



Andy Beshear
GOVERNOR

CABINET FOR HEALTH AND FAMILY SERVICES
OFFICE OF INSPECTOR GENERAL

Eric Friedlander
SECRETARY

Melissa A. Moore, Director
Division of Regulated Child Care
Southern Branch
116 Commerce Ave
London, KY 40744

Adam Mather
INSPECTOR GENERAL

Phone: (606) 330-2030 Fax: (606) 330-2056
<https://chfs.ky.gov/agencies/os/oig>

Inspection Report

Provider Name: Small Wonders Child Development Center, LLC	Provider Information Provider Type: LICENSED TYPE I	CLR No: L383429
Provider Address: 1905 Cumberland Avenue, Middlesboro, KY, 40965		Capacity: 36
Owner(s): Small Wonders Child Development Center, Llc		Director(s): Hall, Tiffany Nicole

Inspection Type: Renewal Application	Inspection Information	Inspection No: 291873
Date Initiated: 01/24/2020 2:10 PM	Date Concluded: 01/24/2020 4:05 PM	
	No. of Children Present: 39	

Inspection Report	
Background Checks	In Compliance
Supervision	Not In Compliance
90 - Children Supervised	Not In Compliance
922 KAR 2:120. Section 2. Child Care Services. (3)(a) Each center shall maintain a child-care program that assures each child will be: 1. Provided with adequate supervision at all times by a qualified staff person who ensures the child is: a. Within scope of vision and range of voice; or b. For a school-age child, within scope of vision or range of voice;	
Findings:	
General: Based on observation, the surveyor found the following:	
1. Upon arrival to the childcare center, the surveyor observed that a staff person and a child left the Infant/Toddler Room and walked through the School-age Room to open the entry door. The surveyor went to the classroom and observed that the staff person had left six (6) infant and toddler-age children unsupervised for three to five (3-5) minutes when opening the door.	
2. The surveyor found that one (1) staff person and one (1) child were in the Sensory Room. The surveyor observed the staff person using her cell phone; therefore, the staff was not properly supervising the child.	
3. The surveyor found that one (1) staff person and one (1) child were in the Sensory Room. The classroom was so dark that the staff person could not see the child.	

125 - Ratios and Group Size

Not In Compliance

922 KAR 2:120. Section 2. Child Care Services.

(2) For an operating child-care center, minimum staff-to-child ratios and group size shall be maintained as established in the table established in this subsection.

Age of Children Ratio Maximum Group Size*

- Infant**
1 staff for 5 children 10
- Toddler 12 to 24 months**
1 staff for 6 children 12
- Toddler 24 to 36 months**
1 staff for 10 children 20
- Preschool-age 3 to 4 years**
1 staff for 12 children 24
- Preschool-age 4 to 5 years**
1 staff for 14 children 28
- School-age 5 to 7 years**
1 staff for 15 children 30
- School-age 7 and older**
1 staff for 25 children
(for before and after school) 30
- 1 staff for 20 children
(full day of care) 30

*Maximum Group Size shall be applicable only to Type I child-care centers.

(b) The age of the youngest child in the group shall determine the:

- 1. Staff-to-child ratio; and**
- 2. Maximum group size.**

Findings:

General: Based on observation during a tour of the Infant/Toddler Room, the surveyor observed that one (1) staff person was providing care for seven (7) children. The age of the youngest child was five (5) months. Two (2) children exceeded the staff-to-child ratio.

General Administration

In Compliance

Director Requirements

Not In Compliance

350 - Health, Safety, Comfort

Not In Compliance

922 KAR 2:090. Section 10. Director Requirements and Responsibilities.

(1) A director shall:

- (l) Assure the health, safety, and comfort of each child;**

Findings:

General: Based on observation, the surveyor found the following:

1. The surveyor observed an approximate five (5) foot area of a black mold-type substance along the wall in a utility closet. The closet door was unlocked and accessible to the children.
2. The surveyor observed a piece of sheet rock on the floor in an empty classroom. Nails protruded upward out of the sheetrock. The classroom door was unlocked; therefore, the nails were accessible and presented a safety risk to the children.
3. The surveyor found that a staff person ate food from McDonald's in front of the infant and toddler-age children.
4. The surveyor observed metal shelving propped against the wall in the hallway near the restrooms. The shelving was accessible to the children.
5. During a tour of the Sensory Room, the surveyor observed a child's mat on the floor in the closet. The mat was located under a closet shelf that was approximately three and one-half (3 1/2) feet from the floor. An uncovered electrical outlet was on the wall near the mat and was accessible to the children.

Employee Records

Not In Compliance

385 - Personnel File

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(e) A current personnel file for each child-care center staff person to include:

- 1. Name, address, date of birth, and date of employment;**
- 2. Proof of educational qualifications;**
- 3. Record of annual performance evaluation;**
- 4. Documentation of compliance with tuberculosis screening in accordance with Section 11(1)(b) of this administrative regulation; and**
- 5. The results of background checks conducted in accordance with 922 KAR 2:280;**

Findings:

General: Based on review of documentation and interview with a staff person, the surveyor learned that five (5) staff files and one (1) volunteer's file were unavailable for review. The staff person stated that the files had been taken home; therefore, were unavailable.

390 - Educational Requirements

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

(a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:

- 1. High school diploma;**
- 2. GED or qualifying documentation from a comparable educational entity; or**
- 3. Commonwealth Child Care Credential as described in 922 KAR 2:250;**

Findings:

General: Based on review of documentation, the surveyor found the following:

1. The surveyor found no verification of a staff's (DOH: 1/24/2020) High School Diploma, GED or Commonwealth Child Care Credential.
2. The surveyor found no verification of a staff's (DOH: 1/21/2020) High School Diploma, GED or Commonwealth Child Care Credential.
3. The surveyor found no verification of a staff's (DOH: 12/11/19) High School Diploma, GED or Commonwealth Child Care Credential.
4. The surveyor found no verification of a staff's (DOH: 1/6/2020) High School Diploma, GED or Commonwealth Child Care Credential.
5. The surveyor found no verification of a staff (DOH: 9/25/19) High School Diploma, GED or Commonwealth Child Care Credential.
6. The surveyor found no verification to a staff's (DOH: 11/15/19) High School Diploma, GED or Commonwealth Child Care Credential.
7. The surveyor found no verification of a staff's (DOH: 1/21/2020) High School Diploma, GED or Commonwealth Child Care Credential.

395 - TB Verification

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

(b) Shall provide, prior to employment and every two (2) years thereafter:

- 1. A statement from a health professional that the individual is free of active tuberculosis; or**
- 2. A copy of negative tuberculin results.**

Findings:

General: Based on review of documentation, the surveyor found the following:

1. The surveyor found no verification that a staff (DOH: 1/24/2020) has obtained a copy of a negative tuberculin result or a statement from a health professional that the individual is free of active tuberculosis.
2. The surveyor found no verification that a staff (DOH: 12/11/19) has obtained a copy of a negative tuberculin result or a statement from a health professional that the individual is free of active tuberculosis.
3. The surveyor found no verification that a staff (DOH: 1/6/2020) has obtained a copy of a negative tuberculin result or a statement from a health professional that the individual is free of active tuberculosis.
4. The surveyor found no verification that a staff (DOH: 9/25/19) has obtained a copy of a negative tuberculin result or a statement from a health professional that the individual is free of active tuberculosis.
5. The surveyor found no verification that a staff (DOH: 11/15/19) has obtained a copy of a negative tuberculin result or a statement from a health professional that the individual is free of active tuberculosis.
6. The surveyor found no verification that a staff (DOH: 1/21/2020) has obtained a copy of a negative tuberculin result or a statement from a health professional that the individual is free of active tuberculosis.

400 - CPR/First Aid Coverage

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(3) For a child-care center licensed for infant, toddler, or preschool-age children, at least one (1) person on duty and present with the children shall be currently certified by a cabinet-approved training agency in the following skills:

- (a) Infant and child cardiopulmonary resuscitation; and**
- (b) Infant and child first aid.**

(4) For a child-care center licensed for school-age children, at least one (1) person on duty and present with the children shall be currently certified by a cabinet-approved training agency in the following skills:

- (a) Adult cardiopulmonary resuscitation; and**
- (b) First aid.**

Findings:

General: Based on review of documentation, upon arrival to the childcare center, the surveyor found that no staff person with current first aid and CPR was present. Through interview, the surveyor learned that staff are current in first aid and CPR; however, no written documentation for verification was available for the surveyor's review.

405 - Adequate Substitute(s)

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

- (6) Child-care centers shall have available in case of need:**
 - (a) One (1) qualified substitute staff person for a Type II child-care center; or**
 - (b) Two (2) qualified substitute staff persons for a Type I child-care center.**
- (7) Each qualified substitute staff person shall:**
 - (a) Meet the staff requirements of this administrative regulation; and**
 - (b) Provide the required documentation to verify compliance with this administrative regulation.**

Findings:

General: Based on review of documentation and ECE-TRIS, the surveyor found that the childcare center does not have two (2) qualified staff in the case of need. The two (2) individuals identified as substitute staff do not meet qualification based on lack of verification of education and copy of a negative tuberculin result or statement from a health professional that the individual is free of active tuberculosis.

410 - Training

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

- (16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:**
 - (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;**
 - (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and**
 - (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.**

Findings:

General: Based on review of documentation and ECE-TRIS, the surveyor found that a staff (DOH: 10/13/19) completed six (6) hours of cabinet-approved orientation training on 1/16/2020; thus, not within the first three (3) months of hire.

Programming

Not In Compliance

420 - Program of Activities Followed

Not In Compliance

922 KAR 2:120. Section 2. Child Care Services.

- (4) The child-care center shall provide a daily planned program:**
 - (a) Posted in writing in a conspicuous location with each age group and followed;**
 - (b) Of activities that are individualized and developmentally appropriate for each child served;**
 - (c) That provides experience to promote the individual child's physical, emotional, social, and intellectual growth and well-being; and**
 - (d) Unless the child-care center is a before- or after-school program that operates part day or less, that offers a variety of creative activities including:**
 - 1. Art or music;**
 - 2. Math or numbers;**
 - 3. Dramatic play;**
 - 4. Stories and books;**
 - 5. Science or nature;**
 - 6. Block building or stacking;**
 - 7. Tactile or sensory activity;**
 - 8. Multi-cultural exposure;**
 - 9. Indoor or outdoor play in which a child makes use of both small and large muscles;**
 - 10. A balance of active and quiet play, including group and individual activity;**
 - 11. An opportunity for a child to:**
 - a. Have some free choice of activities;**
 - b. If desired, play apart from the group at times;**

Findings:

General: Based on observation from 2:10 p.m. until 4:05 p.m., the surveyor observed that staff provided no programming activities with the children.

480 - Infant/Toddler Combined with Older Children

Not In Compliance

922 KAR 2:120. Section 5. Infant and Toddler Play Requirements.

- (2) Except in accordance with subsection (3) of this section or Section 2(2)(c) of this administrative regulation, an infant or toddler under twenty-four (24) months of age shall not participate in an activity with an older child for no more than one (1) hour per day.**
- (3) If a toddler is developmentally appropriate for a transition to a preschool age group, a toddler may participate in an activity with an older child for more than one (1) hour per day if:**
 - (a) Space for the toddler is available in the preschool-age group;**
 - (b) The staff-to-child ratios and group sizes are maintained based on the age of the youngest child;**
 - (c) The center has a procedure for listing a transitioning toddler on attendance records, including a specific day and time the toddler is with either age group; and**
 - (d) The child care center has obtained the signature and approval of the toddler's parent on the toddler's transition plan.**

Findings:

General: Based on observation, the surveyor found that staff were providing care for four (4) two-year-old children along with three to five-year-old children. Staff confirmed that the children were in the mixed-age group for the entirety of the day. Through interview, the surveyor learned that the four (4) two-year-old children do not have transition plans.

Inspection Report

Premises

Not In Compliance

520 - Inaccessible Items

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

- (7) The following shall be inaccessible to a child in care:
 - (a) Toxic cleaning supplies, poisons, and insecticides;
 - (b) Matches, cigarettes, lighters, and flammable liquids; and
 - (c) Personal belongings and medications of staff.

Findings:

General: Based on observation, the surveyor found the following:

1. The surveyor observed bleach, Clorox Cleaner and toilet bowl cleaner in an unlocked cabinet located in the kitchen. The kitchen door was standing open; therefore, the chemicals were accessible to the children.
2. The surveyor observed Ajax, toilet bowl cleaner, bathroom cleaner, insecticide, plunger and toilet brush and holder, spray paint and gallons of paint in an unlocked utility closet. The items were accessible to the children.
3. The surveyor observed paint, carpet cleaner, laundry detergent and furniture polish in an unlocked laundry room. The laundry room door was open; therefore, the chemicals were accessible to the children.

540 - Premises Requirements

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

- (1) The premises shall be:
 - (a) Suitable for the purpose intended;
 - (b) Kept clean and in good repair;

Findings:

General: Based on observation, the surveyor found the following:

1. The surveyor found that the fire alarm was consistently beeping; therefore, the fire alarms were not in good working order.
2. The surveyor observed an approximate five (5) foot area of a black mold-type substance along the wall in a utility closet. The closet door was unlocked and accessible to the children.
3. The surveyor found that four (4) kitchen-size bags of trash were stacked near the childcare center's rear door.

560 - Building Requirements

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

- (5) The building shall be constructed to ensure the:
 - (a) Building is:
 1. Dry;
 2. Ventilated; and
 3. Well lit, including clean light fixtures that are:
 - a. In good repair in all areas; and
 - b. Shielded or have shatter-proof bulbs installed; and
 - (b) Following are protected:
 1. Windows;
 2. Doors;
 3. Stoves;
 4. Heaters;
 5. Furnaces;
 6. Pipes; and
 7. Stairs.

Findings:

General: Based on observation, the surveyor found that the light in the girls' restroom was dim and not working properly. The room was not well lit.

565 - 35 Square Feet per Child

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

- (6) Exclusive of the kitchen, bathroom, hallway, and storage area, there shall be a minimum of thirty-five (35) square feet of space per child.

Findings:

General: Based on observation, the surveyor found that eighteen (18) school-age children were present in the School-age Classroom. The surveyor reviewed the childcare center's diagram and found that the classroom's capacity is seventeen (17). Therefore, one (1) child exceeded the thirty-five (35) square feet per child regulatory requirement.

645 - Sink

Not In Compliance

922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.

(3) A sink shall be:

- (a) Located in or immediately adjacent to toilet rooms;**
- (b) Equipped with hot and cold running water that allows for hand washing;**
- (c) Equipped with hot water at a minimum temperature of ninety (90) degrees Fahrenheit and a maximum of 120 degrees Fahrenheit;**
- (d) Equipped with liquid soap;**
- (e) Equipped with hand-drying blower or single use disposable hand drying material;**
- (f) Equipped with an easily cleanable waste receptacle; and**
- (g) Immediately adjacent to a changing area used for infants and toddlers.**

Findings:

General: Based on observation, the surveyor measured the water temperature at the sink in the girls' restroom. The water temperature measured to be 140 degrees Fahrenheit.

Hygienic Practices

Not In Compliance

655 - Child Personal Care/Handwashing

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

(4) A child shall:

- (a) Be helped with personal care and cleanliness based upon his or her developmental skills;**
- (b) Except as established in paragraph (c) of this subsection, wash his or her hands with liquid soap and warm running water:**
 - 1. a. Upon arrival at the center; or**
 - b. Within thirty (30) minutes of arrival for school-age children;**
 - 2. Before and after eating or handling food;**
 - 3. After toileting or diaper change;**
 - 4. After handling animals;**
 - 5. After touching an item or an area of the body soiled with body fluids or wastes; and**
 - 6. After outdoor or indoor play time; and**
- (c) Use hand sanitizer or hand-sanitizing wipes if liquid soap and warm running water are not available in accordance with paragraph (b) of this subsection. The child shall wash the child's hands as soon as practicable once liquid soap and warm running water are available.**

Findings:

General: Based on observation, the surveyor found that the school-age children did not wash their hands within thirty (30) minutes of arriving to the childcare center.

710 - Toy Sanitation Procedure

Not In Compliance

922 KAR 2:120. Section 11. Toys and Furnishings.

(4) A toy or another item that is considered a mouth contact surface by a child not toilet trained shall be sanitized daily by:

- (a) 1. Scrubbing in warm, soapy water using a brush to reach into crevices;**
- 2. Rinsing in clean water;**
- 3. Submerging in a sanitizing solution for at least two (2) minutes; and**
- 4. Air dried; or**
- (b) Cleaning in a dishwasher if the toy or other item is dishwasher safe.**

Findings:

General: Based on interview, the surveyor found the following:

- 1. Staff in the Infant/Toddler Room stated they sanitize the mouth contact toys by spraying them with a soap solution and wiping them off.
- 2. Staff in the Preschool Room confirmed that some of the children were not fully potty-trained. The staff stated they sanitize the mouth contact toys by spraying them with a bleach solution and wiping them off.

First Aid/Medication

Not In Compliance

725 - Administration Record

Not In Compliance

922 KAR 2:120. Section 7. First Aid and Medicine.

(5) The child-care center shall keep a written record of the administration of medication, including:

- (a) Time of each dosage;**
- (b) Date;**
- (c) Amount;**
- (d) Name of staff person giving the medication;**
- (e) Name of the child; and**
- (f) Name of the medication.**

Findings:

General: Based on observation, the surveyor found a bottle of Melatonin on a shelf located in the closet of the Sensory Room. Through interview, staff confirmed that they have administered the medication to the child on the request of the child's parent. Staff were unable to provide the surveyor with a written administration record to include the time of each dosage, date, and amount, the name of the staff giving the medication, name of the child and name of the medication.

Inspection Report

730 - Medication

Not In Compliance

922 KAR 2:120. Section 7. First Aid and Medicine.

(6) Medication, including refrigerated medication, shall be:

(a) Stored in a separate and locked place, out of the reach of a child unless the medication is:

- 1. A first aid supply and is maintained in accordance with subsection (1) of this section;
- 2. Diaper cream, sunscreen, or toothpaste. Diaper cream, sunscreen, or toothpaste shall be inaccessible to a child;
- 3. An epinephrine auto-injector. A licensed child-care center shall comply with KRS 199.8951 and 311.646, including:
 - a. An epinephrine auto-injector shall be inaccessible to a child;
 - b. A child-care center shall have at least one (1) person onsite who has received training on the administration of an epinephrine auto-injector if the child-care center maintains an epinephrine auto-injector;
 - c. A child-care center shall seek emergency medical care for a child if an auto-injector is administered to the child; and
 - d. A child-care center shall report to the child's parent and the cabinet in accordance with 922 KAR 2:090, Section 12(1)(b) if an epinephrine auto-injector is administered to a child; or
- 4. An emergency or rescue medication for a child in care, such as medication to respond to diabetic or asthmatic condition, as prescribed by the child's physician. Emergency or rescue medication shall be inaccessible to a child in care;

(b) Kept in the original bottle; and

(c) Properly labeled.

(7) Medication shall not be given to a child if the medication's expiration date has passed.

Findings:

General: Based on observation, the surveyor found a bottle of Melatonin on a shelf located in the closet of the Sensory Room. The medication was not stored in a separate locked place.

Outdoor Play Area

Not In Compliance

750 - Playground Conditions

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

(20) An outdoor play area shall be:

- (d) Safe from foreseeable hazard;
- (e) Well drained;
- (f) Well maintained;
- (g) In good repair; and
- (h) Visible to staff at all times.

Findings:

General: Based on observation during a tour of the playground, the surveyor observed wooden lattice, a two by four (2x4) board and plywood leaned against the exterior wall behind the door leading outside to the playground. The items were accessible to the children.

Equipment

In Compliance

Transportation

Not Applicable

Food Service/Food Program

In Compliance

Food Service

In Compliance

Children's Records

Not In Compliance

1135 - Immunization

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;

Findings:

General: Based on review of documentation, the surveyor found that a child's (DOE: 12/7/17) enrollment file contained an immunization certificate from the state of Tennessee. The immunization certificate did not have an expiration date.

Written Documentation

Not In Compliance

1185 - Confidentiality/Maintenance/Access

Not In Compliance

922 KAR 2:090. Section 9. Records.

(2) A child-care center shall:

- (a) Maintain the confidentiality of a child's record and information concerning a child or the child's parent;
- (b) Maintain all records for five (5) years; and
- (c) Provide the cabinet access and information in the completion of the investigation pursuant to KRS 620.030(4) and (5).

Findings:

General: Based on review of documentation during a tour of the childcare center, the surveyor found that a list of the children's full names and dates of birth were posted in the School-age Room, Preschool Room and Infant Room. Therefore, the children's information was not maintained confidential.

Inspection Report

Posted Documentation

Not In Compliance

1220 - Diapering/Handwashing

Not In Compliance

922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.

(9) The proper methods of diapering and hand-washing shall be posted at each diaper changing area.

Findings:

General: Based on review of documentation, the surveyor found that the proper methods for diaper changing and hand washing were not posted at the diaper-changing table located near the restrooms.

Animals

Not Applicable

Signature of Provider/Representative

Title

Date