



**CABINET FOR HEALTH AND FAMILY SERVICES
OFFICE OF INSPECTOR GENERAL**

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Inspection Report

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|---|---------------------------------|-----------------------|
| Provider Name: Neat, Constance Marie | Provider Information | CLR No: C71035 |
| Provider Address: 311 Arbolado Drive, Frankfort, KY, 40601 | Provider Type: CERTIFIED | Capacity: 6 |

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|--|---|------------------------------|
| Inspection Type: Annual Inspection | Inspection Information | Inspection No: 278779 |
| Date Initiated: 03/19/2019 12:15 PM | Date Concluded: 03/19/2019 1:15 PM | |
| No. of Children Enrolled: 6 | No. of Children Present: 6 | |

| Inspection Report | |
|---|--------------------------|
| Background Checks | In Compliance |
| Supervision | In Compliance |
| General Administration | In Compliance |
| Provider Requirements | In Compliance |
| Provider Records | Not In Compliance |
| 250 - Adult in home/Assistant TB | Not In Compliance |

922 KAR 2:100 - Section 2. Certification Process.
(5) An adult living in the home of the applicant, present during the hours of operation, or having unsupervised contact with a child in care, and the applicant's assistant shall:
(b) Submit a copy of negative tuberculin results or a health professional's statement documenting that the adult is free of active tuberculosis.

Findings:

General: Based on review of documentation, it was found that the person who is going to be the new assistant in the home had not yet completed a negative TB screening. It is important to note that the provider stated that the assistant has not yet been officially hired, but the assistant was present during this visit.

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|------------------------------|--------------------------|
| Programming | In Compliance |
| Premises | In Compliance |
| Hygienic Practices | Not In Compliance |
| 470 - Diapering Space | Not In Compliance |

922 KAR 2:100 - Section 13. Toilet and Diapering Requirements.
(9) If a child is being diapered, the child shall:
(b) Be placed on a surface that is:
1. Clean;
2. Padded;
3. Free of holes, rips, tears, or other damage;
4. Nonabsorbent;
5. Easily cleaned; and
6. Free of items not used for diaper changing.

Findings:

General: Based on interview, it was found that the provider's diaper changing pad was torn; therefore she has been changing the children on a towel in the floor and then taking them to the bathroom to wash their hands. This practice does not meet the regulatory requirements.

Inspection Report

First Aid/Medication

Not In Compliance

485 - Medication Locked/First Aid/Diaper cream/Sunscreen/Toothpaste

Not In Compliance

922 KAR 2:100 - Section 15. Medication and First Aid.

- (1) Medication, including medicine that requires refrigeration, shall be stored in a locked container or area with a lock unless the medication is:**
 - (a) A first aid supply. A first aid supply shall be maintained in accordance with subsection (4) of this section;**
 - (b) Diaper cream, sunscreen, or toothpaste. Diaper cream, sunscreen, or toothpaste shall be inaccessible to a child in care;**

Findings:

General: Based on observation, it was found at the time of survey that there were numerous bottles of nasal spray on the kitchen countertop. These items were accessible to the children in the home.

Outdoor Play Area

In Compliance

Equipment

In Compliance

Transportation

In Compliance

Food Service/Food Program

In Compliance

Food Service

In Compliance

Children's Records

Not In Compliance

730 - Immunization

Not In Compliance

922 KAR 2:100 - Section 18. Records.

- (1) A provider shall maintain:**
 - (a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;**

Findings:

General: Based on review of documentation, it was found that one (1) child did not have a current immunization certificate on file at the home. This child's date of enrollment was 02/01/2016. In addition, it was found that three (3) children did not have an immunization certificate on file at the home. The dates of enrollment for these children were 09/10/2018 and 11/26/2018.

735 - Children's information

Not In Compliance

922 KAR 2:100 - Section 18. Records.

- (1) A provider shall maintain:**
 - (b) A written record for each child:**
 - 1. Completed and signed by the child's parent;**
 - 2. Retained on file on the first day the child attends the family child-care home; and**
 - 3. To contain:**
 - a. Identifying information about the child, which includes, at minimum, the child's name, address, and date of birth;**
 - b. Contact information to enable the provider to contact the child's:**
 - (i) Parent at the parent's home or place of employment;**
 - (ii) Family physician; and**
 - (iii) Preferred hospital;**
 - c. The name of each person who is designated in writing to pick-up the child;**
 - d. The child's general health status and medical history including, if applicable:**
 - (i) Allergies;**
 - (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and**
 - (iii) Permission from the parent for third-party professional services in the family child-care home;**
 - e. The name and phone number of each person to be contacted in an emergency situation involving or impacting the child;**
 - f. Authorization by the parent for the provider to seek emergency medical care for the child in the parent's absence; and**

Findings:

General: Based on review of documentation, it was found that one (1) child's file did not contain a physician contact or a preferred hospital on the enrollment paperwork. This child's date of enrollment was 02/01/2016.

Written Documentation

Not In Compliance

750 - Liability insurance

Not In Compliance

922 KAR 2:100 - Section 2. Certification Process.

- (2) An applicant for certification shall:**
 - (b) Obtain commercial liability insurance of at least \$50,000 per occurrence; and**

Findings:

General: Based on review of documentation, it was found that the liability insurance on file at the certified home was expired.

765 - Fire Drill Requirements

Not In Compliance

922 KAR 2:100 - Section 11. The General Requirements of the Family Child-Care Home Environment.

- (18) A fire drill shall be conducted during hours of operation:**
 - (a) At least monthly; and**
 - (b) Documented.**

Findings:

General: Based on review of documentation, it was found that there was no evidence of any fire drills being completed at the certified home.

Inspection Report

770 - Earthquake Drill and Tornado Drill Requirements

Not In Compliance

922 KAR 2:100 - Section 11. The General Requirements of the Family Child-Care Home Environment.

(20) An earthquake drill and a tornado drill shall be:

- (a) conducted during hours of operation at least quarterly; and**
- (b) Documented.**

Findings:

General: Based on review of documentation, it was found that there was no evidence of any earthquake or tornado drills being completed at the certified home.

Posted/Available Documentation

In Compliance

Animals

In Compliance

Posted Requirements

In Compliance

Signature of
Provider/Representative

Title

Date