



**CABINET FOR HEALTH AND FAMILY SERVICES
OFFICE OF INSPECTOR GENERAL**

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Inspection Report

Provider Name: Allison's Wonderland	Provider Information	CLR No: L383517
Provider Address: 3101 Richmond Road Suite 301, Lexington, KY, 40509	Provider Type: LICENSED TYPE I	Capacity: 20
Owner(s): Allison's Wonderland Llc		Director(s): Shaffer, Andrea Diane

Inspection Type: Investigation	Inspection Information	Inspection No: 245529
Date Initiated: 06/21/2018 7:50 AM	Date Concluded: 06/21/2018 5:46 PM	
	No. of Children Present: 2	

Inspection Report	
Background Checks	Not In Compliance
5 - Background check/left alone/dismissed/relocated	Not In Compliance
<p>922 KAR 2:280. Section 3. Implementation and Enforcement.</p> <p>(1) A person who is a child care staff member prior to January 1, 2018, shall submit to and complete background checks in accordance with this administrative regulation no later than September 30, 2018.</p> <p>(2) A child care staff member hired on or after January 1, 2018, shall:</p> <p>(a) Have completed the background checks required in accordance with this administrative regulation and found to have no disqualifying offense prior to becoming a child care staff member; or</p> <p>(b) 1. Have submitted to the background checks required in accordance with this administrative regulation;</p> <p>2. Not be left unsupervised with a child in care pending the completion of the background checks in accordance with this administrative regulation; and</p> <p>3. Be dismissed or relocated from the residence if the person is found to have a disqualifying background check result.</p> <p>Findings:</p> <p>General: Based on Review of Documentation and interview, a staff that did not have a hire date documented, has worked with the children on more than one (1) occasion, did not have written evidence of completing a background check. The staff member had a direct deposit payroll form in her file dated 6/06/18. The staff in charge indicated that she had not conducted any background checks on this individual. The staff in charge stated that this individual has never worked alone with the children.</p>	
Supervision	In Compliance
Staffing Requirements	In Compliance
General Administration	Not In Compliance
260 - Change of Director	Not In Compliance
<p>922 KAR 2:090. Section 12. Reports.</p> <p>(3) A licensee shall report to the cabinet within one (1) week:</p> <p>(a) Any resignation, termination, or change of director; and</p> <p>(b) The name of the acting director who satisfies the requirement of Section 10 of this administrative regulation.</p> <p>Findings:</p> <p>General: Based on Interview, the facility owner indicated that the director left employment on 5/17/18 and she moved to Ohio. The facility owner never reported to the Division of Regulated Child Care that the director is no longer employed with the daycare facility.</p>	

Inspection Report

Employee Records

Not In Compliance

385 - Personnel File

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(e) A current personnel file for each child-care center staff person to include:

- 1. Name, address, date of birth, and date of employment;
- 2. Proof of educational qualifications;
- 3. Record of annual performance evaluation;
- 4. Documentation of compliance with tuberculosis screening in accordance with Section 11(1)(b) of this administrative regulation; and
- 5. The results of background checks conducted in accordance with 922 KAR 2:280;

Findings:

General: Based on Review of Documentation and interview, a staff member had a file, but it did not include a date of employment. The staff in charge was unable to locate a written date of employment for this staff member that has worked with the children on more than one (1) occasion (based on interviews). Another staff member that was hired for the summer did not have a CAN check on file. Based on interview this teen was hired on 6/19/18. The teen was observed playing with the children, holding the children, and providing basic care for the children. During the complaint investigation this individual was under the direct supervision of another qualified staff member.

390 - Educational Requirements

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

(a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:

- 1. High school diploma;
- 2. GED or qualifying documentation from a comparable educational entity; or
- 3. Commonwealth Child Care Credential as described in 922 KAR 2:250;

Findings:

General: Based on Review of Documentation, a teenage staff member that was hired on 6/19/18 did not have proof of education on file.

395 - TB Verification

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

(b) Shall provide, prior to employment and every two (2) years thereafter:

- 1. A statement from a health professional that the individual is free of active tuberculosis; or
- 2. A copy of negative tuberculin results.

Findings:

General: Based on Review of Documentation, a teenage staff member that was hired on 6/19/18 did not have negative TB documentation on file.

Written Documentation

Not In Compliance

1155 - Policies and Procedures

Not In Compliance

922 KAR 2:090. Section 8. General.

(4) Program policies and procedures shall:

(a) Be in writing; and

(b) Include:

- 1. Staff policies;
- 2. Job descriptions;
- 3. An organization chart;
- 4. Chain of command; and
- 5. Other procedures necessary to ensure implementation of:
 - a. KRS 199.898, Rights for children in child-care programs and their parents, custodians, or guardians - posting and distribution requirements;
 - b. 922 KAR 2:120, Child-care center health and safety standards;
 - c. 922 KAR 2:280, Background checks for child care staff members, reporting requirements, and appeals; and
 - d. This administrative regulation.

Findings:

General: Based on Interview and a review of documentation, a parent of toddler voiced some concerns in regards to the care of her child and the parent was texted by the facility owner on 6/21/18 stating, "after careful consideration, effective immediately, we will no longer be able to provide daycare service for your child". This is against the children and parents rights KRS 199.898 that states parents, custodians, or guardians of children shall have the right to file a complaint against a child-care provider without any retribution against the parent, custodian, or child.

Signature of Provider/Representative

Title

Date