



Andy Beshear
GOVERNOR

CABINET FOR HEALTH AND FAMILY SERVICES
OFFICE OF INSPECTOR GENERAL

Eric Friedlander
SECRETARY

Melissa A. Moore, Director
Division of Regulated Child Care
Southern Branch
116 Commerce Ave
London, KY 40744

Adam Mather
INSPECTOR GENERAL

Phone: (606) 330-2030 Fax: (606) 330-2056
<https://chfs.ky.gov/agencies/os/oig>

Inspection Report

Provider Name: Small Wonders Child Development Center, LLC	Provider Information Provider Type: LICENSED TYPE I	CLR No: L383429
Provider Address: 125 West Lothbury Avenue, Middlesboro, KY, 40965		Capacity: 73
Owner(s): Small Wonders Child Development Center, Llc		Director(s): Hall, Tiffany Nicole

Inspection Type: Investigation	Inspection Information	Inspection No: 241749
Date Initiated: 08/29/2017 9:45 AM	Date Concluded: 08/30/2017 2:30 PM	
	No. of Children Present: 14	

Inspection Report	
Supervision	In Compliance
Staffing Requirements	In Compliance
Director Requirements	Not In Compliance
275 - Caregiver Alone	Not In Compliance
<p>922 KAR 2:110. Section 4. Director Requirements and Responsibilities. (1) Effective with the adoption of this administrative regulation, a director shall: (n) Assure that a person acting as a caregiver of a child in care shall not be left alone with a child, if the licensee has not received the results of the background checks as described in Section 3(1)(e)6 of this administrative regulation;</p>	
Findings:	
General: Based on review of documentation of staff files, the following was found:	
1) One (1) staff file (DOH: 8/23/17) did not contain the results of the criminal records check (CRC).	
2) Two (2) staff files (DOH: 8/18/17 & 8/23/17) did not contain a completed child abuse and neglect (CA/N) background check.	
Based on interview, the director stated that the results of these checks had not yet been received. Further interviews revealed that both staff members were together in the pre-school classroom with no other staff present starting at 9:00am on 8/29/17, which was prior to the surveyor's arrival. Based on observation, both staff members were present in the pre-school classroom with no other staff until 12:30pm. Therefore, two (2) staff members without completed background checks were left alone in a classroom for three and a half (3 1/2) hours.	

Inspection Report

Employee Records

Not In Compliance

300 - Background checks/left alone

Not In Compliance

922 KAR 2:090. Section 6. License Issuance.

(5) An individual described in subsection (4) of this section shall:

(a) Submit to background checks described in paragraph (b) of this subsection;

(b) May be employed or work with a child on a probationary basis for up to ninety (90) calendar days, pending completion of a:

- 1. Child abuse or neglect check using the central registry in accordance with 922 KAR 1:470;**
- 2. Criminal records check required by KRS 199.896(19);**
- 3. Criminal records check for any previous state of residence if the person resided outside the state of Kentucky in the last five (5) years; and**
- 4. An address check of the Sex Offender Registry; and**

(c) Not be left alone in the presence of a child until copies of the background checks in accordance with paragraph (b) of this subsection have been received by the licensee.

Findings:

General: Based on review of documentation of staff files, the following was found:

- 1) One (1) staff file (DOH: 8/23/17) did not contain the results of the criminal records check (CRC).
- 2) Two (2) staff files (DOH: 8/18/17 & 8/23/17) did not contain a completed child abuse and neglect (CA/N) background check.

Based on interview, the director stated that the results of these checks had not yet been received. Further interviews revealed that both staff members were together in the pre-school classroom with no other staff present starting at 9:00am on 8/29/17, which was prior to the surveyor's arrival. Based on observation, both staff members were present in the pre-school classroom with no other staff until 12:30pm. Therefore, two (2) staff members without completed background checks were left alone in a classroom for three and a half (3 1/2) hours.

Programming

In Compliance

Hygienic Practices

Not In Compliance

600 - Staff Hygiene/Handwashing

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

(5) Staff shall:

(a) Maintain personal cleanliness;

(b) Conform to hygienic practices while on duty; and

(c) Wash their hands with liquid soap and running water:

- 1. Upon arrival at the center;**
- 2. After toileting or assisting a child in toileting;**
- 3. Before and after diapering each child;**
- 4. After wiping or blowing a child's or own nose;**
- 5. After handling animals;**
- 6. After caring for a sick child;**
- 7. Before and after feeding a child or eating;**
- 8. Before dispensing medication; and**
- 9. If possible, before administering first aid.**

Findings:

General: Based on observation, two (2) staff members (DOH: 10/25/16 & 11/24/15) wiped a child's nose with a tissue during the surveyor's visit. Neither staff washed their hands after completing this action. Therefore, the staff hand washing guidelines were not followed.

Food Service

In Compliance

Written Documentation

Not In Compliance

1095 - Daily Attendance Records

Not In Compliance

922 KAR 2:110. Section 3. Records.

(1) A child-care center shall maintain:

(c) Daily attendance records documenting the arrival and departure time of each child, including records that are required in accordance with 922 KAR 2:160, Section 13, if a child receives services from the child-care center through the Child Care Assistance Program;

Findings:

General: Based on observation, there were a total of fourteen (14) children present during this surveyor's visit; however, based on review of documentation, there was only one (1) child signed in on the attendance sheets.

Signature of Provider/Representative

Title

Date