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Inspection Report

Provider Name: Small Wonders Child Development Center, LLC	Provider Information Provider Type: LICENSED TYPE I	CLR No: L383429
Provider Address: 125 West Lothbury Avenue, Middlesboro, KY, 40965		Capacity: 73
Owner(s): Small Wonders Child Development Center, Llc		Director(s): Hall, Tiffany Nicole

Inspection Type: Investigation	Inspection Information	Inspection No: 231538
Date Initiated: 08/25/2017 11:15 AM	Date Concluded: 08/30/2017 1:26 PM	
	No. of Children Present: 15	

Inspection Report	
Staffing Requirements	In Compliance
Director Requirements	Not In Compliance
260 - Staff Evaluation	Not In Compliance
922 KAR 2:110. Section 4. Director Requirements and Responsibilities. (1) Effective with the adoption of this administrative regulation, a director shall: (j) Assess each staff person's interaction with children in care and classroom performance through an annual written performance evaluation;	
Findings: General: Based on review of documentation and interview, the surveyor found that a staff file (DOH: 11/24/15) did not contain an annual staff evaluation. The Director reported that an evaluation had not been completed.	

300 - Background checks/left alone

Not In Compliance

922 KAR 2:090. Section 6. License Issuance.

(5) An individual described in subsection (4) of this section shall:

(a) Submit to background checks described in paragraph (b) of this subsection;

(b) May be employed or work with a child on a probationary basis for up to ninety (90) calendar days, pending completion of a:

- 1. Child abuse or neglect check using the central registry in accordance with 922 KAR 1:470;**
- 2. Criminal records check required by KRS 199.896(19);**
- 3. Criminal records check for any previous state of residence if the person resided outside the state of Kentucky in the last five (5) years; and**
- 4. An address check of the Sex Offender Registry; and**

(c) Not be left alone in the presence of a child until copies of the background checks in accordance with paragraph (b) of this subsection have been received by the licensee.

Findings:

General: Based on review of documentation and interview, the following were found:

1. A staff file (DOH: 8/24/17) did not contain record of a Child Abuse/Neglect background check or record of the Child Abuse/Neglect background check having been submitted. The staff member was working but was not observed working alone.
2. A staff file (DOH: 8/18/17) did not contain record of a Child Abuse/Neglect background check or record of the Child Abuse/Neglect background check having been submitted. The staff member was not observed working alone with the children.
3. A volunteer file (Start Date: 8/18/17) did not contain record of a Child Abuse/Neglect background check or record of the Child Abuse/Neglect background check having been submitted.
4. A volunteer file (Start Date: 8/18/17) did not contain record of a Criminal Record background check or record of the Criminal Record background check having been submitted.

Upon interview, the Director reported that staff do not work alone with the children until all background checks are returned. The Director stated that the Volunteer only does the cleaning and helping prepare meals. The Director reported that the Child Abuse/Neglect background checks had all been submitted except for the volunteer's as she was not aware that it was needed for a volunteer. The Director stated she was also not aware that a Criminal Record background check was needed for a volunteer. The Director related that she did not have any documentation to show that the Child Abuse/Neglect background checks had been submitted other than a receipt from the post office.

315 - Educational Requirements

Not In Compliance

922 KAR 2:110. Section 5. Staff Requirements.

(1) Child-care center staff:

(a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:

- 1. High school diploma;**
- 2. GED or qualifying documentation from a comparable educational entity; or**
- 3. Commonwealth Child Care Credential as described in 922 KAR 2:250;**

Findings:

General: Based on review of documentation and interview, the following were found:

1. A staff file (DOH:8/24/17) did not contain documentation of a high school diploma, GED, or Commonwealth Child Care Credential.
2. A staff file (DOH:8/18/17) did not contain documentation of a high school diploma, GED, or Commonwealth Child Care Credential.

The Director reported that she had not yet obtained documentation of the staff member's education.

320 - TB Verification

Not In Compliance

922 KAR 2:110. Section 5. Staff Requirements.

(1) Child-care center staff:

(b) Shall provide, prior to employment and every two (2) years thereafter:

- 1. A statement from a health professional that the individual is free of active tuberculosis; or**
- 2. A copy of negative tuberculin results.**

Findings:

General: Based on review of documentation and interview, the following were found:

1. A staff file (DOH:8/24/17) did not contain documentation of a negative tuberculin skin test or a negative screening completed by a medical professional.
2. A staff file (DOH:8/18/17) did not contain documentation of a negative tuberculin skin test or a negative screening completed by a medical professional.

The Director reported that the staff members had not yet obtained a turberculin skin test or a negative screening.

Inspection Report

Programming

Not In Compliance

385 - Discipline

Not In Compliance

922 KAR 2:120. Section 2. Child Care Services.

(10) A child shall not be subjected to:

- (a) Corporal physical discipline pursuant to KRS 199.896(18);**
- (b) Loud, profane, threatening, frightening, or abusive language; or**
- (c) Discipline that is associated with:**
 - 1. Rest;**
 - 2. Toileting; or**
 - 3. Food.**

Findings:

General: Based on observation and interview, the surveyor found that a staff member (DOH: 11/24/15) communicated with a two-year-old child in a loud and demeaning manner in regards to the child having an accident on himself. As the surveyor was entering the building, a staff member was heard repeatedly questioning a child by asking "Why are you wet?" in a loud deep voice. Upon entering the classroom where this staff and child were located, the surveyor observed the staff member standing over the child who was in front of the staff member. There were ten (10) other children present in the room as well as two (2) other staff that had observed the exchange. Upon interview, the staff member stated that the parent has requested that staff be stern with the child in regards to potty training.

Premises

Not In Compliance

480 - Premises Requirements

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

(1) The premises shall be:

- (a) Suitable for the purpose intended;**
- (b) Kept clean and in good repair;**

Findings:

General: Based on observation, review of documentation, and interview, the surveyor found that the center was not maintained in a clean condition. The surveyor observed that there were six (6) trash cans outside the center that were full of trash and had multiple bags of trash stacked up on top of them. There was trash observed scattered on the ground surrounding the trash cans. Staff stated that the city will not pick up the center's trash because they are a commercial business. The Director reported she has attempted to arrange for trash pick-up and a dumpster through another service provider.

Food Service

Not In Compliance

970 - Food From Approved Source

Not In Compliance

922 KAR 2:120. Section 9. Food and Meal Requirements.

(1) Food shall be:

- (e) Obtained from a source that is in compliance with the Department of Public Health's food safety standards and permits, established in KRS Chapter 217;**
- (f) Acceptable if from an established commercial food store;**

Findings:

General: Based on observation and interview, the surveyor observed that on 8/25/17 the center's lunch was catered by Pizza Hut. The Director reported that the center's lunch is catered once per week by Pizza Hut or Papa John's Pizza; however, the Director did not have documentation of the food service permit for Pizza Hut or Papa John's Pizza. Therefore, the surveyor could not verify that the food was being obtained from an approved source.

1050 - Menu

Not In Compliance

922 KAR 2:120. Section 9. Food and Meal Requirements.

(16) A weekly menu shall be:

- (a) Prepared;**
- (b) Dated;**
- (c) Posted in advance in a conspicuous place;**
- (d) Kept on file for thirty (30) days; and**
- (e) Amended in writing with any substitutions on the day the meal is served.**

Findings:

General: Based on review of documentation and interview, the surveyor found that on 8/18/17 the menu was not amended in writing with any substitutions made to the menu. On 8/18/17 the center's menu documented that the children were served milk, yogurt, and shredded wheat. The Director reported that on 8/18/17 the children were actually served banana instead of yogurt and she forgot to write the substitution in on the menu.

1055 - Breakfast Requirements

Not In Compliance

922 KAR 2:120. Section 9. Food and Meal Requirements.

(17) Breakfast shall include:

- (a) Milk;**
- (b) Bread; and**
- (c) 1. Fruit;**
- 2. Vegetable; or**
- 3. 100 percent juice.**

Findings:

General: Based on review of documentation and interview, the surveyor determined the following:

1. On 8/14/17 the center's menu documented that the children were served milk, eggs, and oatmeal. The children were not provided with all of the meal requirements for breakfast as they were not served a fruit, vegetable, or 100% juice.
2. On 8/16/17 the center's menu documented that the children were served milk and cheerios. The children were not provided with all of the meal requirements for breakfast as they were not served a fruit, vegetable, or 100% juice.
3. On 8/18/17 the center's menu documented that the children were served milk, yogurt, and shredded wheat. The children were not provided with all of the meal requirements for breakfast as they were not served a fruit, vegetable, or 100% juice.

The Director reported that on 8/18/17 the children were actually served banana instead of yogurt and she forgot to write the substitution in on the menu. The Director stated that the children are always served a fruit as well and staff that prepared the menu just failed to write it on the menu.

1060 - Snack Requirements

Not In Compliance

922 KAR 2:120. Section 9. Food and Meal Requirements.

(18) A snack shall include two (2) of the following:

- (a) Milk;**
- (b) Protein;**
- (c) Bread; or**
- (d) 1. Fruit;**
- 2. Vegetable; or**
- 3. 100 percent juice.**

Findings:

General: Based on review of documentation and interview, the surveyor determined that on 8/14/17 the center's menu documented that the children were served water, apple, and cheese puffs for snack. The children were not provided with all of the meal requirements for snack as they were only served a fruit and not served milk, protein, bread, or a vegetable in addition to the fruit. The Director stated that the cheese puffs were actually cheese crackers and staff had documented it incorrectly on the menu.

1065 - Lunch/Dinner Requirements

Not In Compliance

922 KAR 2:120. Section 9. Food and Meal Requirements.

(19) Lunch and dinner shall include:

- (a) Milk;**
- (b) Protein;**
- (c) Bread; and**
- (d) 1. Two (2) vegetables;**
- 2. Two (2) fruits; or**
- 3. One (1) fruit and one (1) vegetable.**

Findings:

General: Based on review of documentation and interview, the surveyor found that on 8/14/17 the center's menu documented that the children were served milk, pepperoni pizza, and peas. The children were not provided with all of the meal requirements for breakfast as they were not served two (2) fruits, two (2) vegetables, or one (1) fruit and one (1) vegetable. The Director stated that the menu is prepared by another staff member and the staff member is documenting everything on the menu correctly.

Written Documentation

Not In Compliance

1105 - Professional Development

Not In Compliance

922 KAR 2:110. Section 3. Records.

(1) A child-care center shall maintain:

- (f) A written annual plan for child-care staff professional development;**

Findings:

General: Based on review of documentation and interview, the following was found:

1. A staff file (DOH: 8/3/15) contained an annual professional development plan that was last updated on 8/4/16; therefore, the professional development plan had not been updated annually as required.
2. A staff file (DOH: 11/24/15) did not contain an annual professional development plan; therefore, the professional development plan had not been updated annually as required.

The Director reported that the professional development plans had not been completed.

Signature of Provider/Representative

Title

Date