



**CABINET FOR HEALTH AND FAMILY SERVICES
OFFICE OF INSPECTOR GENERAL**

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Inspection Report

Provider Name: Goldsmith Elementary Childcare Enrichment Program	Provider Information Provider Type: LICENSED TYPE I	CLR No: L353258
Provider Address: 3520 Goldsmith Lane, Louisville, KY, 40220		Capacity: 80
Owner(s): The Young Mens Christian Association Of Greater Louisville		Director(s): Graybeal, Mary D.

Inspection Type: Renewal Application	Inspection Information	Inspection No: 231716
Date Initiated: 08/31/2017 3:35 PM	Date Concluded: 08/31/2017 5:55 PM	
	No. of Children Present: 31	

Inspection Report	
Supervision	In Compliance
Staffing Requirements	In Compliance
General Administration	In Compliance
Director Requirements	Not In Compliance

260 - Staff Evaluation **Not In Compliance**

922 KAR 2:110. Section 4. Director Requirements and Responsibilities.
(1) Effective with the adoption of this administrative regulation, a director shall:
(j) Assess each staff person's interaction with children in care and classroom performance through an annual written performance evaluation;

Findings:

General: Based on Review of Documentation and interview, the child care center failed to maintain written documentation in accordance with regulations. Review of staff files revealed a professional development plan was not presented for review at the time of survey for the staff member with a hire date 06/11/2015. Interview with the director revealed this information was not available at the time of survey.

265 - Health, Safety, Comfort **Not In Compliance**

922 KAR 2:110. Section 4. Director Requirements and Responsibilities.
(1) Effective with the adoption of this administrative regulation, a director shall:
(l) Provide for the health, safety, and comfort of each child;

Findings:

General: Based on Observation, the child care center failed to comply with regulations regarding the health, safety and comfort of children in care. Six(6) outlets were missing covers in the following rooms: Gym and Cafeteria.

275 - Caregiver Alone **Not In Compliance**

922 KAR 2:110. Section 4. Director Requirements and Responsibilities.
(1) Effective with the adoption of this administrative regulation, a director shall:
(n) Assure that a person acting as a caregiver of a child in care shall not be left alone with a child, if the licensee has not received the results of the background checks as described in Section 3(1)(e)6 of this administrative regulation;

Findings:

General: Based on review of documentation and interview, the childcare center failed to comply with regulations for employee records. The presented personnel file for a staff member with the hire date 08/15/2017 contained a Child Abuse and Neglect check that indicated that the staff member lived in the state of Tennessee within the last five years. The record failed to contain proof of an out of state criminal record check for the state of Tennessee. The aforementioned staff member was not observed to be alone with children. Interview with the director revealed the documentation was not available for review at the time of survey.

Inspection Report

Employee Records

Not In Compliance

300 - Background checks/left alone

Not In Compliance

922 KAR 2:090. Section 6. License Issuance.

(5) An individual described in subsection (4) of this section shall:

(a) Submit to background checks described in paragraph (b) of this subsection;

(b) May be employed or work with a child on a probationary basis for up to ninety (90) calendar days, pending completion of a:

1. Child abuse or neglect check using the central registry in accordance with 922 KAR 1:470;

2. Criminal records check required by KRS 199.896(19);

3. Criminal records check for any previous state of residence if the person resided outside the state of Kentucky in the last five (5) years; and

4. An address check of the Sex Offender Registry; and

(c) Not be left alone in the presence of a child until copies of the background checks in accordance with paragraph (b) of this subsection have been received by the licensee.

Findings:

General: Based on review of documentation and interview, the childcare center failed to comply with regulations for employee records. The presented personnel file for a staff member with the hire date 08/15/2017 contained a Child Abuse and Neglect check that indicated that the staff member lived in the state of Tennessee within the last five years. The record failed to contain proof of an out of state criminal record check for the state of Tennessee. The aforementioned staff member was not observed to be alone with children. Interview with the director revealed the documentation was not available for review at the time of survey.

315 - Educational Requirements

Not In Compliance

922 KAR 2:110. Section 5. Staff Requirements.

(1) Child-care center staff:

(a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:

1. High school diploma;

2. GED or qualifying documentation from a comparable educational entity; or

3. Commonwealth Child Care Credential as described in 922 KAR 2:250;

Findings:

General: Based on review of documentation and interview, the child care center failed to maintain employee records in accordance with regulations. Review of staff files presented for review at the time of survey revealed the file for a staff member with the hire date of 06/11/2015 did not contain proof of education (high school diploma, GED, or CCC credential).

320 - TB Verification

Not In Compliance

922 KAR 2:110. Section 5. Staff Requirements.

(1) Child-care center staff:

(b) Shall provide, prior to employment and every two (2) years thereafter:

1. A statement from a health professional that the individual is free of active tuberculosis; or

2. A copy of negative tuberculin results.

Findings:

General: Based on review of documentation and interview, the child care center failed to maintain employee records in accordance with regulations. Review of staff files revealed the file for a staff member with the hire date of 06/11/2015 failed to have a current record of a negative tuberculin result or a statement from a health professional verifying that the individual is free of active tuberculosis on file. Interview with the director revealed this information was not available at the time of survey.

340 - Training

Not In Compliance

922 KAR 2:110. Section 5. Staff Requirements.

(14) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:

(a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;

(b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of pediatric abusive head trauma training; and

(c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of pediatric abusive head trauma training completed once every five (5) years.

Findings:

General: Based on review of documentation and interview, the child care center failed to maintain employee records in accordance with regulations. Review of staff files and ECE-TRIS report revealed the fifteen (15) hours of cabinet approved training was not presented for review at the time of survey for staff members with hire dates 02/01/1997 and 06/11/2015. Upon request, the director did not submit the documentation for review.

Programming

In Compliance

Inspection Report

Premises

Not In Compliance

460 - Inaccessible Items

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

- (7) Except in accordance with subsection (8) of this section, the following shall be inaccessible to a child in care:
- (a) Toxic cleaning supplies, poisons, and insecticides;
 - (b) Knives and sharp objects;
 - (c) Matches, cigarettes, lighters, and flammable liquids;
 - (d) Plastic bags;
 - (e) Litter and rubbish;
 - (f) Bar soap; and
 - (g) Personal belongings and medications of staff.

Findings:

General: Based on observation and interview, the child care center failed to comply with regulations regarding premises. Observation in the gym revealed a bottle of liquid cleaning solution in a clear spray bottle sitting underneath the sign in and out table accessible to children. Interview with the director revealed the bottle was filled with cleaning solution.

500 - Building Requirements

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

- (5) The building shall be constructed to ensure the:
- (a) Building is:
 - 1. Dry;
 - 2. Adequately heated;
 - 3. Ventilated; and
 - 4. Well lit, including clean light fixtures that are:
 - a. In good repair in all areas; and
 - b. Shielded or have shattered proof bulbs installed; and
 - (b) Following are protected:
 - 1. Windows;
 - 2. Doors;
 - 3. Stoves;
 - 4. Heaters;
 - 5. Furnaces;
 - 6. Pipes; and
 - 7. Stairs.

Findings:

General: Based on observation, the child care center failed to comply with regulations regarding premises. Observation in the gym revealed the ceiling light shield was partially hanging off, causing a hazard to children sitting below.

Hygienic Practices

In Compliance

First Aid/Medication

Not In Compliance

655 - First Aid Supplies

Not In Compliance

922 KAR 2:120. Section 7. First Aid and Medicine.

- (1) First aid supplies shall:
- (a) Be available to provide prompt and proper first aid treatment;
 - (b) Be stored out of reach of a child;
 - (c) Be periodically inventoried to ensure the supplies are current;
 - (d) If reusable, be:
 - 1. Sanitized; and
 - 2. Maintained in a sanitary manner; and
 - (e) Include:
 - 1. Liquid soap;
 - 2. Adhesive bandages;
 - 3. Sterile gauze;
 - 4. Medical tape;
 - 5. Scissors;
 - 6. A thermometer;
 - 7. Flashlight;
 - 8. Cold pack;
 - 9. First aid book;
 - 10. Disposable gloves; and
 - 11. A cardiopulmonary resuscitation mouthpiece protector.

Findings:

General: Based on observation and interview, the child care center failed to comply with the regulations regarding first aid medication. Observation of the child care center's first aid supplies revealed a cold pack was not presented for review at the time of survey. Interview with owner/director revealed she could not locate the cold pack at the time of survey.

Outdoor Play Area

In Compliance

Equipment

In Compliance

Inspection Report

Transportation

In Compliance

Food Service

In Compliance

Children's Records

Not In Compliance

1075 - Enrollment Information

Not In Compliance

922 KAR 2:110. Section 3. Records.
(1) A child-care center shall maintain:
(b) A written record for each child:
1. Completed and signed by the child's parent;
2. Retained on file on the first day the child attends the child-care center; and
3. To contain:
a. Identifying information about the child, which includes, at minimum, the child's name, address, and date of birth;
b. Contact information to enable a person in charge to contact the child's:
(i) Parent at the parent's home or place of employment;
(ii) Family physician; and
(iii) Preferred hospital;
c. The name of each person who is designated in writing to pick-up the child;
d. The child's general health status and medical history including, if applicable:
(i) Allergies;
(ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and
(iii) Permission from the parent for third-party professional services in the child-care center;
e. The name and phone number of each person to be contacted in an emergency situation involving or impacting the child;
f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;

Findings:

General: Based on review of documentation and interview, the child care center failed to maintain children's records in accordance with regulatory requirements. The presented records for two (2) children with the enrollment dates of 08/16/2017 did not contain a file with a preferred physician or hospital.

The presented record for a child with enrollment date 08/16/2017 did not contain a parent or guardian's contact information.

The presented record for a child with enrollment date of 08/16/2017 did not contain the authorization by the parent for the center to seek emergency medical care for the child in the parent's absence.

Upon request, the director did not present the missing information.

Written Documentation

Not In Compliance

1105 - Professional Development

Not In Compliance

922 KAR 2:110. Section 3. Records.
(1) A child-care center shall maintain:
(f) A written annual plan for child-care staff professional development;

Findings:

General: Based on review of documentation and interview, the child care center failed to maintain written documentation in accordance with regulations. Review of staff files revealed a professional development plan was not presented for review at the time of survey for the staff member with a hire date 06/11/2015. Interview with the director revealed this information was not available at the time of survey.

1120 - Fire Drills

Not In Compliance

922 KAR 2:110. Section 3. Records.
(1) A child-care center shall maintain:
(i) A written record of practiced fire drills conducted monthly detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;

Findings:

General: Based on Review of Documentation and interview, the child care center failed to maintain written documentation in accordance with regulations. The center failed to present a written record of monthly fire drills conducted. Interview with the director revealed she could not locate the drill documentation at the time of survey.

Posted Documentation

In Compliance

Animals

Not Applicable

Signature of
Provider/Representative

Title

Date