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Inspection Report

Provider Name: Small Wonders Child Development Center, LLC	Provider Information Provider Type: LICENSED TYPE I	CLR No: L383429
Provider Address: 1905 Cumberland Avenue, Middlesboro, KY, 40965		Capacity: 40
Owner(s): Small Wonders Child Development Center, Llc		Director(s): Hall, Tiffany Nicole

Inspection Type: Investigation	Inspection Information	Inspection No: 318850
Date Initiated: 09/08/2021 10:00 AM	Date Concluded: 10/04/2021 12:00 PM	
	No. of Children Present: 20	

Inspection Report	
Background Checks	Not In Compliance
5 - Background check/left alone/dismissed/relocated	Not In Compliance
<p>922 KAR 2:280. Section 3. Implementation and Enforcement.</p> <p>(1) A person who is a child care staff member prior to January 1, 2018, shall submit to and complete background checks in accordance with this administrative regulation no later than September 30, 2018.</p> <p>(2) A child care staff member hired on or after April 1, 2018, shall:</p> <p>(a) Have completed the background checks required in accordance with this administrative regulation and been found to have no disqualifying offense prior to becoming a child care staff member; or</p> <p>(b) 1. Have submitted to the background checks required in accordance with this administrative regulation;</p> <p>2. Not be left unsupervised with a child in care pending the completion of the background checks in accordance with this administrative regulation; and</p> <p>3. Be dismissed or relocated from the residence if the person is found to have a disqualifying background check result.</p>	
Findings:	
<p>General: Based on review of documentation, the surveyor found that a staff's (DOH: 09/02/21) file did not contain documentation of background checks submitted through the Kentucky National Background Check Service. Based on review of the Kentucky National Background Check Service, the staff person was listed as 'in process' and had not submitted to fingerprints. During interview, staff-in-charge confirmed that the staff person had not submitted to fingerprints as of 09/08/21; therefore, the staff person was hired prior to clearance for employment. Staff-in-charge stated that she was unaware that the staff person had to submit to fingerprints prior to employment. Through interview with staff, the surveyor learned that the staff person has worked alone with children. The surveyor did not observe the staff person to work alone with children during the visit on 09/08/21. Staff-in-charge stated that the staff person did not have a completed Child Abuse/Neglect Background Check or completed Criminal Records Background Check on file.</p>	
Supervision	In Compliance
Staffing Requirements	In Compliance

Inspection Report

General Administration

Not In Compliance

225 - Licensee Responsibility

Not In Compliance

922 KAR 2:090. Section 8. General.

(1) A licensee shall:

- (a) Be responsible for the operation of the child-care center pursuant to this administrative regulation, 922 KAR 2:120, and 922 KAR 2:280; and**
- (b) Protect and assure the health, safety, and comfort of each child.**

Findings:

General: Based on review of documentation, the surveyor found that a staff's (DOH: 05/14/21) file did not contain a completed Criminal Records Background Check (CRC). The staff's file contained a completed Child Abuse/Neglect Background Check only dated 05/20/21. The staff's file contained a receipt showing payment for the CRC. During interview, staff-in-charge stated that the CRC was completed for the staff person; however, she was unable to locate the documentation. Staff-in-charge stated that the staff person did not work alone with children. Based on review of the Kentucky National Background Check Service, the staff person was fingerprinted on 08/09/21 and had an eligible for hire date of 08/09/21.

Director Requirements

Not In Compliance

370 - Caregiver Alone

Not In Compliance

922 KAR 2:090. Section 10. Director Requirements and Responsibilities.

(1) A director shall:

- (m) Assure that a person acting as a caregiver of a child in care shall not be left alone with a child, if the licensee has not received the results of the background checks as described in 922 KAR 2:280;**

Findings:

General: Based on review of documentation, the surveyor found that a staff's (DOH: 09/02/21) file did not contain documentation of background checks submitted through the Kentucky National Background Check Service. Based on review of the Kentucky National Background Check Service, the staff person was listed as 'in process' and had not submitted to fingerprints. During interview, staff-in-charge confirmed that the staff person had not submitted to fingerprints as of 09/08/21; therefore, the staff person was hired prior to clearance for employment. Staff-in-charge stated that she was unaware that the staff person had to submit to fingerprints prior to employment. Through interview with staff, the surveyor learned that the staff person has worked alone with children. The surveyor did not observe the staff person to work alone with children during the visit on 09/08/21. Staff-in-charge stated that the staff person did not have a completed Child Abuse/Neglect Background Check or completed Criminal Records Background Check on file.

Employee Records

Not In Compliance

405 - TB Verification

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

- (b) Shall provide, prior to employment and every two (2) years thereafter:**
 - 1. A statement from a health professional that the individual is free of active tuberculosis; or**
 - 2. A copy of negative tuberculin results.**

Findings:

General: Based on review of documentation, the surveyor found that a staff's (DOH: 09/08/21) file did not contain documentation of a current TB skin test or a statement from a health professional stating that the adult was free from active tuberculosis. During interview, staff-in-charge stated that the staff person has had a TB skin test; however, she was unable to locate the documentation during the surveyor's visit.

435 - Training

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

- (16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:**
 - (a) Six (6) hours of cabinet-approved orientation completed within the first three (3) months of employment in a child-care program;**
 - (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment in a child care program, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and**
 - (c) Fifteen (15) hours of cabinet-approved early care and education training completed between July 1 and the following June 30 of each subsequent year of employment in a child care program, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.**
- (17) A staff person's compliance with training requirements of this section shall be verified through the cabinet-designated database maintained pursuant to 922 KAR 2:240.**

Findings:

General: Based on review of documentation and ECE-TRIS, the surveyor found the following:

- 1. A staff's (DOH: 05/14/21) file did not contain documentation of completion of six (6) hours of cabinet-approved orientation training; therefore, the training was not completed within the first three (3) months of employment.
- 2. A staff's (DOH: 01/14/21) file did not contain documentation of completion of six (6) hours of cabinet-approved orientation training; therefore, the training was not completed within the first three (3) months of employment.

First Aid/Medication

In Compliance

Food Service

In Compliance

1245 - Immunization

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;

Findings:

General: Based on review of documentation, the surveyor found the following:

1. A child's (DOE: 06/01/21) file contained an immunization certificate that was no longer current as of 09/01/21.
2. A child's (DOE: 03/03/20) file contained an immunization certificate that was no longer current as of 03/29/21.
3. A child's (DOE: 08/11/21) file did not contain an immunization certificate.
4. A child's (DOE: 08/16/21) file did not contain an immunization certificate.
5. A child's (DOE: 08/09/21) file did not contain an immunization certificate.

1250 - Enrollment Information

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(b) A written record for each child:

- 1. Completed and signed by the child's parent;**
- 2. Retained on file on the first day the child attends the child-care center; and**
- 3. To contain:**
 - a. Identifying information about the child, which includes, at a minimum, the child's name, address, and date of birth;**
 - b. Contact information to enable a person in charge to contact the child's:**
 - (i) Parent at the parent's home or place of employment;**
 - (ii) Family physician; and**
 - (iii) Preferred hospital;**
 - c. The name of each person who is designated in writing to pick-up the child;**
 - d. The child's general health status and medical history including, if applicable:**
 - (i) Allergies;**
 - (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and**
 - (iii) Permission from the parent for third-party professional services in the child-care center;**
 - e. The name and phone number of each person to be contacted in an emergency involving or impacting the child;**
 - f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;**

Findings:

General: Based on review of documentation, the surveyor found the following:

1. A child's (DOE: 08/11/21) file did not contain a contact telephone number for the child's preferred hospital.
2. A child's (DOE: 08/16/21) file did not contain a contact telephone number for the child's preferred hospital.
3. A child's (DOE: 08/05/21) file did not contain the name of each person who is designated in writing to pick-up the child.
4. A child's (DOE: 06/01/21) file did not contain the name of each person who is designated in writing to pick-up the child or the contact telephone number for the child's preferred hospital.
5. A child's (DOE: 06/01/21) file did not contain the name of each person who is designated in writing to pick-up the child or the contact telephone number for the child's preferred hospital.
6. A child's (DOE: 01/04/20) file did not contain the name of each person who is designated in writing to pick-up the child, the preferred hospital name, or contact telephone numbers for the preferred hospital and family physician.

1270 - Daily Attendance Records

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(c) Daily attendance records documenting the arrival and departure time of each child, including records that are required in accordance with 922 KAR 2:160, Section 13, if a child receives services from the child-care center through the Child Care Assistance Program;

Findings:

General: Based on review of documentation, the surveyor found the following:

1. The daily attendance records for the week of 08/16/21 - 08/20/21 contained a total of twenty-six (26) children that did not have a 'time out.' The daily attendance records were recorded on a plain sheet of paper and did not contain a parent or the parent's designated persons signature at the end of each week. The daily attendance records did not contain the child-care employees initials daily for the children that arrive/depart by bus.
2. The daily attendance records for the week of 08/23/21 - 08/27/21 contained five (5) children that did not have a 'time in' and twenty (20) children that did not have a 'time out.' The daily attendance records were recorded on a plain sheet of paper and did not contain a parent or the parent's designated persons signature at the end of each week. The daily attendance records did not contain the child-care employees initials daily for the children that arrive/depart by bus.
3. The daily attendance records for the week of 08/30/21 - 09/03/21 contained twenty-three (23) children that did not have a 'time out.' The daily attendance records were recorded on a plain sheet of paper on 08/30/21 and 08/31/21. The daily attendance records contained one (1) parent signature at the end of the week.
4. The daily attendance records for 09/06/2021 and 09/07/21 contained one (1) child that did not have a 'time out.'
5. Twenty (20) children were present at the child-care center on 09/08/21; however, based on review of documentation, sixteen (16) children were signed in on the daily attendance records.

During interview, staff-in-charge stated that the daily attendance records for 08/16/21 - 08/20/21, 08/23/21 - 08/27/21, 08/30/21 and 08/31/21 were recorded on plain sheets of white paper and she was planning on transferring those records over onto a DCC-94E form. Staff-in-charge showed the surveyor the DCC-94E form that she had paper clipped to the daily attendance records that were recorded on a plain sheet of white paper that she was planning on using to transfer the records over onto herself. Staff-in-charge stated that her Director recently passed away and she has also been working on a new building that the child-care center will be moving into soon. Staff-in-charge stated that some of the children that were not signed in on 09/08/21 were missing attendance records.

Signature of Provider/Representative

Title

Date