



**CABINET FOR HEALTH AND FAMILY SERVICES
OFFICE OF INSPECTOR GENERAL**

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Inspection Report

Provider Name: Hicks, Sheila Rose	Provider Information	CLR No: C70957
Provider Address: 2450 Plum Run Road, Bardstown, KY, 40004	Provider Type: CERTIFIED	Capacity: 6

Inspection Type: Renewal Application	Inspection Information	Inspection No: 292134
Date Initiated: 12/20/2019 9:00 AM	Date Concluded: 02/04/2020 11:53 AM	
No. of Children Enrolled: 4	No. of Children Present: 4	

Inspection Report	
Background Checks	In Compliance
Supervision	Not In Compliance
95 - General Supervision	Not In Compliance
922 KAR 2:100 - Section 12. Care Requirements for a Provider. (11) A child who does not sleep shall be permitted to play quietly and be visually supervised.	
Findings: General: Based on observation and interview, the family child care home provider failed to provide adequate supervision of a child who played in the child space area of the home. The provider remained in the kitchen during the inspection, leaving the child unsupervised. Interview with the provider revealed the child was three (3) years old.	
General Administration	In Compliance
Provider Requirements	Not In Compliance
235 - Parent/Family Activity	Not In Compliance
922 KAR 2:100 - Section 19. Certified Family Child-Care Home Program. The certified family child-care home provider shall: (8) Coordinate at least one (1) annual activity involving parental or family participation;	
Findings: General: Based on interview and review of documentation, the family child care home failed to maintain provider records in accordance with regulatory requirements. Upon request, the provider failed to verify that she conducted at least one (1) annual activity involving parental or family participation.	
Provider Records	Not In Compliance
265 - Provider Training Requirements	Not In Compliance
922 KAR 2:100 - Section 10. Standards for the Provider. (1)(a) A provider shall complete annually at least nine (9) hours of cabinet-approved early care and education training beginning with the second year of operation, including one and one-half (1½) hours of cabinet-approved pediatric abusive head trauma training in accordance with KRS 199.8982(2): 1. Within the second year of employment or operation in child care; and 2. Every subsequent five (5) years of employment or operation in child care.	
Findings: General: Based on interview and review of documentation, the family child care home provider failed to assure compliance with administrative regulations with regard to training requirements. Upon request, the provider failed to provide documented evidence that she completed nine (9) hours of cabinet approved early care and education training during the review period.	

Inspection Report		
Programming		Not In Compliance
285 - Television/Video Viewing		Not In Compliance
922 KAR 2:100 - Section 12. Care Requirements for a Provider. (3) Television or video viewing by a child shall be limited to: (a) Two (2) hours daily; (b) The planned program activities; and (c) Developmentally appropriate child-related content, as designated by standardized content guidelines.		
Findings: General: Based on observation, interview and review of documentation, the family child care home provider failed to maintain programming in accordance with regulatory requirements, i.e. children were observed watching television during the inspection, however, the provider failed to provide documented evidence that the viewing was part of planned activities for the children.		
Premises		Not In Compliance
345 - Harmful Items Inaccessible		Not In Compliance
922 KAR 2:100 - Section 11. The General Requirements of the Family Child-Care Home Environment. (3) The areas of the home that are accessible to children in care shall be free from items harmful to children including the following items: (a) Cleaning supplies, poisons, paints, and insecticides; (b) Knives, scissors, and sharp objects; (c) Power tools, lawn mowers, hand tools, nails, and other equipment; (d) Matches, cigarettes, lighters, combustibles, and flammable liquids; (e) Plastic bags; and (f) Litter and rubbish.		
Findings: General: Based on observation and interview, the family child care home provider failed to keep harmful items inaccessible to children in care. Observation of the bathroom revealed nail polish remover and self care items which indicated "Keep Out of Reach of Children." The cabinet was unlocked and contained additional chemical such as, Febreze, toilet brush, and other liquid chemicals. In addition, a curling iron was plugged into the wall socket and was in accessible reach of children. Interview with the provider revealed the children cared for, used the bathroom for toileting purposes.		
360 - Fan/Furnace/Heater/Fireplace		Not In Compliance
922 KAR 2:100 - Section 11. The General Requirements of the Family Child-Care Home Environment. (7) An electric fan, floor furnace, or freestanding heater or fireplace shall: (a) Be out of the reach of a child; or (b) Have a safety guard to protect a child from injury.		
Findings: General: Based on observation and interview, the family child care home provider failed to protect a child at play in the child play area in accordance with regulatory requirements. A space heater was plugged up and heat came from the equipment. The equipment was in accessible reach of the child at play. The child was also left unsupervised in the area where the heater was located. Interview with the provider revealed the child was three (3) years old.		
390 - Condition of Home		Not In Compliance
922 KAR 2:100 - Section 11. The General Requirements of the Family Child-Care Home Environment. (21) A family child-care home shall: (a) Be clean; (b) Be uncluttered; (c) Be free of insects and rodents;		
Findings: General: Based on observation, the family child care home failed to maintain premises in accordance with regulatory requirements. Observation of the family child care home on 12/20/19, revealed clutter in the dining area next to the kitchen, in addition to the kitchen. Interview with the provider revealed children were supposed to be present on the date of the visit, 12/20/19, however, they were "no shows" and the area of the home identified was sometimes used by the children in care. Additionally on 02/04/20, clutter was observed in the same area of the kitchen/dining area. Children were also present.		
Hygienic Practices		In Compliance
First Aid/Medication		In Compliance
Outdoor Play Area		In Compliance
Equipment		In Compliance
Transportation		Not Applicable
Food Service/Food Program		In Compliance
Food Service		In Compliance
Children's Records		In Compliance

Inspection Report	
Written Documentation	Not In Compliance
750 - Liability insurance	Not In Compliance
922 KAR 2:100 - Section 2. Certification Process. (2) An applicant for certification shall: (b) Obtain commercial liability insurance of at least \$50,000 per occurrence; and	
Findings: General: Based on interview and review of documentation, the family child care home failed to maintain written documentation in accordance with regulatory requirements. Upon request, the provider failed to provide documented evidence of commercial liability insurance to include at least \$50,000 per occurrence. The documentation provided had expired on 11/28/19.	
765 - Fire Drill Requirements	Not In Compliance
922 KAR 2:100 - Section 11. The General Requirements of the Family Child-Care Home Environment. (18) A fire drill shall be conducted during hours of operation: (a) At least monthly; and (b) Documented.	
Findings: General: Based on interview and review of documentation, the family child care home failed to maintain written documentation in accordance with regulatory requirements. Upon request, the provider failed to provide documented evidence that she conducted monthly fire drills during the review period.	
770 - Earthquake Drill and Tornado Drill Requirements	Not In Compliance
922 KAR 2:100 - Section 11. The General Requirements of the Family Child-Care Home Environment. (20) An earthquake drill and a tornado drill shall be: (a) conducted during hours of operation at least quarterly; and (b) Documented.	
Findings: General: Based on interview and review of documentation, the family child care home failed to maintain written documentation in accordance with regulatory requirements. Upon request, the provider failed to provide documented evidence that she conducted quarterly tornado/earthquake drills during the review period.	
795 - Evacuation Plan Records	Not In Compliance
922 KAR 2:100 - Section 18. Records. (7)(a) A certified family child-care home provider shall have a written evacuation plan in the event of fire, natural disaster, or other threatening situation that may pose a health or safety hazard to a child in care in accordance with KRS 199.895.	
Findings: General: Based on interview and review of documentation, the family child care home failed to maintain written documentation in accordance with regulatory requirements. Upon request, the provider failed to provide documented evidence of an emergency preparedness plan updated and/or submitted to the local emergency management during the review period.	
Posted/Available Documentation	
Posted/Available Documentation	Not In Compliance
820 - Diapering/Handwashing Procedures	Not In Compliance
922 KAR 2:100 - Section 13. Toilet and Diapering Requirements. (8) The proper methods of diapering and hand-washing shall be available at each diaper changing area.	
Findings: General: Based on interview and review of documentation, the family child care home failed to maintain posted documentation in accordance with regulatory requirements. Review of posted documentation in the diaper changing area revealed the diapering and handwashing procedures were not posted.	
825 - Posted Documentation	Not In Compliance
922 KAR 2:100 - Section 19. Certified Family Child-Care Home Program. The certified family child-care home provider shall: (7) Make available to a parent upon request: (a) The staff to child ratios described in Section 10 of this administrative regulation; (b) The planned program of activities; (c) Each statement of deficiency issued by the cabinet during the current certification period; (d) Each plan of correction submitted by the certified family child-care home to the cabinet during the current certification period; and (e) Daily schedule including any trips outside the family child-care home;	
Findings: General: Based on interview and review of documentation, the family child care home failed to maintain posted documentation in accordance with regulatory requirements. Review of posted documentation revealed a statement of deficiency (SOD) and plan of correction (POC) for December 1, 2017. Upon request, the provider failed to provide documented evidence of the SOD/POC for the review period 2018/2019.	
Animals	
Posted Requirements	Not Applicable
In Compliance	

Signature of
Provider/Representative

Title

Date