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GOVERNOR

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OFFICE OF INSPECTOR GENERAL**

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Adam Mather
INSPECTOR GENERAL

Inspection Report

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|---|---------------------------------------|--|
| Provider Name: Auntie's Academy | Provider Information | CLR No: L383530 |
| Provider Address: 19 Wainscott Avenue, Winchester, KY, 40391 | Provider Type: LICENSED TYPE I | Capacity: 98 |
| Owner(s): Auntie's Academy, Llc | | Director(s): Gay, Felicia Shacole |

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|---|--|------------------------------|
| Inspection Type: Renewal Application | Inspection Information | Inspection No: 290854 |
| Date Initiated: 10/07/2019 9:25 AM | Date Concluded: 10/07/2019 12:06 PM | |
| | No. of Children Present: 25 | |

| Inspection Report | |
|---|-------------------|
| Background Checks | In Compliance |
| Supervision | In Compliance |
| Staffing Requirements | In Compliance |
| General Administration | Not In Compliance |
| 180 - Plan of Correction/15 days | Not In Compliance |
| 922 KAR 2:090. Section 14. Statement of Deficiency and Corrective Action Plans. (2) Except for a violation posing an immediate threat as handled in accordance with KRS 199.896(5)(c), a child-care center shall submit a written corrective action plan to the cabinet or its designee within fifteen (15) calendar days of the date of the statement of deficiency to eliminate or correct the regulatory violation. | |
| Findings: A PLAN OF CORRECTION WAS DUE ON 11/11/2019 AND AS OF 11/19/2019, THE PLAN OF CORRECTION HAS NOT BEEN RECEIVED. | |
| Director Requirements | Not In Compliance |
| 345 - Staff Evaluation | Not In Compliance |
| 922 KAR 2:090. Section 10. Director Requirements and Responsibilities. (1) A director shall: (j) Assess each staff person's interaction with children in care and classroom performance through an annual written performance evaluation; | |
| Findings: General: Based on observation and review of documentation, this regulatory requirement was not met. Two staff members did not have current annual evaluations on file. The following was found: 1. A staff hired on 11/16/16, had an evaluation on file dated 8/21/17. 2. A staff hired on 7/12/16, did not have an evaluation on file. | |

350 - Health, Safety, Comfort

Not In Compliance

922 KAR 2:090. Section 10. Director Requirements and Responsibilities.

(1) A director shall:

- (l) Assure the health, safety, and comfort of each child;**

Findings:

General: Based on observation, this regulatory requirement was not met. The following was unsafe or unhealthy:

1. A beeping smoke detector was heard in the Aftercare Room in the basement. This usually indicates a low battery or a malfunction of the device.
2. A roll of toilet paper was being stored on the toilet tank lid in the Pre-K bathroom. This is unsanitary.

Employee Records

Not In Compliance

390 - Educational Requirements

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

- (a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:**

- 1. High school diploma;**
- 2. GED or qualifying documentation from a comparable educational entity; or**
- 3. Commonwealth Child Care Credential as described in 922 KAR 2:250;**

Findings:

General: Based on observation and review of documentation, this regulatory requirement was not met. A staff hired on 9/23/19, did not have proof of education on file.

395 - TB Verification

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

- (b) Shall provide, prior to employment and every two (2) years thereafter:**

- 1. A statement from a health professional that the individual is free of active tuberculosis; or**
- 2. A copy of negative tuberculin results.**

Findings:

General: Based on observation and review of documentation, this regulatory requirement was not met. The following was found:

1. A staff hired on 9/23/19, did not have written evidence that they are free of active TB.
2. A staff hired on 7/12/16, had a TB skin test on file that was no longer current. The TB skin test on file was dated 9/16/17.

400 - CPR/First Aid Coverage

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

- (3) For a child-care center licensed for infant, toddler, or preschool-age children, at least one (1) person on duty and present with the children shall be currently certified by a cabinet-approved training agency in the following skills:**

- (a) Infant and child cardiopulmonary resuscitation; and**
- (b) Infant and child first aid.**

- (4) For a child-care center licensed for school-age children, at least one (1) person on duty and present with the children shall be currently certified by a cabinet-approved training agency in the following skills:**

- (a) Adult cardiopulmonary resuscitation; and**
- (b) First aid.**

Findings:

General: Based on observation and review of documentation, this regulatory requirement was not met. The following was found:

1. The vehicle driver does not have current CPR and first aid training. The facility picks children up after school and transports them to the daycare.
2. The facility does not have a staff person present with current CPR and first aid training from 4:00 PM until 6:30 PM, Monday through Friday.

410 - Training

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

- (16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:**
- (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;**
 - (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and**
 - (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.**

Findings:

General: Based on observation and a review of documentation, this regulatory requirement was not met. The following was found after a review of the ECE-TRIS system:

1. A staff hired on 11/16/16, completed 14 hours of training during her completed training year.
2. A staff hired on 7/12/16, completed 14.50 hours of training during her completed training year.
3. A staff hired on 5/21/18, did not have a current pediatric abusive head trauma training on file. The PAHT training on file for this staff was dated 8/26/14. This shall be completed every five years.

415 - Driver Requirements

Not In Compliance

922 KAR 2:120. Section 12. Transportation.

- (18) A driver of a vehicle transporting a child for a center shall:**
- (a) Be at least twenty-one (21) years old;**
 - (b) Complete:**
 - 1. The background checks as described in 922 KAR 2:280; and**
 - 2. An annual check of the:**
 - a. Kentucky driver history records in accordance with KRS 186.018; or**
 - b. Driver history records through the state transportation agency that issued the driver's license;**
 - (c) Hold a current driver's license which has not been suspended or revoked during the last five (5) years; and**
 - (d) Not caused an accident which resulted in the death of a person.**

Findings:

General: Based on observation and review of documentation, this regulatory requirement was not met. The vehicle driver, hire date 11/16/16, did not have a current driver history records check on file. The driver history records check on file was dated 6/11/18 and is valid for one year.

Programming

Not In Compliance

420 - Program of Activities Followed

Not In Compliance

922 KAR 2:120. Section 2. Child Care Services.

- (4) The child-care center shall provide a daily planned program:**
- (a) Posted in writing in a conspicuous location with each age group and followed;**
 - (b) Of activities that are individualized and developmentally appropriate for each child served;**
 - (c) That provides experience to promote the individual child's physical, emotional, social, and intellectual growth and well-being; and**
 - (d) Unless the child-care center is a before- or after-school program that operates part day or less, that offers a variety of creative activities**

including:

- 1. Art or music;**
- 2. Math or numbers;**
- 3. Dramatic play;**
- 4. Stories and books;**
- 5. Science or nature;**
- 6. Block building or stacking;**
- 7. Tactile or sensory activity;**
- 8. Multi-cultural exposure;**
- 9. Indoor or outdoor play in which a child makes use of both small and large muscles;**
- 10. A balance of active and quiet play, including group and individual activity;**
- 11. An opportunity for a child to:**
 - a. Have some free choice of activities;**
 - b. If desired, play apart from the group at times;**

Findings:

General: Based on observation, this regulatory requirement was not met. The Aftercare Room, located in the basement, did not contain a current posted lesson plan. The posted lesson plan was dated 8/19/19 to 8/23/19.

Inspection Report**Premises****Not In Compliance****540 - Premises Requirements****Not In Compliance****922 KAR 2:120. Section 4. Premises Requirements.**

- (1) The premises shall be:**
- (a) Suitable for the purpose intended;**
 - (b) Kept clean and in good repair;**

Findings:

General: Based on observation, this regulatory requirement was not met. The following was found:

1. The Pre-K Room contained excessive crayon marks on the wall by the exit door and on the window next to the exit door.
2. The Pre-K Room contained tape residue on the wooden shelf that contained animals.
3. The bathroom in the Infant Room contained a dusty ceiling vent.

580 - Floors, Walls, Ceilings**Not In Compliance****922 KAR 2:120. Section 4. Premises Requirements.**

- (9) Floors, walls, and ceilings shall be smooth, in good repair, and constructed to be easily cleaned.**

Findings:

General: Based on observation, this regulatory requirement was not met. The Pre-K Room contained a transition strip on the floor that was not properly attached. This was located where the tile floor meets the wood laminate flooring around the bathroom.

645 - Sink**Not In Compliance****922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.**

- (3) A sink shall be:**
- (a) Located in or immediately adjacent to toilet rooms;**
 - (b) Equipped with hot and cold running water that allows for hand washing;**
 - (c) Equipped with hot water at a minimum temperature of ninety (90) degrees Fahrenheit and a maximum of 120 degrees Fahrenheit;**
 - (d) Equipped with liquid soap;**
 - (e) Equipped with hand-drying blower or single use disposable hand drying material;**
 - (f) Equipped with an easily cleanable waste receptacle; and**
 - (g) Immediately adjacent to a changing area used for infants and toddlers.**

Findings:

General: Based on observation, this regulatory requirement was not met. The hand washing sink in the one-year-old room was measured with a calibrated thermometer and it contained a water temperature of 125 degrees Fahrenheit. A sink shall not exceed 120 degrees Fahrenheit.

Hygienic Practices**In Compliance****First Aid/Medication****Not In Compliance****730 - Medication****Not In Compliance****922 KAR 2:120. Section 7. First Aid and Medicine.**

- (6) Medication, including refrigerated medication, shall be:**
- (a) Stored in a separate and locked place, out of the reach of a child unless the medication is:**
 - 1. A first aid supply and is maintained in accordance with subsection (1) of this section;**
 - 2. Diaper cream, sunscreen, or toothpaste. Diaper cream, sunscreen, or toothpaste shall be inaccessible to a child;**
 - 3. An epinephrine auto-injector. A licensed child-care center shall comply with KRS 199.8951 and 311.646, including:**
 - a. An epinephrine auto-injector shall be inaccessible to a child;**
 - b. A child-care center shall have at least one (1) person onsite who has received training on the administration of an epinephrine auto-injector if the child-care center maintains an epinephrine auto-injector;**
 - c. A child-care center shall seek emergency medical care for a child if an auto-injector is administered to the child; and**
 - d. A child-care center shall report to the child's parent and the cabinet in accordance with 922 KAR 2:090, Section 12(1)(b) if an epinephrine auto-injector is administered to a child; or**
 - 4. An emergency or rescue medication for a child in care, such as medication to respond to diabetic or asthmatic condition, as prescribed by the child's physician. Emergency or rescue medication shall be inaccessible to a child in care;**
 - (b) Kept in the original bottle; and**
 - (c) Properly labeled.**
- (7) Medication shall not be given to a child if the medication's expiration date has passed.**

Findings:

General: Based on observation, this regulatory requirement was not met. The Infant Room contained a bottle of Children's Pain and Fever medication and it was not labeled with a child's name.

| Inspection Report | |
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| Outdoor Play Area | Not In Compliance |
| 750 - Playground Conditions | Not In Compliance |
| 922 KAR 2:120. Section 4. Premises Requirements. (20) An outdoor play area shall be: (d) Safe from foreseeable hazard; (e) Well drained; (f) Well maintained; (g) In good repair; and (h) Visible to staff at all times. | |
| Findings: General: Based on observation, this regulatory requirement was not met. The outdoor play area contained three air conditioning condensing units that were accessible to the children. | |
| Equipment | Not In Compliance |
| 820 - Indoor/Outdoor Equipment | Not In Compliance |
| 922 KAR 2:120. Section 11. Toys and Furnishings. (2) Indoor and outdoor equipment shall: (a) Be clean, safe, and in good repair; (b) Meet the physical, developmental needs, and interests of children of different age groups; (c) Be free from sharp points or corners, splinters, protruding nails or bolts, loose or rusty parts, hazardous small parts, lead-based paint, poisonous material, and flaking or chalking paint; and (d) Be designed to guard against entrapment or situations that may cause strangulation. | |
| Findings: General: Based on observation, this regulatory requirement was not met. The following items were unclean or in disrepair: 1. The Pre-K bathroom contained a broken toilet paper dispenser on the wall. 2. The Infant Room contained a crock-pot that was dirty around the interior. A white film was observed inside the crock-pot. | |
| Transportation | Not In Compliance |
| 845 - Requirements for Transportation Services | Not In Compliance |
| 922 KAR 2:120. Section 12. Transportation. (2) A center providing or arranging transportation service shall: (a) Be licensed and approved by the cabinet or its designee prior to transporting a child; (b) Have a written plan that details the type of transportation, staff schedule, transportation schedule, and transportation route; and (c) Have written policies and procedures, including emergency procedures practiced monthly by staff who transports children. | |
| Findings: General: Based on observation and review of documentation, this regulatory requirement was not met. The facility did not have written evidence of monthly emergency vehicle evacuations conducted for June and July of 2019. | |
| 915 - Pre-trip Inspection | Not In Compliance |
| 922 KAR 2:120. Section 12. Transportation. (8) A daily inspection of the vehicle shall be performed prior to the vehicle's use and documented for: (a) Tire inflation consistent with tire manufacturer's recommended air pressure; (b) Working lights, signals, mirrors, gauges, and wiper blades; (c) Working safety restraints; (d) Adequate fuel level; and (e) Cleanliness and good repair. | |
| Findings: General: Based on observation and review of documentation, this regulatory requirement was not met. The facility did not have a daily pre-trip vehicle inspection completed for 9/30/19, to 10/04/19. | |
| Food Service/Food Program | In Compliance |
| Food Service | In Compliance |
| Children's Records | In Compliance |
| Written Documentation | Not In Compliance |
| 1170 - Professional Development | Not In Compliance |
| 922 KAR 2:090. Section 9. Records. (1) A child-care center shall maintain: (f) A written annual plan for child-care staff professional development; | |
| Findings: General: Based on observation and review of documentation, this regulatory requirement was not met. A staff member hired on 7/08/16, did not have a current professional development plan on file. The professional development plan on file was dated 8/21/17. | |

| Inspection Report | | |
|--|----------------------|-------------------|
| | Posted Documentation | Not In Compliance |
| 1210 - Disaster Course of Action | | Not In Compliance |
| <div> <div>922 KAR 2:090. Section 9. Records.</div> <div>(1) A child-care center shall maintain:</div> <div>(j) A written plan and diagram outlining the course of action in the event of a natural or manmade disaster, posted in a prominent place;</div> </div> | | |
| Findings: General: Based on observation, this regulatory requirement was not met. The facility did not have posted in a prominent place a written plan outlining the course of action in the event of a natural or man-made disaster. The facility had only posted the diagram for evacuation, and not the written plan. | | |
| | Animals | In Compliance |

Signature of Provider/Representative

Title

Date