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Inspection Report

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| Provider Name: Basic Trust Child Care Center | Provider Information | CLR No: L352514 |
| Provider Address: 6699 Alexandria Pike, Alexandria, KY, 41001 | Provider Type: LICENSED TYPE I | Capacity: 218 |
| Owner(s): Basic Trust Child Care Center, Inc. | | Director(s): Courter, Roberta Margaret |

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| Inspection Type: Renewal Application | Inspection Information | Inspection No: 220476 |
| Date Initiated: 07/26/2017 9:48 AM | Date Concluded: 07/26/2017 11:25 AM | |
| | No. of Children Present: 90 | |

| Inspection Report | |
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| Supervision | In Compliance |
| Staffing Requirements | In Compliance |
| General Administration | In Compliance |
| Director Requirements | Not In Compliance |
| 260 - Staff Evaluation | Not In Compliance |
| 922 KAR 2:110. Section 4. Director Requirements and Responsibilities. (1) Effective with the adoption of this administrative regulation, a director shall: (j) Assess each staff person's interaction with children in care and classroom performance through an annual written performance evaluation; | |
| Findings: | |
| General: Based on Review of Documentation, it was found that the files for nine (9) eligible employees did not contain evidence of annual evaluations being completed. The director stated that the evaluations had not been completed. | |
| 265 - Health, Safety, Comfort | Not In Compliance |
| 922 KAR 2:110. Section 4. Director Requirements and Responsibilities. (1) Effective with the adoption of this administrative regulation, a director shall: (l) Provide for the health, safety, and comfort of each child; | |
| Findings: | |
| General: Based on Observation, this regulatory requirement was not met. During a tour of Lemurs 2 Classroom, the surveyor observed a radio sitting on top of a tall storage cabinet (approximately six (6) feet tall). The radio was plugged into an electrical outlet in the middle of the wall. The radio cord was hanging loose and was accessible to the children. | |
| Employee Records | Not In Compliance |
| 315 - Educational Requirements | Not In Compliance |
| 922 KAR 2:110. Section 5. Staff Requirements. (1) Child-care center staff: (a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a: 1. High school diploma; 2. GED or qualifying documentation from a comparable educational entity; or 3. Commonwealth Child Care Credential as described in 922 KAR 2:250; | |
| Findings: | |
| General: Based on Review of Documentation, it was found that the file for an employee hired on 5-23-17 did not contain evidence of a high school diploma, GED or Commonwealth Childcare Credential. | |

Inspection Report

320 - TB Verification

Not In Compliance

922 KAR 2:110. Section 5. Staff Requirements.

- (1) Child-care center staff:
 - (b) Shall provide, prior to employment and every two (2) years thereafter:
 - 1. A statement from a health professional that the individual is free of active tuberculosis; or
 - 2. A copy of negative tuberculin results.

Findings:

General: Based on Review of Documentation, it was found that the file for an employee hired on 1-14-13 contained the results of a negative TB skin test that was read on 1-28-15. The file for an employee hired on 6-9-17 contained the results of a negative TB skin test that was read on 6-10-15. These dates are not within the two (2) year timeframe required by the regulation.

340 - Training

Not In Compliance

922 KAR 2:110. Section 5. Staff Requirements.

- (14) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:
 - (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;
 - (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 1/2) hours of pediatric abusive head trauma training; and
 - (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 1/2) hours of pediatric abusive head trauma training completed once every five (5) years.

Findings:

General: Based on Review of Documentation, it was found that the ECE-TRIS record and file for an employee hired on 2-11-99 contained evidence of zero (0) hours of training being completed during the last completed training year.

345 - Driver Requirements

Not In Compliance

922 KAR 2:120. Section 12. Transportation.

- (18) A driver of a vehicle transporting a child for a center shall:
 - (a) Be at least twenty-one (21) years old;
 - (b) Complete:
 - 1. The background checks as described in 922 KAR 2:110; and
 - 2. An annual check of the:
 - a. Kentucky driver history records in accordance with KRS 186.018; or
 - b. Driver history records through the state transportation agency that issued the driver's license;
 - (c) Hold a current driver's license which has not been suspended or revoked during the last five (5) years; and
 - (d) Not caused an accident which resulted in the death of a person.

Findings:

General: Based on Interview and review of documentation, the facility failed to have an annual driving history record for each staff who drive a vehicle transporting children. The staff person in charge identified three (3) staff who are designated bus drivers during field trips. File review found that each staff person had a driving history record dated 10/14/14. The surveyor found that one (1) of the bus drivers drove the children to the Firehouse Museum on 7/25/17. It is important to note that the owner requested the driving history records online and printed the driving history records during the inspection.

Programming

In Compliance

Premises

Not In Compliance

480 - Premises Requirements

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

- (1) The premises shall be:
 - (a) Suitable for the purpose intended;
 - (b) Kept clean and in good repair;

Findings:

General: Based on Observation, the following was found: 1. A tour of the Koala's Classroom found a small section of baseboard that was peeling away from the wall in the circle time area. 2. A tour of the Dragonflies Classroom found an accumulation of dust on a ceiling vent. 3. A tour of the Backus House found a broken cabinet door on the classroom sink. The hinge on the cabinet door was broken; therefore, it was hanging loose. The surveyor also observed a broken plexi-glass window panel in the sunroom area where the children's cubbies are located. A piece of cardboard and tape was being used to cover the broken window panel.

520 - Floors, Walls, Ceilings

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

- (9) Floors, walls, and ceilings shall be smooth, in good repair, and constructed to be easily cleaned.

Findings:

General: Based on Observation, this regulatory requirement was not met. During a tour of the main level of building #1 the surveyor observed two (2) stained ceiling tiles in the children's bathroom in the Lemurs 2 Classroom.

585 - Sink

Not In Compliance

922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.

(3) A sink shall be:

- (a) Located in or immediately adjacent to toilet rooms;
- (b) Equipped with hot and cold running water that allows for hand washing;
- (c) Equipped with hot water at a minimum temperature of ninety (90) degrees Fahrenheit and a maximum of 120 degrees Fahrenheit;
- (d) Equipped with liquid soap;
- (e) Equipped with hand-drying blower or single use disposable hand drying material;
- (f) Equipped with an easily cleanable waste receptacle; and
- (g) Immediately adjacent to a changing area used for infants and toddlers.

Findings:

General: Based on Observation, this regulatory requirement was not met. A tour of the Cuddlebunnies Classroom (infants and toddlers) found that the diaper changing table was positioned approximately ten (10) feet from the sink; therefore, was not immediately adjacent.

590 - Toilet

Not In Compliance

922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.

(4) Each toilet shall:

- (a) Be kept in clean condition;
- (b) Be kept in good repair;
- (c) Be in a lighted room; and
- (d) Have ventilation to outside air.

Findings:

General: Based on Observation, this regulatory requirement was not met. During a tour of the upper level of building #1 the surveyor observed a sign posted on a bathroom door in the kindergarten classroom that stated, "Do not use and keep door closed". The surveyor found blue painters tape criss-crossed on top of a toilet seat in this bathroom. A toilet plunger and a cloth towel was observed lying on the floor between the two (2) toilets in this bathroom.

Hygienic Practices

Not In Compliance

625 - Diaper Changing Area/Surface

Not In Compliance

922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.

(10) When a child is diapered, the child shall:

- (b) Be placed on a surface that is:
 1. Clean;
 2. Padded;
 3. Free of holes, rips, tears, or other damage;
 4. Nonabsorbent;
 5. Easily cleaned; and
 6. Free of any items not used for diaper changing.

Findings:

General: Based on Observation and interview, the following was found: 1. The diaper changing pad in the Lemurs 1 Classroom was torn. The staff person in charge stated that this classroom is not currently being used; however, the surveyor noted that some of the children present on the day of the visit had personal diapering items being stored in this classroom. 2. The diaper changing pad in the Lemurs 2 Classroom contained a tear down the seam which exposed the foam filling.

First Aid/Medication

In Compliance

Outdoor Play Area

Not In Compliance

690 - Playground Conditions

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

(20) An outdoor play area shall be:

- (d) Safe from foreseeable hazard;
- (e) Well drained;
- (f) Well maintained;
- (g) In good repair; and
- (h) Visible to staff at all times.

Findings:

General: Based on Observation, this regulatory requirement was not met. A tour of the playground behind the Backus House found several inches of brownish-green water on top of the sandbox tarp.

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695 - Protective Surface

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

(21) A protective surface shall:

(a) Be provided for outdoor play equipment used to:

- 1. Climb;
- 2. Swing; and
- 3. Slide; and

(b) Have a fall zone equal to the height of the equipment.

Findings:

General: Based on Observation, this regulatory requirement was not met. The area under the swings on the playground between the main building and the Backus House was found to be bare dirt. This type of surfacing does not provide adequate cushioning for the children during falls.

Equipment

Not In Compliance

760 - Indoor/Outdoor Equipment

Not In Compliance

922 KAR 2:120. Section 11. Toys and Furnishings.

(2) Indoor and outdoor equipment shall:

(a) Be clean, safe, and in good repair;

(b) Meet the physical, developmental needs, and interests of children of different age groups;

(c) Be free from sharp points or corners, splinters, protruding nails or bolts, loose or rusty parts, hazardous small parts, lead-based paint, poisonous material, and flaking or chalking paint; and

(d) Be designed to guard against entrapment or situations that may cause strangulation.

Findings:

General: Based on Observation, the following was found: 1. The refrigerator in the kitchen area of the Backus House was unclean. The surveyor observed yellow dried liquid spills on the bottom shelf. 2. Two (2) wooden benches positioned near the back door of the Backus House were found in dis-repair. The front wooden plank on both benches was not securely attached; therefore, it was hanging loose.

Transportation

Not In Compliance

900 - Children's Transportation Records

Not In Compliance

922 KAR 2:120. Section 12. Transportation.

(17) Transportation services provided shall:

(a) Be recorded in writing and include:

- 1. The first and last name of the child transported; and
- 2. The time each child gets on and the time each child gets off;

(b) Be completed by a staff member other than the driver; and

(c) Be kept for five (5) years.

Findings:

General: Based on Review of Documentation, the surveyor found that a group of children were taken on a field trip on 7/25/17 to the Firehouse Museum. The children's transportation records that were presented for review did not include each time the child got on and off of the vehicle.

Food Service

In Compliance

Children's Records

Not In Compliance

1075 - Enrollment Information

Not In Compliance

922 KAR 2:110. Section 3. Records.

(1) A child-care center shall maintain:

(b) A written record for each child:

- 1. Completed and signed by the child's parent;
- 2. Retained on file on the first day the child attends the child-care center; and
- 3. To contain:

a. Identifying information about the child, which includes, at minimum, the child's name, address, and date of birth;

b. Contact information to enable a person in charge to contact the child's:

- (i) Parent at the parent's home or place of employment;
- (ii) Family physician; and
- (iii) Preferred hospital;

c. The name of each person who is designated in writing to pick-up the child;

d. The child's general health status and medical history including, if applicable:

- (i) Allergies;
- (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and
- (iii) Permission from the parent for third-party professional services in the child-care center;

e. The name and phone number of each person to be contacted in an emergency situation involving or impacting the child;

f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;

Findings:

General: Based on Review of Documentation, one (1) child's file out of ten (10) files reviewed did not contain physician contact information.

Inspection Report

Written Documentation

Not In Compliance

1105 - Professional Development

Not In Compliance

922 KAR 2:110. Section 3. Records.

(1) A child-care center shall maintain:

(f) A written annual plan for child-care staff professional development;

Findings:

General: Based on Review of Documentation, it was found that the files for three (3) eligible employees did not contain evidence of annual professional development plans being completed.

1140 - Fire Drills

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

(12) A fire drill shall be conducted during hours of operation:

(a) At least monthly; and

(b) Documented.

Findings:

General: Based on Review of Documentation and interview, the facility did not conduct a fire drill during the months of May 2017 and June 2017.

Posted Documentation

Not In Compliance

1165 - Daily Activities

Not In Compliance

922 KAR 2:110. Section 4. Director Requirements and Responsibilities.

(1) Effective with the adoption of this administrative regulation, a director shall:

(h) Post a schedule of daily activities, to include dates and times of activities to be conducted with the children in each classroom;

Findings:

General: Based on Review of Documentation, the following was found: 1. The Koala's Classroom and the Jaguars Classroom had a schedule of daily activities posted; however, no dates were listed. 2. The Tadpoles Classroom had a schedule of daily activities posted that was dated July 10 – 14, 2017; therefore, it was no longer current. 3. The Cuddlebunnies Classroom had a schedule of daily activities posted that was dated July 17 - 21, 2017; therefore, it was no longer current.

Animals

In Compliance

Signature of Provider/Representative

Title

Date