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Inspection Report

Provider Name: Jolly, April Lynn	Provider Information	CLR No: C71027
Provider Address: 1329 Sunset Locust Road, Hillsboro, KY, 41049	Provider Type: CERTIFIED	Capacity: 6

Inspection Type: Annual Inspection	Inspection Information	Inspection No: 247032
Date Initiated: 12/18/2018 1:30 PM	Date Concluded: 12/18/2018 3:10 PM	
No. of Children Enrolled: 7	No. of Children Present: 3	

Inspection Report	
Background Checks	Not In Compliance
5 - Background check/left alone/dismissed/relocated	Not In Compliance
<p>922 KAR 2:280 - Section 3. Implementation and Enforcement.</p> <p>(1) A person who is a child care staff member prior to January 1, 2018, shall submit to and complete background checks in accordance with this administrative regulation no later than September 30, 2018.</p> <p>(2) A child care staff member hired on or after April 1, 2018, shall:</p> <p>(a) Have completed the background checks required in accordance with this administrative regulation and been found to have no disqualifying offense prior to becoming a child care staff member; or</p> <p>(b)1. Have submitted to the background checks required in accordance with this administrative regulation;</p> <p>2. Not be left unsupervised with a child in care pending the completion of the background checks in accordance with this administrative regulation; and</p> <p>3. Be dismissed or relocated from the residence if the person is found to have a disqualifying background check result.</p>	
Findings:	
<p>General: Based on observation, review of documentation and interview, the family child care home failed to assure compliance with regulatory background check requirements. Observation of the family care home revealed two (2) adults and three (3) children. Interview with the provider revealed four (4) adults reside in the home. Review of records revealed all four (4) adult records failed to contain background checks in accordance with regulatory requirements which were revised in 2018. The adults in the home resided in the residence before 04/01/18. Review of the online KARES National Background Check Program (NBCP) report revealed the provider and the remaining three (3) adult members in the house failed to submit a background request and/or fingerprints to determine eligibility status for employment and compliance to be in the same home with children in care. Further observation revealed during the inspection and review of records by the surveyor, an adult member other than the provider was allowed to care for children while the provider sat at the kitchen table with the surveyor.</p>	
Supervision	Not In Compliance
95 - General Supervision	Not In Compliance
<p>922 KAR 2:100 - Section 12. Care Requirements for a Provider.</p> <p>(11) A child who does not sleep shall be permitted to play quietly and be visually supervised.</p>	
Findings:	
<p>General: Based on observation, the family child care home failed to maintain supervision in accordance with regulatory requirements. Observation of the family child care home during the surveyor's review of records revealed children under the age of four (4) years at play in the living room space of the home without adult supervision. An adult member of the home arrived and stayed in the living room space with the children until each child's parent arrived to pick him/her up from care. The provider sat at the kitchen table with the surveyor as the surveyor reviewed written documentation.</p>	
General Administration	In Compliance

Inspection Report

Provider Requirements

Not In Compliance

225 - Information to Parents

Not In Compliance

922 KAR 2:100 - Section 19. Certified Family Child-Care Home Program.

The certified family child-care home provider shall:

- (2) Make available a copy of the certification standards to each parent;**
- (3) Provide each parent with the name, address, and telephone number of the cabinet for the purpose of registering a complaint if the parent believes the family child-care home provider is not meeting the standards;**

Findings:

General: Based on review of documentation and interview, the family child care home failed to comply with regulatory requirements with regard to information provided to the parents of children in care. Review of written documentation revealed the certification standards posted for review by the parents, were no longer in effect. A revision to the standards was made in 2018. Interview with the provider revealed she did not have a copy of the current certification standards.

235 - Parent/Family Activity

Not In Compliance

922 KAR 2:100 - Section 19. Certified Family Child-Care Home Program.

The certified family child-care home provider shall:

- (8) Coordinate at least one (1) annual activity involving parental or family participation;**

Findings:

General: Based on review of documentation and interview, the family child care home failed to comply with regulatory requirements with regard to parent activities. Upon request, the family child care home failed to provide documented evidence of at least one (1) parent activity during the review period.

Provider Records

Not In Compliance

245 - Adult in home/Assistant

Not In Compliance

922 KAR 2:100 - Section 2. Certification Process.

(5) An adult living in the home of the applicant, present during the hours of operation, or having unsupervised contact with a child in care, and the applicant's assistant shall:

- (a) Complete background checks in accordance with 922 KAR 2:280; and**

Findings:

General: Based on observation, review of documentation and interview, the family child care home failed to maintain provider records in accordance with regulatory requirements. Observation of the family care home revealed two (2) adults and three (3) children. Interview with the provider revealed four (4) adults reside in the home. Review of all four (4) records found the provider failed to complete background checks in accordance with regulatory requirements which were revised in 2018. The adults in the home resided in the residence before 04/01/18. Review of the online KARES National Background Check Program (NBCCP) report revealed the provider and the remaining three (3) adult members in the house failed to submit a background request and/or fingerprints to determine eligibility status for employment and compliance to be in the same home with children in care. Further observation revealed during the inspection and review of records by the surveyor, an adult member other than the provider was allowed to care for children while the provider sat at the kitchen table with the surveyor.

Programming

In Compliance

Premises

In Compliance

Hygienic Practices

In Compliance

First Aid/Medication

In Compliance

Outdoor Play Area

In Compliance

Equipment

In Compliance

Transportation

Not Applicable

Food Service/Food Program

Not Applicable

Food Service

In Compliance

Children's Records

Not In Compliance

730 - Immunization

Not In Compliance

922 KAR 2:100 - Section 18. Records.

(1) A provider shall maintain:

- (a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;**

Findings:

General: Based on review of documentation and interview, the family child care home failed to maintain children's records in accordance with regulatory requirements. Upon request, the provider failed to present a current immunization certificate for a child enrolled on 03/20/18.

735 - Children's information

Not In Compliance

922 KAR 2:100 - Section 18. Records.

(1) A provider shall maintain:

(b) A written record for each child:

- 1. Completed and signed by the child's parent;**
- 2. Retained on file on the first day the child attends the family child-care home; and**
- 3. To contain:**
 - a. Identifying information about the child, which includes, at minimum, the child's name, address, and date of birth;**
 - b. Contact information to enable the provider to contact the child's:**
 - (i) Parent at the parent's home or place of employment;**
 - (ii) Family physician; and**
 - (iii) Preferred hospital;**
 - c. The name of each person who is designated in writing to pick-up the child;**
 - d. The child's general health status and medical history including, if applicable:**
 - (i) Allergies;**
 - (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and**
 - (iii) Permission from the parent for third-party professional services in the family child-care home;**
 - e. The name and phone number of each person to be contacted in an emergency situation involving or impacting the child;**
 - f. Authorization by the parent for the provider to seek emergency medical care for the child in the parent's absence; and**

Findings:

General: Based on review of documentation and interview, the family child care home failed to maintain children's records in accordance with regulatory requirements. Review of all three (3) child records revealed no documented evidence of a preferred hospital, as should have been indicated by the parent or legal guardian of the child in care. Interview with the provider revealed a request for a preferred hospital was not indicated on her enrollment forms.

Written Documentation

Not In Compliance

750 - Liability insurance

Not In Compliance

922 KAR 2:100 - Section 2. Certification Process.

(2) An applicant for certification shall:

- (b) Obtain commercial liability insurance of at least \$50,000 per occurrence; and**

Findings:

General: Based on review of documentation and interview, the family child care home failed to maintain written documentation in accordance with regulatory requirements. Upon request, the provider failed to submit documented evidence of Commercial Liability Insurance with a minimum of \$50,000 per occurrence. The document provided had expired.

780 - Documentation of Earthquake Drills and Tornado Drills

Not In Compliance

922 KAR 2:100 - Section 18. Records.

(5) A certified family child-care home provider shall maintain a written record of:

- (a) Quarterly practiced earthquake drills and tornado drills detailing the date, time, and participants in accordance with Section 11(20) of this administrative regulation;**

Findings:

General: Based on review of documentation and interview, the family child care home failed to maintain written documentation in accordance with regulatory requirements. Upon request, the provider failed to provide documented evidence of the children who participated in the monthly fire drills and quarterly earthquake and tornado drills. Interview with the provider revealed she was unaware she had to list the names of the children during each drill.

Posted/Available Documentation

In Compliance

Animals

Not Applicable

Posted Requirements

In Compliance

Signature of Provider/Representative

Title

Date