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Inspection Report

Provider Name: Frederick, Pamela B	Provider Information	CLR No: C71003
Provider Address: 1012 Griffin Drive, Lawrenceburg, KY, 40342	Provider Type: CERTIFIED	Capacity: 6

Inspection Type: Renewal Application	Inspection Information	Inspection No: 278861
Date Initiated: 04/25/2019 9:50 AM	Date Concluded: 04/25/2019 11:05 AM	
No. of Children Enrolled: 7	No. of Children Present: 2	

Inspection Report	
Background Checks	In Compliance
Supervision	Not In Compliance
95 - General Supervision	Not In Compliance
922 KAR 2:100 - Section 12. Care Requirements for a Provider. (11) A child who does not sleep shall be permitted to play quietly and be visually supervised.	
Findings: General: Based on observation and interview, this regulatory requirement was not met. It was found there are two (2) children who do not nap and are allowed to play quietly in the orange room while the other children sleep in the main living space. The provider stated she remains in the area where the children are sleeping.	
General Administration	In Compliance
Provider Requirements	In Compliance
Provider Records	Not In Compliance
240 - Provider CPR/First Aid	Not In Compliance
922 KAR 2:100 - Section 2. Certification Process. (4) An applicant shall be currently certified by an agency approved in accordance with 922 KAR 2:240 in infant and child: (a) Cardiopulmonary resuscitation (CPR); and (b) First aid.	
Findings: General: Based on observation and review of documentation, this regulatory requirement was not met. The CPR and First Aid cards presented for review at the time of the inspection were the cards of a Heart saver instructor. The name of that instructor which is not the provider was printed on the front of the card. There was another portion of the card that required the holder of the card's signature. The name that was signed in this space was also the name of the instructor. The provider then presented a form that had a course date of 7/18/17 and a start time of 5:00 p.m. and a course end date of 7/17/17 with a time of 6:30 p.m. The course was conducted at the Louisville Metro EMS. The form shows the course instructor as the same person listed on the CPR/First aid cards. The name of the provider is not documented on any portion of the form and there was no other documentation to verify the provider is currently certified in pediatric CPR and first aid.	

260 - Orientation/training plan

Not In Compliance

922 KAR 2:100 - Section 2. Certification Process.

(9) Within three (3) months of submission to the cabinet of a complete OIG-DRCC-03, an applicant shall:

- (a) Demonstrate completion of six (6) hours of cabinet-approved training in accordance with KRS 199.8982(1)(a)6; and**
- (b) Develop and implement a written plan for obtaining nine (9) hours of annual cabinet-approved training as required in Section 10(1) of this administrative regulation.**

Findings:

General: Based on review of documentation and ECE-Tris records, this regulatory requirement was not met. It was found that the provider has not completed six (6) hours of cabinet-approved orientation training in accordance with KRS 199.8982(1)(a)6. It was also found that the provider has not developed a written plan for obtaining nine (9) hours of annual cabinet approved training as required in Section 10(1) of this administrative regulation.

265 - Provider Training Requirements

Not In Compliance

922 KAR 2:100 - Section 10. Standards for the Provider.

(1)(a) A provider shall complete annually at least nine (9) hours of cabinet-approved early care and education training beginning with the second year of operation, including one and one-half (1½) hours of cabinet-approved pediatric abusive head trauma training in accordance with KRS 199.8982(2):

- 1. Within the second year of employment or operation in child care; and**
- 2. Every subsequent five (5) years of employment or operation in child care.**

Findings:

General: Based on review of documentation and ECE-Tris records, this regulatory requirement was not met. There was no documentation to verify the provider has obtained nine (9) hours of cabinet approved early care and education training beginning with the second year of operation. It was also found through a review of documentation and ECE-Tris records that the provider has not completed one and one-half (1 1/2) hours of cabinet-approved pediatric abusive head trauma training within the second year of operation in accordance with KRS 199.8982(2).

Programming

In Compliance

Premises

In Compliance

Hygienic Practices

In Compliance

First Aid/Medication

In Compliance

Outdoor Play Area

Not In Compliance

525 - Outdoor Play Area Safe

Not In Compliance

922 KAR 2:100 - Section 11. The General Requirements of the Family Child-Care Home Environment.

(13) An outdoor play area shall be free of unavoidable danger or risk.

Findings:

General: Based on observation, this regulatory requirement was not met.

1) An inspection of the outdoor play area found a large pile of tree branches in front of an area where the children have access to a swing set, a small climber a picnic table and a playhouse.

2) There is a storage shed located in the outdoor play area. An aluminum ladder was observed on its side propped against two (2) support posts connected to an overhang that is connected to the roof of the shed. The ladder was accessible to the children.

Equipment

In Compliance

Transportation

Not Applicable

Food Service/Food Program

Not Applicable

Food Service

In Compliance

Children's Records

Not In Compliance

730 - Immunization

Not In Compliance

922 KAR 2:100 - Section 18. Records.

(1) A provider shall maintain:

(a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;

Findings:

General: Based on review of documentation, this regulatory requirement was not met. A review of seven (7) children's files found three (3) children's files did not contain a current immunization certificate. The dates listed on these three children's immunizations certificates had the dates listed as follows: 9/30/18, 3/22/19 and 12/26/17. It should be noted that all three (3) of these children have been enrolled for more than thirty days.

Written Documentation

Not In Compliance

750 - Liability insurance

Not In Compliance

922 KAR 2:100 - Section 2. Certification Process.

(2) An applicant for certification shall:

(b) Obtain commercial liability insurance of at least \$50,000 per occurrence; and

Findings:

General: Based on review of documentation, this regulatory requirement was not met. The liability insurance document that was on file at the time of the survey had a date of 7/20/17 -7/20/18 listed as the dates the insurance was effective. There was no other documentation provided to verify the provider has current liability insurance.

765 - Fire Drill Requirements

Not In Compliance

922 KAR 2:100 - Section 11. The General Requirements of the Family Child-Care Home Environment.

(18) A fire drill shall be conducted during hours of operation:

- (a) At least monthly; and**
- (b) Documented.**

Findings:

General: Based on observation and review of documentation, this regulatory requirement was not met. A review of documented fire drills found there was no documentation to verify that a fire drill had been conducted from July 2018 to March 2019.

770 - Earthquake Drill and Tornado Drill Requirements

Not In Compliance

922 KAR 2:100 - Section 11. The General Requirements of the Family Child-Care Home Environment.

(20) An earthquake drill and a tornado drill shall be:

- (a) conducted during hours of operation at least quarterly; and**
- (b) Documented.**

Findings:

General: Based on observation and review of documentation, this regulatory requirement was not met. A review of documented quarterly earthquake drills and documented tornado drills found there was no documentation to verify either of the drills had been conducted quarterly for 2018. There was a note on a form that had recorded fire drills up until July 2018 that indicated the tornado and earthquake drills were conducted the same day as the fire drills. However, there was not a time when each of these drills was conducted. There was no further documentation to verify that a quarterly earthquake and a quarterly tornado drill had been conducted after July 2018.

780 - Documentation of Earthquake Drills and Tornado Drills

Not In Compliance

922 KAR 2:100 - Section 18. Records.

(5) A certified family child-care home provider shall maintain a written record of:

- (a) Quarterly practiced earthquake drills and tornado drills detailing the date, time, and participants in accordance with Section 11(20) of this administrative regulation;**

Findings:

General: Based on observation and review of documentation, this regulatory requirement was not met. A review of documented quarterly earthquake drills and documented tornado drills found there was no documentation to verify either of the drills had been conducted quarterly for 2018. There was a note on a form that had recorded fire drills up until July 2018 that indicated the tornado and earthquake drills were conducted the same day as the fire drills. However, there was not a time when each of these drills was conducted. There was no further documentation to verify that a quarterly earthquake and a quarterly tornado drill had been conducted after July 2018. Therefore, there was no documentation to verify the date, time and names of children that participated because there was no documentation after July 2018.

785 - Fire Drills

Not In Compliance

922 KAR 2:100 - Section 18. Records.

(5) A certified family child-care home provider shall maintain a written record of:

- (b) Monthly practiced fire drills detailing the date, time, and participants in accordance with Section 11(19) of this administrative regulation; and**

Findings:

General: Based on observation and review of documentation, this regulatory requirement was not met. A review of documented fire drills found there was no documentation to verify that a fire drill had been conducted from July 2018 to March 2019. There was no further documentation to verify that monthly fire drills had been conducted after July 2018. Therefore, there was no documentation to verify the date, time and names of children that participated because there was no documentation after July 2018.

795 - Evacuation Plan Records

Not In Compliance

922 KAR 2:100 - Section 18. Records.

(7)(a) A certified family child-care home provider shall have a written evacuation plan in the event of fire, natural disaster, or other threatening situation that may pose a health or safety hazard to a child in care in accordance with KRS 199.895.

Findings:

General: Based on observation and review of documentation, this regulatory requirement was not met. A review of the provider's written evacuation plan in the event of fire, natural disaster, or other threatening situation that may pose a threat to the health and safety to a child in care was last submitted for approval to the appropriate agency on 8/9/17. An interview with the provider found that this is the only time she has submitted a written evacuation plan for approval. This does not meet the requirements in accordance with KRS 199.895.

Posted/Available Documentation

Not In Compliance

825 - Posted Documentation

Not In Compliance

922 KAR 2:100 - Section 19. Certified Family Child-Care Home Program.

The certified family child-care home provider shall:

(7) Make available to a parent upon request:

- (a) The staff to child ratios described in Section 10 of this administrative regulation;**
- (b) The planned program of activities;**
- (c) Each statement of deficiency issued by the cabinet during the current certification period;**
- (d) Each plan of correction submitted by the certified family child-care home to the cabinet during the current certification period; and**
- (e) Daily schedule including any trips outside the family child-care home;**

Findings:

General: Based on observation and interview, this regulatory requirement was not met. The surveyor asked the provider if she had any documentation to verify a planned program of activities in the event a parent should request to see this documentation. The provider showed the surveyor a daily schedule that was posted on a door which consisted of the daily routine. The provider stated she had not documented specific planned activities for the week.

Animals

Not Applicable

Posted Requirements

In Compliance

Signature of Provider/Representative

Title

Date