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Inspection Report

Provider Name: Small Wonders Child Development Center, LLC	Provider Information Provider Type: LICENSED TYPE I	CLR No: L383429
Provider Address: 125 West Lothbury Avenue, Middlesboro, KY, 40965		Capacity: 73
Owner(s): Small Wonders Child Development Center, Llc		Director(s): Hall, Tiffany Nicole

Inspection Type: Renewal Application	Inspection Information	Inspection No: 231612
Date Initiated: 12/12/2017 10:40 AM	Date Concluded: 12/12/2017 1:41 PM	
	No. of Children Present: 12	

Inspection Report	
Supervision	In Compliance
Staffing Requirements	In Compliance
General Administration	Not In Compliance
75 - Liability Insurance	Not In Compliance
<p>922 KAR 2:090. Section 6. License Issuance. (11) To qualify for a preliminary license, or maintain a regular license, a child-care center shall: (d) Provide written proof of liability insurance coverage of at least \$100,000 per occurrence;</p> <p>Findings: General: Based on review of documentation, the surveyor found that the childcare center's liability insurance policy had an expiration date of 10/05/17. An updated insurance policy was not available for review.</p>	
Director Requirements	In Compliance
Employee Records	Not In Compliance
300 - Background checks/left alone	Not In Compliance
<p>922 KAR 2:090. Section 6. License Issuance. (5) An individual described in subsection (4) of this section shall: (a) Submit to background checks described in paragraph (b) of this subsection; (b) May be employed or work with a child on a probationary basis for up to ninety (90) calendar days, pending completion of a: 1. Child abuse or neglect check using the central registry in accordance with 922 KAR 1:470; 2. Criminal records check required by KRS 199.896(19); 3. Criminal records check for any previous state of residence if the person resided outside the state of Kentucky in the last five (5) years; and 4. An address check of the Sex Offender Registry; and (c) Not be left alone in the presence of a child until copies of the background checks in accordance with paragraph (b) of this subsection have been received by the licensee.</p> <p>Findings: General: Based on review of documentation, the surveyor found that the file for a staff (DOH: 11/02/17) contained a criminal records background check. The payment date on the background check was 11/08/17; thus, it was not submitted prior to or on the date of hire.</p>	

Inspection Report

330 - Adequate Substitute(s)

Not In Compliance

922 KAR 2:110. Section 5. Staff Requirements.

- (6) Child-care centers shall have available in case of need:**
(a) One (1) qualified substitute staff person for a Type II child-care center; or
(b) Two (2) qualified substitute staff persons for a Type I child-care center.

Findings:

General: Based on review of documentation, the surveyor found that the Type I childcare center has two (2) substitute staff persons. However, only one (1) staff person meets qualification based on the lack of fifteen (15) hours of cabinet-approved annual training.

335 - Qualified Substitute

Not In Compliance

922 KAR 2:110. Section 5. Staff Requirements.

- (7) Each qualified substitute staff person shall:**
(a) Meet the staff requirements of this administrative regulation; and
(b) Provide the required documentation to verify compliance with this administrative regulation.

Findings:

General: Based on review of documentation, the surveyor found that the Type I childcare center has two (2) substitute staff persons. However, only one (1) staff person meets qualification based on the lack of fifteen (15) hours of cabinet-approved annual training.

340 - Training

Not In Compliance

922 KAR 2:110. Section 5. Staff Requirements.

- (14) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:**
(a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;
(b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of pediatric abusive head trauma training; and
(c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of pediatric abusive head trauma training completed once every five (5) years.

Findings:

General: Based on review of documentation and ECE-TRIS, the surveyor found the following:

1. No verification was found that a staff (DOH: 07/18/16) completed one and one-half (1 ½) hours of pediatric abusive head trauma training.
2. Verification of only eleven (11) hours of cabinet-approved early care and education training was found for a staff (DOH: 11/24/15).

Programming

In Compliance

Premises

Not In Compliance

590 - Toilet

Not In Compliance

922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.

- (4) Each toilet shall:**
(a) Be kept in clean condition;
(b) Be kept in good repair;
(c) Be in a lighted room; and
(d) Have ventilation to outside air.

Findings:

General: Based on observation, the surveyor found that the toilet seat was not securely attached to the toilet bowl located in girls' restroom.

Hygienic Practices

In Compliance

First Aid/Medication

In Compliance

Outdoor Play Area

In Compliance

Equipment

Not In Compliance

770 - Children/Staff Seating

Not In Compliance

922 KAR 2:120. Section 11. Toys and Furnishings.

- (5) Tables and chairs shall be of suitable size for children.**
(6) Chairs appropriate for staff shall be provided to use when feeding, holding, or playing with a child.

Findings:

General: Based on observation during a tour of the childcare center, the surveyor found that the size of the furniture in the school-age room was for preschool-age children. Per conversation with the Director, the surveyor learned that few school-age children are enrolled in the program. Furniture for school-age children was not available, although, a nine-year-old child was present during the renewal visit.

Transportation

Not Applicable

Inspection Report

Food Service

Not In Compliance

1005 - Food Storage

Not In Compliance

922 KAR 2:120. Section 9. Food and Meal Requirements.

(8) Food shall be stored on:

- (a) Clean racks;
- (b) Clean shelves;
- (c) Other clean surfaces; or
- (d) If maintained in a sanitary condition, in nonabsorbent labeled containers a minimum of (6) six inches off the floor.

Findings:

General: Based on observation during a tour of the kitchen, the surveyor found cookies and cereal were stored on the bottom base of a brown cabinet. The cookies and cereal were stored approximately three (3) inches from the floor rather than six (6) inches per regulation.

Children's Records

Not In Compliance

1070 - Immunization

Not In Compliance

922 KAR 2:110. Section 3. Records.

(1) A child-care center shall maintain:

- (a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;

Findings:

General: Based on review of documentation contained in ten (10) children's files, the surveyor found that the file for a child (DOE: 06/12/17) contained an immunization certificate that was no longer current as of 09/30/17.

Written Documentation

Not In Compliance

1090 - Policies and Procedures

Not In Compliance

922 KAR 2:110. Section 2. General.

(5) Program policies and procedures shall:

- (a) Be in writing; and
- (b) Include:
 - 1. Staff policies;
 - 2. Job descriptions;
 - 3. An organization chart;
 - 4. Chain of command; and
 - 5. Other procedures necessary to ensure implementation of:
 - a. KRS 199.898, Rights for children in child-care programs and their parents, custodians, or guardians - posting and distribution requirements;
 - b. 922 KAR 2:090, Child-care center licensure;
 - c. 922 KAR 2:120, Child-care center health and safety standards; and
 - d. This administrative regulation.

Findings:

General: Based on review of documentation, the surveyor found no written job description for the Director.

1115 - Earthquake/Tornado Drills

Not In Compliance

922 KAR 2:110. Section 3. Records.

(1) A child-care center shall maintain:

- (h) A written record of quarterly practiced earthquake drills and tornado drills detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;

Findings:

General: Based on review of documentation, the surveyor found the following:

- 1. No verification was found that earthquake drills were conducted during the first, second and third quarters of 2017.
- 2. Verification was found that tornado drills were conducted during the first, second and third quarters of 2017. However, the times of the drills were not recorded.

1120 - Fire Drills

Not In Compliance

922 KAR 2:110. Section 3. Records.

(1) A child-care center shall maintain:

- (i) A written record of practiced fire drills conducted monthly detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;

Findings:

General: Based on review of documentation, the surveyor found verification that fire drills were conducted from January 2017 through November 2017; however, the times that the drills were conducted were not recorded.

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1145 - Earthquake/Tornado Drills

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

(13) An earthquake drill and a tornado drill shall be conducted during hours of operation:

- (a) At least quarterly; and**
- (b) Documented.**

Findings:

General: Based on review of documentation, the surveyor found no verification that earthquake drills were conducted during the first, second and third quarters of 2017.

Posted Documentation

In Compliance

Animals

Not Applicable

Signature of Provider/Representative

Title

Date