A provider shall not be employed outside of the home during regular hours of operation.**

**87 - Overnight Care**

**922 KAR 2:100 - Section 10**

**(34) If overnight care is provided, the caregiver shall:**

- (a) Remain awake until every child in care is asleep; and**
- (b) Sleep on the same floor level of the home as an infant or toddler.**

**1) Remains awake until every child is sleep**

**In Compliance**

**2) Sleeps on same floor as infant/toddler**

**In Compliance**

**90 - Off Premises Requirements**

**In Compliance**

**922 KAR 2:100 - Section 10**

**(37) Except for a school-aged child whose parent has given written permission and whose whereabouts are known, a child shall not be permitted off the premises without the caregiver.**

**92 - Pick-Up Procedure**

**In Compliance**

**Inspection Report**

**922 KAR 2:100 - Section 10**

- (39) A child shall be released from the family child-care home to:**
- (a) The child's custodial parent;**
  - (b) The person designated in writing by the parent to receive the child; or**
  - (c) In an emergency, a person designated over the telephone by the parent.**

**134 - Record Maintenance**

**In Compliance**

**922 KAR 2:100 - Section 16**

- (5) A certified family child-care home provider shall keep all records for five (5) years.**

**Provider Requirements**

**94 - Medical Emergency Notification**

**In Compliance**

**922 KAR 2:100 - Section 10**

- (41) A provider shall provide immediate notification of a medical emergency to the:**
- (a) Parent;**
  - (b) Person exercising custodial control; or**
  - (c) Family physician, if the parent or person exercising custodial control is unavailable.**

**96 - Provider Requirements**

**922 KAR 2:100 - Section 10**

- (43) The provider shall:**
- (a) Be able to recognize symptoms of childhood illnesses;**
  - (b) Be able to provide basic first aid;**
  - (c) Maintain a child care program that assures affirmative steps are taken to protect children from abuse or neglect pursuant to KRS 600.020(1); and**
  - (d) Maintain daily attendance records documenting the arrival and departure time of each child.**

**1) Able to recognize symptoms of childhood illnesses**

**In Compliance**

**2) Able to provide basic first aid**

**In Compliance**

**3) Abuse and neglect protocol**

**In Compliance**

**4) Maintains daily attendance records**

**In Compliance**

**130 - Confidentiality of Children's Records**

**In Compliance**

**922 KAR 2:100 - Section 16**

- (1) A certified family child-care home provider shall maintain the confidentiality of a child's records.**

**132 - Reporting Abuse and Neglect/Cabinet Access**

**922 KAR 2:100 - Section 16**

- (3) The provider shall:**
- (a) Report an incident of suspected child abuse or neglect pursuant to KRS 620.030(1); and**
  - (b) Provide the cabinet access and information in the completion of the investigation pursuant to KRS 620.030(3).**

**1) Reports incident of suspected abuse or neglect**

**In Compliance**

**2) Provides Cabinet access and information during an investigation**

**In Compliance**

**136 - Copy of Certification Standards for Parents**

**In Compliance**

**922 KAR 2:100 - Section 17**

- (2) Make available a copy of the certification standards to each parent;**

**137 - Cabinet Information Made Available to Parents**

**In Compliance**

**922 KAR 2:100 - Section 17**

- (3) Provide each parent with the name, address, and telephone number of the cabinet for the purpose of registering a complaint if the parent believes the family child-care home provider is not meeting the standards;**

**138 - Children/Parent Rights**

**922 KAR 2:100 - Section 17**

- (4) Post and provide to each parent a copy of children and parent rights, as required by KRS 199.898;**

**1) Posted**

**In Compliance**

**2) Copy provided to each parent**

**In Compliance**

**139 - Access to Home**

**922 KAR 2:100 - Section 17**

- (5) Allow a parent and the cabinet or its designee access to the family child-care home at any time a child is in care;**

**1) Parent allowed access**

**In Compliance**

**2) Cabinet/designee allowed access**

**In Compliance**

**142 - Parent/Family Activity**

**In Compliance**

**Inspection Report**

**922 KAR 2:100 - Section 17**

**(8) Coordinate at least one (1) annual activity involving parental or family participation; and**

**Provider Records**

**19 - Provider CPR/First Aid**

**922 KAR 2:100 - Section 2**

**(4) An applicant shall be currently certified by a agency approved in accordance with 922 KAR 2:240, Section 15 in infant and child;**

- (a) Cardiopulmonary resuscitation (CPR); and**
- (b) First aid.**

- 1) CPR** **In Compliance**
- 2) First Aid** **In Compliance**

**20 - Adult Living in Home/Assistant**

**922 KAR 2:100 - Section 2**

**(5) An adult living in the home of the applicant and the applicant's assistant shall submit:**

**(a) To a criminal records check required by KRS 17.165 within a year prior to application by the Justice Cabinet or Administrative Office of the Courts;**

- 1) Adult in Home CRC** **In Compliance**
- 2) Adult in Home CAN** **In Compliance**
- 3) Adult in Home TB** **In Compliance**
- 4) Assistant CRC** **In Compliance**
- 5) Assistant CAN** **In Compliance**
- 6) Assistant TB** **In Compliance**

**24 - Provider Training Requirements**

**922 KAR 2:100 - Section 2**

**(9) Within three (3) months of submission to the cabinet of a complete OIG-RCC-4, an applicant shall:**

- (a) Demonstrate completion of six (6) hours of cabinet-approved training in accordance with KRS 199.8982(1)(a)6; and**
- (b) Develop and implement a written plan for obtaining nine (9) hours of annual cabinet-approved training as required in Section 9(1) of this administrative regulation.**

- 1) Orientation** **In Compliance**
- 2) Develop/implement Written Plan** **In Compliance**

**45 - Provider Annual Training**

**Not In Compliance**

**922 KAR 2:100 - Section 9**

**(1) The provider shall complete annually at least nine (9) hours of cabinet-approved child development training beginning with the second year of operation.**

**Findings:**

General: Based on review of documentation, the provider's file contained documentation of only two (2) hours of training.

**51 - Assistant CPR/FA, if applicable**

**Not Applicable**

**922 KAR 2:100 - Section 9**

**(7) Prior to being left alone with a child, an assistant shall be certified by a cabinet-approved agency in infant and child:**

- (a) CPR; and**
- (b) First aid.**

- 1) CPR** **Not Applicable**
- 2) First Aid** **Not Applicable**

**53 - Assistant Training Requirements, if applicable**

**Not Applicable**

**922 KAR 2:100 - Section 9**

**(9) An assistant used in the absence of the family child-care home provider in excess of fourteen (14) calendar days during a one (1) year period shall demonstrate completion of at least nine (9) hours of cabinet-approved training in:**

- (a) Basic health, safety, and sanitation;**
- (b) Recognizing and reporting child abuse;**
- (c) Developmentally-appropriate child care practice; and**
- (d) Early care and education.**

- 1) Nine hours** **Not Applicable**
- 2) Training from approved list** **Not Applicable**

**Inspection Report**

**Programming**

**63 - Television/Video Viewing**

**922 KAR 2:100 - Section 10**

**(10) Television or video viewing by a child shall be limited to:**

- (a) Two (2) hours daily;**
- (b) The planned program activities; and**
- (c) Developmentally-appropriate child-related content, as designated by standardized content guidelines.**

**1) 2-hour limit daily**

**In Compliance**

**2) Planned program**

**In Compliance**

**3) Developmentally-appropriate content**

**In Compliance**

**91 - Corporal Discipline**

**In Compliance**

**922 KAR 2:100 - Section 10**

**(38) Use of corporal physical discipline shall be prohibited, pursuant to KRS 199.896(18).**

**140 - Parent Communication**

**922 KAR 2:100 - Section 17**

**(6) Communicate with each child's parent about the child's:**

- (a) Development;**
- (b) Activities;**
- (c) Likes; and**
- (d) Dislikes;**

**1) Child's development**

**In Compliance**

**2) Child's activities**

**In Compliance**

**3) Child's likes**

**In Compliance**

**4) Child's dislikes**

**In Compliance**

**Premises**

**54 - Home/Play Area Requirements**

**922 KAR 2:100 - Section 10**

**(1) The provider's home and each play area used for child care shall:**

- (a) Be free from risk of harm in accordance with the requirements of this administrative regulation; and**
- (b) Have adequate:**
  - 1. Heating and cooling;**
  - 2. Light; and**
  - 3. Ventilation.**

**1) Free from risk of harm**

**In Compliance**

**2) Adequate heating**

**In Compliance**

**3) Adequate cooling**

**In Compliance**

**4) Adequate light**

**In Compliance**

**5) Adequate ventilation**

**In Compliance**

**55 - Floor Level Requirements**

**922 KAR 2:100 - Section 10**

**(2) Each floor level used for child care shall have at least one (1):**

- (a) Unblocked exit to the outside;**
- (b) Smoke detector;**
- (c) Fire extinguisher; and**
- (d) Carbon monoxide detector if the home:**
  - 1. Uses fuel burning appliances; or**
  - 2. Has an attached garage.**

**1) Unblocked exit**

**In Compliance**

**2) Smoke detector**

**In Compliance**

**3) Fire extinguisher**

**In Compliance**

Inspection Report

55 - Floor Level Requirements

922 KAR 2:100 - Section 10

(2) Each floor level used for child care shall have at least one (1):

- (a) Unblocked exit to the outside;
- (b) Smoke detector;
- (c) Fire extinguisher; and
- (d) Carbon monoxide detector if the home:
  - 1. Uses fuel burning appliances; or
  - 2. Has an attached garage.

4) Carbon monoxide detector

Not Applicable

56 - 2 Unblocked Exits

In Compliance

922 KAR 2:100 - Section 10

(3) A new applicant or a provider who changes location shall have at least two (2) unblocked exits to the outside on each floor level used for child care.

57 - Harmful Items Inaccessible

922 KAR 2:100 - Section 10

(4) The areas of the home that are accessible to children in care shall be free from items harmful to children including the following items:

- (a) Cleaning supplies, poisons, paints, and insecticides;
- (b) Knives, scissors, and sharp objects;

1) Cleaning supplies

In Compliance

2) Knives, scissors, sharp objects

In Compliance

3) Power tools, lawn mowers, hand tools, nails, other equipment

In Compliance

4) Matches, cigarettes, lighters, combustibles, flammable liquids

In Compliance

5) Alcoholic beverages

In Compliance

6) Plastic bags

In Compliance

7) Litter, rubbish

In Compliance

58 - Guns/Ammunition

922 KAR 2:100 - Section 10

(5) Guns and ammunition shall be stored away from the presence of children, in separate locked containers, which, in order to be opened, require a:

- (a) Key; or
- (b) Combination.

1) Stored away from the presence of children

In Compliance

2) Stored in separate, locked containers

In Compliance

3) Gun container requires key or combination

In Compliance

4) Ammunition container requires key or combination

In Compliance

59 - Covered Outlets

In Compliance

922 KAR 2:100 - Section 10

(6) Electrical outlets not in use shall be covered.

60 - Fan/Furnace/Heater/Fireplace

922 KAR 2:100 - Section 10

(7) An electric fan, floor furnace, or freestanding heater or fireplace shall:

- (a) Be out of the reach of a child; or
- (b) Have a safety guard to protect a child from injury.

1) Electric fan

In Compliance

2) Floor furnace

In Compliance

3) Freestanding heater

In Compliance

4) Fireplace

In Compliance

Inspection Report

61 - Telephone/Emergency Numbers Posted

922 KAR 2:100 - Section 10
(8) The home shall have:
(a) At least one (1) working land-line, unless the cabinet has been notified that the telephone is temporarily out of service telephone on each level used for child care with a residential or commercial line; and
(b) A list of emergency numbers posted by each telephone, including numbers for the:
1. Police;
2. Fire station;
3. Emergency medical care and rescue squad; and
4. Poison control center.

- 1) Land-line telephone In Compliance
2) Police number posted In Compliance
3) Fire Station number posted In Compliance
4) EMS number posted In Compliance
5) Poison Control number posted In Compliance

64 - Stairs/Steps

922 KAR 2:100 - Section 10
(11) Stairs and steps used for children in care shall be:
(a) Solid;
(b) Safe; and
(c) Railed.

- 1) Solid In Compliance
2) Safe In Compliance
3) Railed In Compliance

65 - Stairs Blocked, if applicable

922 KAR 2:100 - Section 10
(12) If an infant or toddler is in the care of a provider, indoor stairs with more than two (2) steps shall be blocked.

In Compliance

66 - 35 Square Feet

922 KAR 2:100 - Section 10
(13) Exclusive of the bathroom and storage area, an indoor area, including furnishings, used for child care shall contain at least thirty-five (35) square feet per child for:
(a) Play; and
(b) Activities that meet the developmental needs of the children in care.

- 1) For play In Compliance
2) For developmentally appropriate activities In Compliance

78 - Condition of Home

922 KAR 2:100 - Section 10
(25) The home shall:
(a) Be clean;
(b) Be uncluttered;
(c) Be free of insects and rodents;
(d) Have a water supply that is:
1. Potable;
2. Adequate; and
3. From an approved public water supply; and
(e) Have bathrooms, including toilets, sinks, and potty chairs that are:
1. Sanitary; and
2. In good working condition.

- 1) Clean Not In Compliance

Findings:

General: Based on observation, the refrigerator had spills on the inside and needed to be cleaned. The vent on the outside next to the floor also needed to be cleaned.



**Inspection Report**

**78 - Condition of Home**

922 KAR 2:100 - Section 10

(25) The home shall:

- (a) Be clean;
- (b) Be uncluttered;
- (c) Be free of insects and rodents;
- (d) Have a water supply that is:
  - 1. Potable;
  - 2. Adequate; and
  - 3. From an approved public water supply; and
- (e) Have bathrooms, including toilets, sinks, and potty chairs that are:
  - 1. Sanitary; and
  - 2. In good working condition.

- 2) Uncluttered In Compliance
- 3) Free of insects/rodents In Compliance
- 4) Water supply is potable In Compliance
- 5) Water supply is adequate In Compliance
- 6) Water supply is from approved source In Compliance
- 7) Bathrooms, toilets, sinks, potty chairs are sanitary In Compliance
- 8) Bathrooms, toilets, sinks, potty chairs are in good working condition In Compliance

**84 - Openings Screened**

922 KAR 2:100 - Section 10

(31) Windows, doors, and outer openings shall be screened to prevent the entrance of vermin.

- 1) Windows In Compliance
- 2) Doors In Compliance
- 3) Outer openings In Compliance

**85 - Garbage Stored Appropriately**

922 KAR 2:100 - Section 10

(32) Indoor and outdoor garbage shall be stored in a waterproof container with a tight-fitting cover.

- 1) Indoor/waterproof container/tight-fitting cover In Compliance
- 2) Outdoor/waterproof container/tight-fitting cover In Compliance

**95 - Sick Child Area**

922 KAR 2:100 - Section 10

(42) A quiet, separate area that is easily supervised shall be provided for a child too sick to remain with other children.

**In Compliance**

**97 - Toilet Room**

922 KAR 2:100 - Section 11

(1) A toilet room shall:

- (a) Have an adequate supply of toilet paper; and
- (b) Be cleaned and sanitized daily.

- 1) Adequate supply of toilet paper In Compliance
- 2) Cleaned and sanitized daily In Compliance

**98 - Sink**

922 KAR 2:100 - Section 11

(2) A sink shall be;

- (a) Located in or immediately adjacent to toilets;
- (b) Equipped with hot and cold running water that allows for hand washing;
- (c) Equipped with hot water no more than 110 degrees Fahrenheit;
- (d) Equipped with liquid soap and single use, disposable hand drying material;
- (e) Equipped with an easily cleanable, covered waste receptacle; and
- (f) Immediately adjacent to a changing area used for infants and toddlers.

- 1) In or immediately adjacent to toilets In Compliance
- 2) Equipped with hot and cold running water In Compliance
- 3) Hot water is no more than 110 degrees Fahrenheit In Compliance
- 4) Equipped with liquid soap and paper towels In Compliance
- 5) Equipped with an easily cleanable, covered waste can In Compliance
- 6) Immediately adjacent to changing area used for infants and toddlers In Compliance



**Inspection Report**

**99 - Toilet**

922 KAR 2:100 - Section 11

(3) Each toilet shall:

- (a) Be kept in clean condition;
- (b) Be kept in good repair;
- (c) Be in a lighted room; and
- (d) Have ventilation.

- 1) Clean In Compliance
- 2) Good repair In Compliance
- 3) Well lighted room In Compliance
- 4) Ventilation In Compliance

**Hygienic Practices**

**79 - Child Handwashing**

922 KAR 2:100 - Section 10

(26) A child shall wash hands with liquid soap and warm running water:

- (a) Before and after eating or handling food;
- (b) After toileting or diaper change;
- (c) After handling animals;
- (d) After wiping or blowing nose;
- (e) After touching items soiled with body fluids or waste; and
- (f) After outdoor and indoor play time.

- 1) Before and after eating or handling food In Compliance
- 2) After toileting or diaper change In Compliance
- 3) After handling animals In Compliance
- 4) After wiping or blowing nose In Compliance
- 5) After touching items soiled with body fluids or waste In Compliance
- 6) After outdoor and indoor play time In Compliance

**80 - Adult Handwashing/Items not Shared**

922 KAR 2:100 - Section 10

(27) The provider and an assistant shall:

- (a) Wash hands with liquid soap and warm running water:
  - 1. Before and after diapering a child;
  - 2. Before and after feeding a child;
  - 3. After toileting or assisting a child with toileting;
  - 4. After handling animals;
  - 5. Before dispensing medication;
  - 6. After caring for a sick child; and
  - 7. After wiping or blowing a child's or own nose.
- (b) Assure that a child shall not share:
  - 1. Cups;
  - 2. Eating utensils;
  - 3. Wash cloths;
  - 4. Towels; and
  - 5. Toiletry items.

- 1) Before and after diapering a child In Compliance
- 2) Before and after feeding a child In Compliance
- 3) After toileting or assisting a child with toileting In Compliance
- 4) After handling animals In Compliance
- 5) Before dispensing medication In Compliance
- 6) After caring for a sick child In Compliance
- 7) After wiping or blowing a child's or own nose In Compliance
- 8) Children do not share cups In Compliance
- 9) Children do not share eating utensils In Compliance
- 10) Children do not share wash cloths In Compliance
- 11) Children do not share towels In Compliance
- 12) Children do not share toiletry items In Compliance

**100 - Toilet Training**

**In Compliance**



**Inspection Report**

922 KAR 2:100 - Section 11

(4) Toilet training shall be coordinated with the child's parent.

**101 - Clean Diapers/Clothing**

922 KAR 2:100 - Section 11

(5) An adequate quantity of freshly laundered or disposable diapers and clean clothing shall be available.

1) Extra Diapers

In Compliance

2) Clean Clothing

In Compliance

**103 - Soiled Diapers/Clothing**

922 KAR 2:100 - Section 11

(7) Diapers or clothing shall be:

- (a) Changed when soiled or wet;
- (b) Stored in a covered leak proof container temporarily; and
- (c) Washed or disposed of at least once a day.

1) Changed when soiled or wet

In Compliance

2) Temporarily stored in a covered container

In Compliance

3) Washed or disposed of at least once daily

In Compliance

**105 - Diapering/Handwashing Procedure**

922 KAR 2:100 - Section 11

(9) If a child is being diapered the child shall:

- (a) Not be left unattended; and
- (b) Be placed on a surface that is:
  - 1. Clean;
  - 2. Padded;
  - 3. Free of holes, rips, tears, or other damage;
  - 4. Nonabsorbent;
  - 5. Easily cleaned; and
  - 6. Free of items not used for diaper changing.

1) Child is not left unattended

In Compliance

2) Surface is clean

In Compliance

3) Surface is padded

In Compliance

4) Surface is free of holes, tears, rips or other damage

In Compliance

5) Surface is nonabsorbent

In Compliance

6) Surface is easily cleaned

In Compliance

7) Surface is free of items not used for diapering

In Compliance

**106 - Individual Disposable Cloths**

In Compliance

922 KAR 2:100 - Section 11

(10) Unless prescribed by a physician, individual disposable washcloths shall be used to thoroughly clean the affected area of the child.

**107 - Diapering Surface Disinfected**

In Compliance

922 KAR 2:100 - Section 11

(11) Staff shall disinfect the diapering surface after each child is diapered.

**108 - Use of Gloves**

In Compliance

922 KAR 2:100 - Section 11

(12) If staff wear disposable gloves, the gloves shall be changed and disposed of after each child is diapered.

**First Aid/Medication**

**118 - Medication Locked**

In Compliance

922 KAR 2:100 - Section 13

(1) Medication, including medicine that requires refrigeration, shall be stored in a locked container or area with a lock.

**119 - Prescription Medication Administration**

In Compliance

922 KAR 2:100 - Section 13

(2) Prescription medication shall not be administered to a child in care, without a daily written request of the parent.

**Inspection Report**

**120 - Nonprescription Medication Administration**

922 KAR 2:100 - Section 13

(3) Nonprescription medication:

- (a) May be given to a child only with the written daily request of the:
  - 1. Parent; or
  - 2. Person exercising custodial control of the child; and
- (b) Shall be administered according to the instructions on the label.

1) Written daily permission

In Compliance

2) Administered according to instructions on the label

In Compliance

**121 - Medication**

922 KAR 2:100 - Section 13

(4) Medications shall be:

- (a) Labeled; and
- (b) Administered according to directions.

1) Labeled

In Compliance

2) Administered according to directions

In Compliance

**122 - First Aid**

922 KAR 2:100 - Section 13

(5) The provider shall:

- (a) Maintain first aid supplies that are easily accessible for use in an emergency, and these supplies shall be inaccessible to the children in care; and
- (b) Wash superficial wounds with soap and water before bandaging.

1) Supplies are maintained

In Compliance

2) Supplies are easily accessible for use

In Compliance

3) Supplies are inaccessible to children

In Compliance

4) Superficial wounds are washed with soap and water before bandaging

In Compliance

**123 - First Aid Supplies**

922 KAR 2:100 - Section 13

(6) First aid supplies shall include a fully-equipped first aid kit containing the following nonexpired items:

- (a) Liquid soap;
- (b) Adhesive bandages;
- (c) Sterile gauze;
- (d) Medical tape;
- (e) Scissors;
- (f) Thermometer;
- (g) Flashlight;
- (h) Cold pack;
- (i) First-aid book;
- (j) Disposable gloves; and
- (k) CPR mouthpiece.

1) Nonexpired items

In Compliance

2) Liquid soap

In Compliance

3) Adhesive bandages

In Compliance

4) Sterile gauze

In Compliance

5) Medical tape

In Compliance

6) Scissors

In Compliance

7) Thermometer

In Compliance

8) Flashlight

In Compliance

9) Cold pack

In Compliance

10) First-aid book

In Compliance

11) Disposable gloves

In Compliance

12) CPR mouthpiece

In Compliance

**Outdoor Play Area**

**67 - Outdoor Area Safe**

In Compliance

922 KAR 2:100 - Section 10

(14) An outdoor play area shall be free of unavoidable danger or risk.

**Inspection Report**

**69 - Stationary Play Equipment**

922 KAR 2:100 - Section 10

(16) Outdoor stationary play equipment shall be:

- (a) Securely anchored;
- (b) Developmentally appropriate;
- (c) Age appropriate; and
- (d) Safe.

1) Securely anchored

In Compliance

2) Developmentally appropriate

In Compliance

3) Age appropriate

In Compliance

4) Safe

In Compliance

**71 - Swimming Pool**

922 KAR 2:100 - Section 10

(18) A swimming pool on the premises shall:

- (a) Be maintained;
- (b) Have a water filtering system;
- (c) Be supervised when in use; and
- (d) Be inaccessible to children when not in use.

1) Maintained

Not Applicable

2) Has a water filtering system

Not Applicable

3) Supervised when in use

Not Applicable

4) Inaccessible to children when not in use

Not Applicable

**72 - Above-Ground Pool**

922 KAR 2:100 - Section 10

(19) An above-ground pool shall have:

- (a) A stationary wall no less than four (4) feet tall; and
- (b) Hand holds or foot holds that are inaccessible when the pool is not in use.

1) Stationary wall is at least 4 feet tall

Not Applicable

2) Hand/foot holds are inaccessible when not in use

Not Applicable

**Equipment**

**62 - Equipment and Toys**

922 KAR 2:100 - Section 10

(9) Equipment and toys shall be:

- (a) Designated by the manufacturer as developmentally appropriate to the age of children in care;
- (b) In sufficient quantity for the number of children in care; and
- (c) Safe, sound, clean, and in good repair.

1) Developmentally appropriate

In Compliance

2) Sufficient quantity

In Compliance

3) Safe, sound, clean, and in good repair

In Compliance

**70 - Trampoline**

922 KAR 2:100 - Section 10

(17) A trampoline shall not be accessible to a child in the care of a provider.

Not Applicable

**86 - Rest Time Requirements**

922 KAR 2:100 - Section 10

(33) Adequate space shall be provided at rest-time for each child in care more than four (4) hours:

- (a) Rest-time area shall be comfortable, clean, safe, and in good repair.
- (b) An individual bed, crib, play pen, two (2) inch thick waterproof mat, or cot with comfortable, clean, safe bedding shall be provided for each child.
- (c) A crib or play pen shall meet the Consumer Products Safety Commission Standards established in 16 C.F.R. 1508-1509.
- (d) Individual linens shall be:
  - 1. Provided for each child; and
  - 2. Changed:
    - a. At least weekly; or
    - b. If soiled or wet, immediately.

1) Adequate space

In Compliance

2) Area is comfortable, clean, safe, and in good repair

In Compliance

3) Individual bed, crib, play pen, 2-inch thick mat, or cot is provided

In Compliance

Inspection Report

- 4) Comfortable, clean, safe bedding In Compliance
- 6) Individual linens are provided In Compliance
- 7) Linens changed weekly/immediately, if soiled or wet In Compliance

86 - Rest Time Requirements

922 KAR 2:100 - Section 10  
 (33) Adequate space shall be provided at rest-time for each child in care more than four (4) hours:  
 (a) Rest-time area shall be comfortable, clean, safe, and in good repair.  
 (b) An individual bed, crib, play pen, two (2) inch thick waterproof mat, or cot with comfortable, clean, safe bedding shall be provided for each child.  
 (c) A crib or play pen shall meet the Consumer Products Safety Commission Standards established in 16 C.F.R. 1508-1509.  
 (d) Individual linens shall be:  
 1. Provided for each child; and  
 2. Changed:  
 a. At least weekly; or  
 b. If soiled or wet, immediately.

5) Crib/play pen meets CPSC standards Not In Compliance

Findings:

General: Based on observation, there was one (1) crib that does not meet standards.

102 - Toilet Training Chair

Not Applicable

922 KAR 2:100 - Section 11  
 (6) If a toilet training chair is used, the chair shall be:  
 (a) Emptied promptly; and  
 (b) Sanitized after each use.

1) Emptied promptly Not Applicable

2) Sanitized after each use Not Applicable

Transportation

127 - Policies and Procedures

Not Applicable

922 KAR 2:100 - Section 15  
 (1) If transportation is provided or arranged by the certified family child-care home provider, the provider shall:  
 (a) Have written permission from a parent to transport his or her child;  
 (b) Have a car or van equipped with seat belts;  
 (c) Require that a child:  
 1. Be restrained in an appropriate safety seat meeting federal motor vehicle safety standards in 49 C.F.R. 571.213;  
 2. Remain seated while the vehicle is in motion; and  
 3. If under thirteen (13) years of age, be transported in the back seat;  
 (d) Have a valid driver's license issued by the Division of Motor Vehicles;  
 (e) Have emergency and identification information about each child in the vehicle if children are being transported; and  
 (f) Conform to state laws pertaining to vehicles, drivers license, and insurance pursuant to KRS 186.020.

1) Parent permission Not Applicable

2) Vehicle equipped with seat belts Not Applicable

3) Appropriate car safety seats Not Applicable

4) Children remain seated Not Applicable

5) Children under 13 ride in the back seat Not Applicable

6) Valid driver's license Not Applicable

7) Emergency/identifying information for each child in vehicle Not Applicable

8) Registration and insurance Not Applicable

128 - Child Not Left Unattended

Not Applicable

922 KAR 2:100 - Section 15  
 (2) A child shall not be left unattended in a vehicle.

129 - Pick-Up Truck

Not Applicable

922 KAR 2:100 - Section 15  
 (3) The back of a pickup truck shall not be used to transport a child.



Inspection Report

Food Service

81 - Refrigerator

922 KAR 2:100 - Section 10

(28) The refrigerator shall:

- (a) Be in working order; and
- (b) Maintain product temperature at or below forty-five (45) degrees Fahrenheit.

1) Working

In Compliance

2) Temp is 45 degrees F or below

In Compliance

82 - Freezer At Zero Degrees Fahrenheit

In Compliance

922 KAR 2:100 - Section 10

(29) Except if thawed for preparation or use, frozen food shall be kept at a temperature of zero degrees Fahrenheit as verified by a thermometer in the freezer.

83 - Bottle-Feeding

922 KAR 2:100 - Section 10

(30) While bottle-feeding an infant, the:

- (a) Child shall be held; and
- (b) Bottle shall not be:
  - 1. Propped;
  - 2. Left in the mouth of a sleeping infant; or
  - 3. Heated in a microwave.

1) Child is held

In Compliance

2) Bottle is not propped

In Compliance

3) Bottle is not left in the mouth of a sleeping infant

In Compliance

4) Bottle is not heated in microwave

In Compliance

109 - Sanitary Preparation/Storage of Food

922 KAR 2:100 - Section 12

(1) The provider and assistant shall:

- (a) Use sanitary procedures when preparing and serving food;
- (b) Refrigerate perishable food and beverages; and
- (c) Serve milk or milk products that are pasteurized.

1) Sanitary procedures used when serving/preparing food

In Compliance

2) Perishable food/beverages are refrigerated

In Compliance

3) Pasteurized milk/milk products are served

In Compliance

110 - Meal Quantity and Types

922 KAR 2:100 - Section 12

(2) Meals shall:

- (a) Be served in an amount appropriate to the age of the child; and
- (b) Include appropriate types of food according to the age of the child.

1) Age appropriate amounts

In Compliance

2) Age appropriate types

In Compliance

111 - Breakfast

922 KAR 2:100 - Section 12

(3) Breakfast shall include:

- (a) Milk;
- (b) Bread; and
- (c) Fruit, vegetable, or 100 percent juice.

1) Milk

In Compliance

2) Bread

In Compliance

3) Fruit, vegetable, or 100% juice

In Compliance

112 - Snack (2 of 4 components: milk; protein; fruit, vegetable, or 100% juice; bread)

In Compliance

922 KAR 2:100 - Section 12

(4) A snack shall include two (2) of the following:

- (a) Milk;
- (b) Protein source;
- (c) Fruit, vegetable, or 100 percent juice; or
- (d) Bread.

**Inspection Report**

**113 - Lunch/Dinner**

**922 KAR 2:100 - Section 12**

**(5) Lunch and dinner shall include:**

- (a) Milk;**
- (b) Protein source;**
- (c) Two (2) vegetables or one (1) fruit and one (1) vegetable; and**
- (d) Bread.**

- 1) Milk** **In Compliance**
- 2) Protein** **In Compliance**
- 3) Two vegetables or one fruit and one vegetable** **In Compliance**
- 4) Bread** **In Compliance**

**114 - Weekly Menu**

**922 KAR 2:100 - Section 12**

**(6) A weekly menu shall be:**

- (a) Prepared;**
- (b) Dated;**
- (c) Posted in a conspicuous place;**
- (d) Kept on file for thirty (30) calendar days.**

- 1) Prepared** **Not In Compliance**

**Findings:**

General: Based on review of documentation, there was no menu prepared for this week.

**114 - Weekly Menu**

**922 KAR 2:100 - Section 12**

**(6) A weekly menu shall be:**

- (a) Prepared;**
- (b) Dated;**
- (c) Posted in a conspicuous place;**
- (d) Kept on file for thirty (30) calendar days.**

- 2) Dated** **In Compliance**
- 3) Posted** **In Compliance**
- 4) Kept on File for 30 days** **In Compliance**

**115 - Substitutions Noted on Menu**

**922 KAR 2:100 - Section 12**

**(7) Substitutions to a posted weekly menu shall be noted on the day the meal is served.**

**116 - Bottle Preparation By Parent, if applicable**

**922 KAR 2:100 - Section 12**

**(8) Unless provided as part of the fee for child care or the provider is a participant in the food program, an infant's formula shall be prepared, labeled, and provided by the parent.**

- (a) Prepared;**
- (b) Labeled; and**
- (c) Provided by the parent.**

- 1) Prepared** **In Compliance**
- 2) Labeled** **In Compliance**
- 3) Provided by parent** **In Compliance**

**117 - Bottles**

**922 KAR 2:100 - Section 12**

**(9) Each child's bottle shall be:**

- (a) Labeled;**
- (b) Covered; and**
- (c) Refrigerated.**

- 1) Labeled** **In Compliance**
- 2) Covered** **In Compliance**
- 3) Refrigerated** **In Compliance**

**93 - Record Requirement**

**922 KAR 2:100 - Section 10**

**(40) To assure a healthy environment, the provider shall maintain a:**

**(a) Current immunization certificate for each child within thirty (30) days of enrollment, unless an attending physician or parent objects to the immunization of a child pursuant to KRS 214.036;**

**(b) Written record:**

- 1. Completed and signed by the child's parent; and**
- 2. Retained on file on the first day the child attends, to include:**
  - a. The child's name, address, and date of birth;**
  - b. The name of each individual to whom the child may be released;**
  - c. The general status of the child's health;**
  - d. Allergies or restrictions on the child's participation in activities, with specific instructions from the child's parent or physician;**
  - e. The name and phone number of each person to be contacted in an emergency situation;**
  - f. The name and phone number of the child's physician and preferred hospital; and**
  - g. Authorization by the parent for the provider to seek emergency medical care in the parent's absence.**

**1) Current immunization certificate**

**Not In Compliance**

**Findings:**

General: Based on review of documentation, the file for a child contained an immunization record which did not include a date of when the next immunizations are due.

**93 - Record Requirement**

**922 KAR 2:100 - Section 10**

**(40) To assure a healthy environment, the provider shall maintain a:**

**(a) Current immunization certificate for each child within thirty (30) days of enrollment, unless an attending physician or parent objects to the immunization of a child pursuant to KRS 214.036;**

**(b) Written record:**

- 1. Completed and signed by the child's parent; and**
- 2. Retained on file on the first day the child attends, to include:**
  - a. The child's name, address, and date of birth;**
  - b. The name of each individual to whom the child may be released;**
  - c. The general status of the child's health;**
  - d. Allergies or restrictions on the child's participation in activities, with specific instructions from the child's parent or physician;**
  - e. The name and phone number of each person to be contacted in an emergency situation;**
  - f. The name and phone number of the child's physician and preferred hospital; and**
  - g. Authorization by the parent for the provider to seek emergency medical care in the parent's absence.**

**2) Record completed and signed by parent**

**In Compliance**

**3) Record retained on file on child's first day of attendance**

**In Compliance**

**4) Record includes child's name, address, date of birth**

**In Compliance**

**5) Record includes name of individual to whom child may be released**

**In Compliance**

**6) Record includes general status of child's health**

**In Compliance**

**7) Record includes allergies or restrictions of activities**

**In Compliance**

**8) Record includes emergency contacts**

**In Compliance**

**9) Record includes name and phone number of the child's physician/preferred hospital**

**In Compliance**

**10) Record includes authorization for emergency medical care**

**In Compliance**

**143 - Written Child Care Agreement/Approved Pick-Up List**

**In Compliance**

**922 KAR 2:100 - Section 17**

**(9) Maintain a written child care agreement with each child's parent, including the name of each person designated by the parent to pick up the child.**



Inspection Report

Written Documentation

17 - Application Requirements

922 KAR 2:100 - Section 2

(2) An applicant for certification shall:

- (a) Be at least eighteen (18) years of age;
- (b) Submit within ninety (90) days of initiation of the application process:
  - 1. A completed OIG-RCC-4, Application for Certified Family Child Care Home;
  - 2. A completed OIG-RCC-6, Self-Check List;
  - 3. A nonrefundable certification fee pursuant to KRS 199.8982(1)(b);
  - 4. Written documentation from the local authority showing the child-care home is in compliance with local zoning requirements;
  - 5. Documentation of the requirements of KRS 199.8982(1)(a)1 through 3 and 5;
  - 6. A OIG-RCC-5, Central Registry Check, to complete a child abuse or neglect check in accordance with 922 KAR 1:470; and
  - 7. A completed criminal records check for the previous state of residence if the applicant has resided outside the state of Kentucky in the last five (5) years; and
- (c) Obtain commercial liability insurance of at least \$50,000 per occurrence.

- 1) Zoning In Compliance
- 2) Out of state background In Compliance
- 3) Liability insurance In Compliance

73 - Fire/Tornado Drills

922 KAR 2:100 - Section 10

(20) A fire and tornado drill shall be conducted during hours of operation:

- (a) At least monthly; and
- (b) Documented.

- 1) Conducted during hours of operation In Compliance
- 2) Monthly fire drills In Compliance
- 3) Fire drills documented In Compliance
- 4) Monthly tornado drills In Compliance
- 5) Tornado drills documented In Compliance

74 - Earthquake Drills

922 KAR 2:100 - Section 10

(21) An earthquake drill shall be conducted during hours of operation:

- (a) At least quarterly; and
- (b) Documented.

- 1) Conducted during hours of operation In Compliance
- 2) Quarterly drills In Compliance
- 3) Documented In Compliance

133 - Attendance Records

922 KAR 2:100 - Section 16

(4) A certified family child-care home provider shall keep a written daily record of the times a child arrives and departs.

- 1) Arrival times documented In Compliance
- 2) Departure times documented In Compliance

135 - Policies

922 KAR 2:100 - Section 17

The certified family child-care home provider shall:

- (1) Develop written information that specifies the:
  - (a) Rate for child care;
  - (b) Expected frequency of payment for the program;
  - (c) Hours of operation; and
  - (d) Policy regarding:
    - 1. Late fees;
    - 2. Holidays;
    - 3. Vacation;
    - 4. Illness; and
    - 5. Emergency pick up;

- 1) Rate for child care In Compliance
- 2) Expected frequency of payment In Compliance
- 3) Hours of operation In Compliance
- 4) Late fees In Compliance



**Inspection Report**

5) Holidays	In Compliance
6) Vacation	In Compliance
7) Illness	In Compliance
8) Emergency pick-up	In Compliance

**Posted Documentation**

<b>25 - Certificate Posted</b>	<b>In Compliance</b>
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**922 KAR 2:100 - Section 2**  
**(10) The family child-care home certificate shall:**  
**(a) Be displayed in a prominent place, as required by KRS 199.8982(1)(c);**  
**(b) Contain the:**  
**1. Name and address of the child care provider;**  
**2. Maximum number of unrelated children who may be served;**  
**3. Identification number; and**  
**4. Effective and expiration date; and**  
**(c) Be valid for only the:**  
**1. Name of the individual authorized on the certificate to operate a family child child-care; and**  
**2. Residential address printed on the certificate.**

<b>88 - Daily Routine Plan</b>	
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**922 KAR 2:100 - Section 10**  
**(35) A written and posted routine plan shall be established for daily:**  
**(a) Activity;**  
**(b) Opportunity for outdoor play; and**  
**(c) Reading.**

1) Written and posted	In Compliance
2) Includes activity	In Compliance
3) Includes opportunity for outdoor play	In Compliance
4) Includes reading	In Compliance

<b>104 - Diapering/Handwashing Procedures</b>	<b>In Compliance</b>
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**922 KAR 2:100 - Section 11**  
**(8) The proper methods of diapering and hand-washing shall be posted at each diaper changing area.**

<b>141 - Posting Requirements</b>	
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**922 KAR 2:100 - Section 17**  
**(7) Post in a prominent area in the home:**  
**(a) The staff to child ratios described in Section 9 of this administrative regulation;**  
**(b) The planned program of activities;**  
**(c) Each statement of deficiency issued by the cabinet during the current certification period;**  
**(d) Each plan of correction submitted by the certified family child-care home to the cabinet during the current certification period; and**  
**(e) Daily schedule including any trips outside the family child-care home;**

1) Staff to child ratios	In Compliance
2) Planned program of activities	In Compliance
3) SOD	In Compliance
4) POC	In Compliance
5) Daily schedule	In Compliance

**Animals**

<b>124 - Supervised/Vaccinated</b>	<b>Not Applicable</b>
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**922 KAR 2:100 - Section 14**  
**(1) Animals shall be:**  
**(a) Supervised by an adult if in the presence of children in care; and**  
**(b) Certified as properly vaccinated against rabies.**

1) Supervised	Not Applicable
2) Vaccinated	Not Applicable

<b>125 - Parent Notification</b>	<b>Not Applicable</b>
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**922 KAR 2:100 - Section 14**  
**(2) A parent shall be notified in writing if a child has been bitten or scratched by an animal.**

<b>126 - Prohibited Animals</b>	<b>Not Applicable</b>
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**Inspection Report**

**922 KAR 2:100 - Section 14**

**(3) Animals that are considered undomesticated, wild, or exotic shall not be allowed in a family child-care home.**

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Signature of  
Provider/Representative

Title

Date