



**CABINET FOR HEALTH AND FAMILY SERVICES
OFFICE OF INSPECTOR GENERAL**

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Inspection Report

Provider Name: Hicks, Sheila Rose	Provider Information	CLR No: C70957
Provider Address: 2450 Plum Run Road, Bardstown, KY, 40004	Provider Type: CERTIFIED	Capacity: 6

Inspection Type: Annual Inspection	Inspection Information	Inspection No: 247502
Date Initiated: 12/04/2018 1:30 PM	Date Concluded: 12/04/2018 2:40 PM	
No. of Children Enrolled: 6	No. of Children Present: 6	

Inspection Report	
Background Checks	Not In Compliance
5 - Background check/left alone/dismissed/relocated	
Not In Compliance	
<p>922 KAR 2:280 - Section 3. Implementation and Enforcement.</p> <p>(1) A person who is a child care staff member prior to January 1, 2018, shall submit to and complete background checks in accordance with this administrative regulation no later than September 30, 2018.</p> <p>(2) A child care staff member hired on or after April 1, 2018, shall:</p> <p>(a) Have completed the background checks required in accordance with this administrative regulation and been found to have no disqualifying offense prior to becoming a child care staff member; or</p> <p>(b) 1. Have submitted to the background checks required in accordance with this administrative regulation;</p> <p>2. Not be left unsupervised with a child in care pending the completion of the background checks in accordance with this administrative regulation; and</p> <p>3. Be dismissed or relocated from the residence if the person is found to have a disqualifying background check result.</p>	
<p>Findings:</p> <p>General: Based on review of documentation and interview, the family child care home failed to comply with regulatory requirements regarding background checks. Upon request, the provider did not present verification that fingerprint background checks had been initiated for herself or the adult in the home. Review of the KARES database revealed that the background checks had not been initiated. The provider acknowledged that the background checks had not been initiated, and stated that she was not aware that they needed to be initiated by 09/30/18.</p>	
Supervision	In Compliance
General Administration	Not In Compliance
110 - Written Plan of Correction	
Not In Compliance	
<p>922 KAR 2:100 - Section 4. Statement of Deficiency and Corrective Action Plans.</p> <p>(2) Except for a violation posing an immediate threat, a family child-care home shall submit a written corrective action plan to the cabinet or its designee within ten (10) calendar days from receipt of the statement of deficiency to eliminate or correct the regulatory violation.</p>	
<p>Findings:</p> <p>A PLAN OF CORRECTION WAS DUE ON 12/26/2018 AND AS OF 02/12/2019, THE PLAN OF CORRECTION HAS NOT BEEN RECEIVED.</p>	
<p>Findings:</p> <p>A PLAN OF CORRECTION WAS DUE ON 03/19/2019 AND AS OF 04/27/2019, THE PLAN OF CORRECTION HAS NOT BEEN RECEIVED.</p>	

Inspection Report

160 - Health, safety and comfort

Not In Compliance

922 KAR 2:100 - Section 12. Care Requirements for a Provider.

(1) A provider shall ensure the health, safety, and comfort of each child.

Findings:

General: Based on observation, the child care family home failed to comply with regulatory requirements. Observation of the bathroom revealed a toilet brush was located next to the toilet, and accessible to the children in care.

Provider Requirements

In Compliance

Provider Records

Not In Compliance

240 - Provider CPR/First Aid

Not In Compliance

922 KAR 2:100 - Section 2. Certification Process.

(4) An applicant shall be currently certified by an agency approved in accordance with 922 KAR 2:240 in infant and child:

(a) Cardiopulmonary resuscitation (CPR); and

(b) First aid.

Findings:

General: Based on review of documentation and interview, the family child care home provider failed to assure compliance with regulatory requirements. Upon request, the provider did not present verification that she was currently certified in infant and child cardiopulmonary resuscitation (CPR) and first aid. The provider acknowledged that her previous certification had expired.

Programming

In Compliance

Premises

In Compliance

Hygienic Practices

In Compliance

First Aid/Medication

In Compliance

Outdoor Play Area

In Compliance

Equipment

In Compliance

Transportation

Not Applicable

Food Service/Food Program

In Compliance

Food Service

In Compliance

Children's Records

Not In Compliance

730 - Immunization

Not In Compliance

922 KAR 2:100 - Section 18. Records.

(1) A provider shall maintain:

(a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;

Findings:

General: Based on review of documentation and interview, the family child care home failed to maintain children's records in accordance with regulatory requirements. Upon request, the provider did not present verification that the files for children with enrollment dates of 05/25/18 and 08/13/18 contained a current immunization certificate.

735 - Children's information

Not In Compliance

922 KAR 2:100 - Section 18. Records.

(1) A provider shall maintain:

(b) A written record for each child:

1. Completed and signed by the child's parent;

2. Retained on file on the first day the child attends the family child-care home; and

3. To contain:

a. Identifying information about the child, which includes, at minimum, the child's name, address, and date of birth;

b. Contact information to enable the provider to contact the child's:

(i) Parent at the parent's home or place of employment;

(ii) Family physician; and

(iii) Preferred hospital;

c. The name of each person who is designated in writing to pick-up the child;

d. The child's general health status and medical history including, if applicable:

(i) Allergies;

(ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and

(iii) Permission from the parent for third-party professional services in the family child-care home;

e. The name and phone number of each person to be contacted in an emergency situation involving or impacting the child;

f. Authorization by the parent for the provider to seek emergency medical care for the child in the parent's absence; and

Findings:

General: Based on review of documentation and interview, the family child care home failed to maintain children's records in accordance with regulatory requirements. Upon request, the provider did not present verification that the file for a child with an enrollment date of 08/13/18 contained a preferred hospital.

Written Documentation

In Compliance

Posted/Available Documentation

In Compliance

Inspection Report		
Animals		Not Applicable
Posted Requirements		In Compliance

Signature of
Provider/Representative

Title

Date