



**Andy Beshear**  
GOVERNOR

**CABINET FOR HEALTH AND FAMILY SERVICES**  
**OFFICE OF INSPECTOR GENERAL**

**Melissa A. Moore, Director**  
**Division of Regulated Child Care**  
Eastern Branch  
455 Park Place, Suite 120A  
Lexington, KY 40511  
Phone: (859) 246-2301 Fax: (859) 246-2307  
<https://chfs.ky.gov/agencies/os/oig>

**Eric Friedlander**  
SECRETARY

**Adam Mather**  
INSPECTOR GENERAL

**Inspection Report**

|  |                                       |                                   |
|--|---------------------------------------|-----------------------------------|
| <b>Provider Name:</b> Southland Kids Kare                            | <b>Provider Information</b>           | <b>CLR No:</b> L356134            |
| <b>Provider Address:</b> 2094 Harrodsburg Road, Lexington, KY, 40503 | <b>Provider Type:</b> LICENSED TYPE I | <b>Capacity:</b> 94               |
| <b>Owner(s):</b> Southland Kids Kare, Inc.                           |                                       | <b>Director(s):</b> Ramey, Manila |

|   |  |                              |
|---|--|------------------------------|
| <b>Inspection Type:</b> Renewal Application | <b>Inspection Information</b>              | <b>Inspection No:</b> 218494 |
| <b>Date Initiated:</b> 02/06/2017 9:10 AM   | <b>Date Concluded:</b> 02/06/2017 11:40 AM |                              |
|   | <b>No. of Children Present:</b> 12         |                              |

| Inspection Report  |                   |
|--|-------------------|
| Supervision  | In Compliance     |
| Staffing Requirements  | Not In Compliance |
| 40 - Ratios and Group Size   | Not In Compliance |
| <p><b>922 KAR 2:120. Section 2. Child Care Services.</b><br/> <b>(2) Minimum staff-to-child ratios and group size for an operating child-care center shall be maintained as follows:</b><br/> <b>Age of Children Ratio Maximum Group Size*</b><br/> <b>Infant</b><br/> 1 staff for 5 children 10<br/> <b>Toddler</b><br/> 1 staff for 6 children 12<br/> <b>Preschool-age 2 to 3 years</b><br/> 1 staff for 10 children 20<br/> <b>Preschool-age 3 to 4 years</b><br/> 1 staff for 12 children 24<br/> <b>Preschool-age 4 to 5 years</b><br/> 1 staff for 14 children 28<br/> <b>School-age 5 to 7 years</b><br/> 1 staff for 15 children 30<br/> <b>School-age 7 and older</b><br/> 1 staff for 25 children<br/> <b>(for before and after school) 30</b><br/> 1 staff for 20 children<br/> <b>(full day of care) 30</b><br/> *Maximum Group Size shall be applicable only to Type I child-care centers.</p> |                   |
| <p><b>Findings:</b><br/> General: Based on Observation, at the time of the survey there were nine (9) children ages one to two (1-2) years old with two (2) staff in one (1) room. During the survey one (1) of the staff left the classroom four (4) different times leaving the classroom out of ratio. Two (2) of the those times the staff person took a dirty diaper outside to the trash can. One (1) time the staff person went to the kitchen to get plastic bags and another time the staff person left and went to the office to find out the birthdate of a child.</p>  |                   |
| General Administration   | In Compliance     |

| Inspection Report  |                          |
|--|--------------------------|
| Director Requirements  | Not In Compliance        |
| <b>255 - Staff Meeting</b>   | <b>Not In Compliance</b> |
| <b>922 KAR 2:110. Section 4. Director Requirements and Responsibilities.</b><br><b>(1) Effective with the adoption of this administrative regulation, a director shall:</b><br><b>(i) Conduct, manage, and document in writing staff meetings;</b>   |                          |
| <b>Findings:</b><br>General: Based on Review of Documentation, there was no evidence to verify that staff meetings are conducted with staff for 2016. At the time of the exit the director indicated she could only find documentation for staff meetings conducted in 2014.   |                          |
| <b>260 - Staff Evaluation</b>  | <b>Not In Compliance</b> |
| <b>922 KAR 2:110. Section 4. Director Requirements and Responsibilities.</b><br><b>(1) Effective with the adoption of this administrative regulation, a director shall:</b><br><b>(j) Assess each staff person's interaction with children in care and classroom performance through an annual written performance evaluation;</b>   |                          |
| <b>Findings:</b><br>General: Based on Review of Documentation, out of six (6) staff files reviewed five (5) staff were eligible for an annual evaluation. However, there was no documentation available for review to verify the date of the last performance evaluation completed on staff.   |                          |
| Employee Records   | Not In Compliance        |
| <b>320 - TB Verification</b>   | <b>Not In Compliance</b> |
| <b>922 KAR 2:110. Section 5. Staff Requirements.</b><br><b>(1) Child-care center staff:</b><br><b>(b) Shall provide, prior to employment and every two (2) years thereafter:</b><br><b>1. A statement from a health professional that the individual is free of active tuberculosis; or</b><br><b>2. A copy of negative tuberculin results.</b>  |                          |
| <b>Findings:</b><br>General: Based on Review of Documentation, out of six (6) staff files reviewed there was one (1) staff person with a hire date of 1/23/14 who had the results of a T.B. skin test dated 8/21/14. This exceeds the two (2) year minimum requirement for a T.B. skin test.   |                          |
| <b>330 - Adequate Substitute(s)</b>  | <b>Not In Compliance</b> |
| <b>922 KAR 2:110. Section 5. Staff Requirements.</b><br><b>(6) Child-care centers shall have available in case of need:</b><br><b>(a) One (1) qualified substitute staff person for a Type II child-care center; or</b><br><b>(b) Two (2) qualified substitute staff persons for a Type I child-care center.</b>   |                          |
| <b>Findings:</b><br>General: Based on Interview, it was found the facility has only one (1) qualified substitute to use in case of need.   |                          |
| <b>340 - Training</b>  | <b>Not In Compliance</b> |
| <b>922 KAR 2:110. Section 5. Staff Requirements.</b><br><b>(14) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:</b><br><b>(a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;</b><br><b>(b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of pediatric abusive head trauma training; and</b><br><b>(c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of pediatric abusive head trauma training completed once every five (5) years.</b>   |                          |
| <b>Findings:</b><br>General: Based on Review of Documentation and ECE-TRIS records, it was found that a staff person hired 5/6/14 had only completed six (6) hours of cabinet approved training for the 2015-2016 training year. It was also found that this same staff person did not have documentation on file or in the ECE-TRIS system verifying the completion of one and one-half (1 1/2) hours of pediatric abusive head trauma training. A review of staff files and ECE-Tris records found that staff person hired 1/23/14 had not completed fifteen (15) hours of cabinet approved training for 2016-2017 and an additional staff person hired 10/23/14 had not completed fifteen (15) hours of cabinet approved training for 2015-2016. Further review of staff files and ECE-TRIS records found that two (2) staff with hire dates of 6/15/94 and 5/30/95 did not have evidence of having completed fifteen (15) hours of training for the 2015-2016 training year. During an exit conference with the staff person in charge it was acknowledged that staff had not completed all required training hours. |                          |

**Inspection Report****Programming****Not In Compliance****350 - Program of Activities Followed****Not In Compliance****922 KAR 2:120. Section 2. Child Care Services.****(4) The child-care center shall provide a daily planned program:**

- (a) Posted in writing in a conspicuous location with each age group and followed;**
- (b) Of activities that are individualized and developmentally appropriate for each child served;**
- (c) That provides experience to promote the individual child's physical, emotional, social, and intellectual growth and well-being; and**
- (d) That offers a variety of creative activities including the following:**
  - 1. Art;**
  - 2. Music;**
  - 3. Dramatic play;**
  - 4. Stories and books;**
  - 5. Science;**
  - 6. Block building;**
  - 7. Tactile activity;**
  - 8. Culture;**
  - 9. Indoor or outdoor play in which a child makes use of both small and large muscles;**
  - 10. A balance of active and quiet play, including group and individual activity;**
  - 11. An opportunity for a child to:**
    - a. Have some free choice of activities;**
    - b. If desired, play apart from the group at times;**

**Findings:**

General: Based on Observation, during a tour of the two (2) year old room a planned program of activities was not posted. During an interview with the staff person in the room it was indicated she had forgotten to fill it out. While the surveyor was in the room the staff person started filling out a blank lesson plan.

**395 - Electronic Viewing/Listening Planned Program****Not In Compliance****922 KAR 2:120. Section 3. General Requirements.****(1) Electronic viewing and listening devices shall only be used in the center as a part of the child's planned program of activity described Section 2(4) of this administrative regulation.****Findings:**

General: Based on Observation, during a tour of the infant room the television was on. There was a one-year-old child sitting in a high chair eating breakfast but was not watching the T.V. The other children in the room were under the age of one-year-old. The surveyor asked the staff person what was on the T.V. and she stated that it was Baby Einstein. The staff person indicated that she puts it on daily in the morning for about twenty-five (25) minutes. A review of the daily schedule and the posted planned program of activities did not have this listed as part of the programming.

**415 - Toddler Combined with Preschool****Not In Compliance****922 KAR 2:120. Section 5. Infant and Toddler Play Requirements.****(3) A toddler may participate in an activity with an older child for more than one (1) hour per day if:**

- (a) The toddler is in transition to the pre-school age group;**
- (b) The toddler is twenty-one (21) months or older;**
- (c) Space for the toddler is available in the preschool-age group;**
- (d) The staff-to-child ratios and group sizes are maintained based the age of the youngest child;**
- (e) The center has a procedure for listing a transitioning toddler on attendance records, including a specific day and time the toddler is with either age group; and**
- (f) The child care center has obtained the signature and approval of the toddler's parent on the toddler's transition plan.**

**Findings:**

General: Based on Observation, during a tour of the two-year-old room there were nine (9) children ages one to five (1-5) years old with two (2) staff. Three (3) of the children were under two (2) years of age. It was found through interview that the age of the youngest child who had not turned two (2) years old was twenty-one (21) months old. Interviews with staff and the staff person in charge found that there was not a written transition plan for each child and signed by the child's parent. Staff interviews also found that the older one (1) year old children are combined with the preschool children all day.

**Premises****Not In Compliance****520 - Floors, Walls, Ceilings****Not In Compliance****922 KAR 2:120. Section 4. Premises Requirements.****(9) Floors, walls, and ceilings shall be smooth, in good repair, and constructed to be easily cleaned.****Findings:**

General: Based on Observation, during a tour of both buildings it was found that the walls in each room had numerous areas of chipping and peeling paint and an accumulation of dirt and grime.

**Inspection Report****Hygienic Practices****Not In Compliance****600 - Staff Hygiene/Handwashing****Not In Compliance****922 KAR 2:120. Section 3. General Requirements.****(5) Staff shall:**

- (a) Maintain personal cleanliness;
- (b) Conform to hygienic practices while on duty; and
- (c) Wash their hands with liquid soap and running water:
  - 1. Upon arrival at the center;
  - 2. After toileting or assisting a child in toileting;
  - 3. Before and after diapering each child;
  - 4. After wiping or blowing a child's or own nose;
  - 5. After handling animals;
  - 6. After caring for a sick child;
  - 7. Before and after feeding a child or eating;
  - 8. Before dispensing medication; and
  - 9. If possible, before administering first aid.

**Findings:**

General: Based on Observation, at the time of the survey a staff person had a group of children in the hallway waiting to go outside and visit the library bus that had come to the facility. At that time one of the children's nose was running. The staff person wiped the child's nose and did not wash her hands afterwards. During an interview with the staff person she acknowledged this had happened and indicated that she was trying to hurry and get them out to the library bus because the people on the library bus don't like to wait.

**625 - Diaper Changing Area/Surface****Not In Compliance****922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.****(10) When a child is diapered, the child shall:**

- (b) Be placed on a surface that is:
  - 1. Clean;
  - 2. Padded;
  - 3. Free of holes, rips, tears, or other damage;
  - 4. Nonabsorbent;
  - 5. Easily cleaned; and
  - 6. Free of any items not used for diaper changing.

**Findings:**

General: Based on Observation, during a tour of the two (2) year old room the changing pad had been repaired around the edges with white duct tape.

**First Aid/Medication****Not In Compliance****655 - First Aid Supplies****Not In Compliance****922 KAR 2:120. Section 7. First Aid and Medicine.****(1) First aid supplies shall:**

- (a) Be available to provide prompt and proper first aid treatment;
- (b) Be stored out of reach of a child;
- (c) Be periodically inventoried to ensure the supplies are current;
- (d) If reusable, be:
  - 1. Sanitized; and
  - 2. Maintained in a sanitary manner; and
- (e) Include:
  - 1. Liquid soap;
  - 2. Adhesive bandages;
  - 3. Sterile gauze;
  - 4. Medical tape;
  - 5. Scissors;
  - 6. A thermometer;
  - 7. Flashlight;
  - 8. Cold pack;
  - 9. First aid book;
  - 10. Disposable gloves; and
  - 11. A cardiopulmonary resuscitation mouthpiece protector.

**Findings:**

General: Based on Observation, during an inspection of the first aid supplies it was found that medical tape was not available.

**Inspection Report****Outdoor Play Area****Not In Compliance****690 - Playground Conditions****Not In Compliance****922 KAR 2:120. Section 4. Premises Requirements.****(20) An outdoor play area shall be:**

- (d) Safe from foreseeable hazard;**
- (e) Well drained;**
- (f) Well maintained;**
- (g) In good repair; and**
- (h) Visible to staff at all times.**

**Findings:**

General: Based on Observation, during a tour of the outdoor play area the mesh ground cover under the mulch was visible under the swings which can be a tripping hazard. In addition there was concrete with sharp edges exposed around the base of one of the poles attached to the bar where the swings are attached.

**695 - Protective Surface****Not In Compliance****922 KAR 2:120. Section 4. Premises Requirements.****(21) A protective surface shall:**

- (a) Be provided for outdoor play equipment used to:**
  - 1. Climb;**
  - 2. Swing; and**
  - 3. Slide; and**
- (b) Have a fall zone equal to the height of the equipment.**

**Findings:**

General: Based on Observation, there was a thin covering of mulch on the large playground leaving areas of dirt and the mesh ground cover exposed from underneath the mulch.

**Equipment****Not In Compliance****755 - Toys/Equipment/Furniture****Not In Compliance****922 KAR 2:120. Section 11. Toys and Furnishings.****(1) All toys, equipment, and furniture contacted by a child shall be:**

- (a) Kept clean and in good repair; and**
- (b) Free of peeling, flaking, or chalking paint.**

**Findings:**

General: Based on Observation, during a tour of both buildings it was found that the toys, shelves and containers in which some of the toys were stored had an accumulation of dirt and grime. There were also areas of tape residue with an accumulation of dirt on some of the shelves.

**760 - Indoor/Outdoor Equipment****Not In Compliance****922 KAR 2:120. Section 11. Toys and Furnishings.****(2) Indoor and outdoor equipment shall:**

- (a) Be clean, safe, and in good repair;**
- (b) Meet the physical, developmental needs, and interests of children of different age groups;**
- (c) Be free from sharp points or corners, splinters, protruding nails or bolts, loose or rusty parts, hazardous small parts, lead-based paint, poisonous material, and flaking or chalking paint; and**
- (d) Be designed to guard against entrapment or situations that may cause strangulation.**

**Findings:**

General: Based on Observation, during a tour of the outdoor play area there was a tall, triangle shaped Little Tikes climber. The platform of the climber was broken and cracked as well as the top of the slide attached to the platform.

**Transportation****Not In Compliance****785 - Requirements for Transportation Services****Not In Compliance****922 KAR 2:120. Section 12. Transportation.****(2) A center providing or arranging transportation service shall:**

- (a) Be licensed and approved by the cabinet or its designee prior to transporting a child;**
- (b) Have a written plan that details the type of transportation, staff schedule, transportation schedule, and transportation route; and**
- (c) Have written policies and procedures, including emergency procedures practiced monthly by staff who transports children.**

**Findings:**

General: Based on Interview with staff who transport children, it was found that emergency procedures regarding transportation are practiced quarterly instead of monthly by staff who transport children. It was also found that there was no documentation to verify emergency procedures have been practiced.

**820 - Vehicle Equipment**

**Not In Compliance**

**922 KAR 2:120. Section 12. Transportation.**

**(4) A vehicle used to transport children shall be equipped with:**

- (a) A fire extinguisher;**
- (b) First aid supplies as described in Section 7 of this administrative regulation;**
- (c) Emergency reflective triangles; and**
- (d) A device to cut the restraint system, if necessary.**

**Findings:**

General: Based on Observation, an inspection of first supplies on the 2001 Sienna mini van used to transport children found that there was no a flashlight available as part of the first aid supplies.

**Food Service  
Children's Records**

**In Compliance**

**Not In Compliance**

**1070 - Immunization**

**Not In Compliance**

**922 KAR 2:110. Section 3. Records.**

**(1) A child-care center shall maintain:**

- (a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;**

**Findings:**

General: Based on Review of Documentation, out of ten (10) children's files reviewed there were three (3) children whose file did not contain a current immunization certificate. One (1) child's immunization record did not have a date listed as to how long the immunization was current and the other children's immunization records had a date of 3/15/16 and 12/31/16 listed as the date the immunization certificate was current.

**1075 - Enrollment Information**

**Not In Compliance**

**922 KAR 2:110. Section 3. Records.**

**(1) A child-care center shall maintain:**

**(b) A written record for each child:**

- 1. Completed and signed by the child's parent;**
- 2. Retained on file on the first day the child attends the child-care center; and**
- 3. To contain:**
  - a. Identifying information about the child, which includes, at minimum, the child's name, address, and date of birth;**
  - b. Contact information to enable a person in charge to contact the child's:**
    - (i) Parent at the parent's home or place of employment;**
    - (ii) Family physician; and**
    - (iii) Preferred hospital;**
  - c. The name of each person who is designated in writing to pick-up the child;**
  - d. The child's general health status and medical history including, if applicable:**
    - (i) Allergies;**
    - (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and**
    - (iii) Permission from the parent for third-party professional services in the child-care center;**
  - e. The name and phone number of each person to be contacted in an emergency situation involving or impacting the child;**
  - f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;**

**Findings:**

General: Based on Review of Documentation, out of ten (10) children's files reviewed there were six (6) children's enrollment information that did not include the number of the child's family physician and three (3) children's enrollment information did not have a preferred hospital listed.

**Written Documentation**

**Not In Compliance**

**1085 - Evacuation Plan**

**Not In Compliance**

**922 KAR 2:090. Section 5. Evacuation Plan.**

- (1) A licensed child-care center shall have a written evacuation plan in the event of a fire, natural disaster, or other threatening situation that may pose a health or safety hazard for a child in care in accordance with KRS 199.895.**

**Findings:**

General: Based on Interview with the director, it was indicated that a written evacuation plan in the event of fire, natural disaster or other threatening situation that may pose a health or safety hazard for a child in care had not been submitted for approval for 2017 in accordance with KRS 199.895. The director indicated that she has the document prepared but she has not submitted it to the proper agency.

**1105 - Professional Development**

**Not In Compliance**

**922 KAR 2:110. Section 3. Records.**

**(1) A child-care center shall maintain:**

- (f) A written annual plan for child-care staff professional development;**

**Findings:**

General: Based on Review of Documentation, out of six (6) staff files reviewed all staff were eligible for a professional development plan. However, 2015 was the last documented date that a professional development plan was completed for each of the staff.

**1115 - Earthquake/Tornado Drills**

**Not In Compliance**

**922 KAR 2:110. Section 3. Records.**

**(1) A child-care center shall maintain:**

**(h) A written record of quarterly practiced earthquake drills and tornado drills detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;**

**Findings:**

General: Based on Review of Documentation, it was found that earthquake and tornado drills are conducted as one (1) drill and not done separately. In addition the names of the children who participated in the drills for 2016 was not documented.

**1120 - Fire Drills**

**Not In Compliance**

**922 KAR 2:110. Section 3. Records.**

**(1) A child-care center shall maintain:**

**(i) A written record of practiced fire drills conducted monthly detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;**

**Findings:**

General: Based on Review of Documentation, it was found that monthly fire drills conducted for 2016 did not have the names of the children who participated documented. In addition there was no documentation to verify that a fire drill had been conducted for January 2017.

**1140 - Fire Drills**

**Not In Compliance**

**922 KAR 2:120. Section 3. General Requirements.**

**(12) A fire drill shall be conducted during hours of operation:**

- (a) At least monthly; and**
- (b) Documented.**

**Findings:**

General: Based on Review of Documentation, there was no documentation to verify that a fire drill had been conducted for January 2017.

**1145 - Earthquake/Tornado Drills**

**Not In Compliance**

**922 KAR 2:120. Section 3. General Requirements.**

**(13) An earthquake drill and a tornado drill shall be conducted during hours of operation:**

- (a) At least quarterly; and**
- (b) Documented.**

**Findings:**

General: Based on Review of Documentation, it was found that earthquake and tornado drills are being conducted as one (1) drill and not separately.

**Posted Documentation**

**Not In Compliance**

**1155 - Posting Requirements**

**Not In Compliance**

**922 KAR 2:110. Section 2. General.**

**(7) In addition to the posting requirement of KRS 199.898(3), a child-care center shall post the following in a conspicuous place and make available for public inspection:**

- (a) Each statement of deficiency and civil penalty notice issued by the cabinet during the current licensure year;**
- (b) Each plan of correction submitted by the child-care center to the cabinet during the current licensure year;**
- (c) Information on the Kentucky Consumer Product Safety Program and the program's website as specified in KRS 199.897;**
- (d) A description of services provided by the child-care center, including:**
  - 1. Current rates for child care; and**
  - 2. Each service charged separately and in addition to the basic rate for child care;**
- (e) Minimum staff-to-child ratios and group size established in 922 KAR 2:120; and**
- (f) Daily schedule.**

**Findings:**

General: Based on Observation, it was found that information on the Kentucky Consumer Product Safety Program and the program's website was not posted as specified in KRS 199.897. During a tour of the the preschool room located in the basement it was found that there was not a daily schedule posted.

**1165 - Daily Activities**

**Not In Compliance**

**922 KAR 2:110. Section 4. Director Requirements and Responsibilities.**

**(1) Effective with the adoption of this administrative regulation, a director shall:**

**(h) Post a schedule of daily activities, to include dates and times of activities to be conducted with the children in each classroom;**

**Findings:**

General: Based on Observation, during a tour of the preschool room located downstairs there was a planned program of daily activities posted but the there were no dates listed as to when these activities will be conducted with the children in the classroom.

**1170 - Diapering/Handwashing**

**Not In Compliance**

**922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.**

**(9) The proper methods of diapering and hand-washing shall be posted at each diaper changing area.**

**Findings:**

General: Based on Observation, during a tour of the infant room the proper proper procedure for washing hands after a diaper change was posted over the sink. However, the proper procedure for changing a diaper was not posted.

**Animals**

**Not Applicable**

Signature of Provider/Representative

Title

Date