



Andy Beshear
GOVERNOR

CABINET FOR HEALTH AND FAMILY SERVICES
OFFICE OF INSPECTOR GENERAL

Eric Friedlander
SECRETARY

Melissa A. Moore, Director
Division of Regulated Child Care
Southern Branch
116 Commerce Ave
London, KY 40744

Adam Mather
INSPECTOR GENERAL

Phone: (606) 330-2030 Fax: (606) 330-2056
<https://chfs.ky.gov/agencies/os/oig>

Inspection Report

Provider Name: Small Wonders Childcare Center	Provider Information	CLR No: L383361
Provider Address: 1013 Ival James Blvd., Richmond, KY, 40475	Provider Type: LICENSED TYPE I	Capacity: 120
Owner(s): Romper Room Child Care Center, LLC		Director(s): Bullock, Randon Lynn

Inspection Type: Renewal Application	Inspection Information	Inspection No: 278048
Date Initiated: 03/11/2019 11:30 AM	Date Concluded: 03/11/2019 3:45 PM	
	No. of Children Present: 78	

Inspection Report	
Background Checks	In Compliance
Supervision	In Compliance
Staffing Requirements	In Compliance
General Administration	In Compliance
Director Requirements	Not In Compliance

345 - Staff Evaluation **Not In Compliance**

922 KAR 2:090. Section 10. Director Requirements and Responsibilities.
(1) A director shall:
(j) Assess each staff person's interaction with children in care and classroom performance through an annual written performance evaluation;

Findings:

General: Based on interview and review of documentation, the surveyor found the following:

1. The surveyor was not presented with documentation of a current staff evaluation for a staff member (DOH: 12/23/14).
2. The surveyor was not presented with documentation of a current staff evaluation for a staff member (DOH: 10/3/16).
3. The surveyor was not presented with documentation of a current staff evaluation for a staff member (DOH: 5/25/17).
4. The surveyor was not presented with documentation of a current staff evaluation for a staff member (DOH: 8/14/17).
5. The surveyor was not presented with documentation of a current staff evaluation for a staff member (DOH: 9/25/17).

The Director confirmed that updated evaluations have not been completed for all staff.

350 - Health, Safety, Comfort **Not In Compliance**

922 KAR 2:090. Section 10. Director Requirements and Responsibilities.
(1) A director shall:
(l) Assure the health, safety, and comfort of each child;

Findings:

General: Based on observation and interview, the surveyor found that there were loose electrical cords dangling from the television within reach of the children in the Younger Two's Classroom. The Director stated she was not aware of the loose electrical cords.

390 - Educational Requirements

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

(a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:

- 1. High school diploma;**
- 2. GED or qualifying documentation from a comparable educational entity; or**
- 3. Commonwealth Child Care Credential as described in 922 KAR 2:250;**

Findings:

General: Based on interview and review of documentation, the surveyor found the following:

1. The surveyor was not presented with documentation of education in the form of a high school diploma, GED, or Commonwealth Child Care Credential for a staff member (DOH: 3/4/19).
2. The surveyor was not presented with documentation of education in the form of a high school diploma, GED, or Commonwealth Child Care Credential for a staff member (DOH: 2/18/19).
3. The surveyor was not presented with documentation of education in the form of a high school diploma, GED, or Commonwealth Child Care Credential for a staff member (DOH: 1/4/19).
4. The surveyor was not presented with documentation of education in the form of a high school diploma, GED, or Commonwealth Child Care Credential for a staff member (DOH: 3/11/19).

The Director stated that she thought documentation of education had been obtained from all staff.

395 - TB Verification

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

(b) Shall provide, prior to employment and every two (2) years thereafter:

- 1. A statement from a health professional that the individual is free of active tuberculosis; or**
- 2. A copy of negative tuberculin results.**

Findings:

General: Based on interview and review of documentation, the surveyor found the following:

1. The surveyor was not presented with documentation of a negative tuberculin skin test or a statement from a health professional that the individual was free of active tuberculosis for a staff member (DOH: 2/18/19).
2. The surveyor was not presented with documentation of a negative tuberculin skin test or a statement from a health professional that the individual was free of active tuberculosis for a staff member (DOH: 3/11/19).

The Director stated she thought the documentation was up to date and in the file.

410 - Training

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:

- (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;**
- (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and**
- (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.**

Findings:

General: Based on interview, review of documentation, and review of ECE-TRIS, the following was found:

1. The surveyor was not presented with documentation of Pediatric Head Trauma (PAHT) training that was completed within the past five (5) years for a staff member (DOH: 12/23/14).
2. The surveyor was not presented with documentation of Pediatric Head Trauma (PAHT) training that was completed within the past five (5) years for a staff member (DOH: 12/23/14).
3. The surveyor was not presented with documentation of Pediatric Head Trauma (PAHT) training that was completed within the past five (5) years for a staff member (DOH: 12/23/14).
4. The surveyor was presented with documentation of the completion of thirteen and a half (13.5) hours of annual training for 5/25/17 - 5/24/18 for a staff member (DOH: 5/25/17).
5. The surveyor was presented with documentation of the completion of twelve and a half (12.5) hours of annual training for 4/20/17 - 4/19/18 for a staff member (DOH: 4/20/17).
6. The surveyor was not presented with documentation of the completion of six (6) hours of orientation for a staff member (DOH: 10/26/18). Review of ECE-TRIS confirmed the training discrepancies.

Per interview, the Director was not aware of all of the training discrepancies.

420 - Program of Activities Followed

Not In Compliance

922 KAR 2:120. Section 2. Child Care Services.

(4) The child-care center shall provide a daily planned program:

- (a) Posted in writing in a conspicuous location with each age group and followed;**
- (b) Of activities that are individualized and developmentally appropriate for each child served;**
- (c) That provides experience to promote the individual child's physical, emotional, social, and intellectual growth and well-being; and**
- (d) Unless the child-care center is a before- or after-school program that operates part day or less, that offers a variety of creative activities including:**

- 1. Art or music;**
- 2. Math or numbers;**
- 3. Dramatic play;**
- 4. Stories and books;**
- 5. Science or nature;**
- 6. Block building or stacking;**
- 7. Tactile or sensory activity;**
- 8. Multi-cultural exposure;**
- 9. Indoor or outdoor play in which a child makes use of both small and large muscles;**
- 10. A balance of active and quiet play, including group and individual activity;**
- 11. An opportunity for a child to:**
 - a. Have some free choice of activities;**
 - b. If desired, play apart from the group at times;**

Findings:

General: Based on observation and interview, the surveyor found the following:

- 1. The lesson plan posted in the Older School Age Classroom was dated for 2/12/19 - 2/22/19; therefore, the lesson plan was not current.
- 2. The lesson plan posted in the Younger One's Classroom was dated for 1/21/19 - 1/25/19; therefore, the lesson plan was not current.
- 3. The lesson plan posted in the Nursery Classroom was dated for 3/4/19 - 3/8/19; therefore, the lesson plan was not current.
- 4. The lesson plan posted in the Younger Two's Classroom was dated for 3/4/19 - 3/8/19; therefore, the lesson plan was not current.

Staff reported that lesson plans are updated every Monday; therefore, they were not all complete yet.

465 - Electronic Viewing/Listening Planned Program

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

(1) Electronic viewing and listening devices shall only be used in the center as a part of the child's planned program of activity as established in Section 2(4) of this administrative regulation.

Findings:

General: Based on observation and interview, the surveyor found the following:

- 1. The children's movie "Rio" was playing on the television in the Four's Classroom while children were being served lunch and preparing for nap. The movie was not listed as part of the planned program.
- 2. The children's movie "The Croods" was playing on the television in the Three's Classroom while the children were eating lunch. The movie was not listed as part of the planned program.

Upon interview, staff were aware that the movie should be part of the planned program and not be played while children are eating.

510 - Appropriate Use of Food

Not In Compliance

922 KAR 2:120. Section 9. Food and Meal Requirements.

(2) Food shall not be:

- (a) Used for reward;**
- (b) Used for discipline;**
- (c) Withheld until all other foods are consumed; or**
- (d) Served while viewing electronic devices.**

Findings:

General: Based on observation and interview, the surveyor found the following:

- 1. The children's movie "Rio" was playing on the television in the Four's Classroom while children were being served lunch and preparing for nap. The movie was not listed as part of the planned program.
- 2. The children's movie "The Croods" was playing on the television in the Three's Classroom while the children were eating lunch. The movie was not listed as part of the planned program.

Upon interview, staff were aware that the movie should be part of the planned program and not be played while children are eating.

Inspection Report

Premises

Not In Compliance

520 - Inaccessible Items

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

- (7) The following shall be inaccessible to a child in care:
 - (a) Toxic cleaning supplies, poisons, and insecticides;
 - (b) Matches, cigarettes, lighters, and flammable liquids; and
 - (c) Personal belongings and medications of staff.

Findings:

General: Based on observation and interview, the surveyor found the following:

1. There was Pinesol, Window Cleaner, and Floor Cleaner under the counter in the Older School Age Classroom. The Director reported that the classroom space has not yet been utilized by children.
2. There was a plunger stored in the corner of the restroom located off of the Younger School Age Classroom. The plunger was accessible to children. The Director stated that the classroom and restroom have not yet been utilized by children.
3. A toilet plunger was located in the corner of the restroom located off of the Four's Classroom. The plunger was accessible to the children.
4. There was laundry soap, foam cleaner, paint, and Raid stored in an unlocked cabinet inside the laundry room. The five-year-old children were observed walking through the laundry room to access the restroom; therefore, these items were accessible to the children. Staff confirmed that the restroom is utilized regularly by children in the Five's Classroom.

525 - Items Accessible Only During Activity

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

- (8) The following shall be inaccessible to a child in care unless under direct supervision and part of planned program of instruction:
 - (a) Knives and sharp objects;
 - (b) Litter and rubbish;
 - (c) Bar soap; and
 - (d) Plastic bags not used for personal belongings.

Findings:

General: Based on observation and interview, the surveyor found that the mechanical room located off of the Younger School Age Classroom contained multiple packs of fluorescent light bulbs that are breakable. The mechanical room was not locked; therefore, the bulbs were accessible to children. The Director stated that the classroom space has not yet been utilized by the children.

540 - Premises Requirements

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

- (1) The premises shall be:
 - (a) Suitable for the purpose intended;
 - (b) Kept clean and in good repair;

Findings:

General: Based on observation and interview, the surveyor found the following:

1. The sink located in the Younger School Age Classroom was covered in dust and had a soiled wash clothe on it. The Director stated that the classroom is not yet being utilized for child care.
2. The heating/air vent located in front of the refrigerator in the Nursery Classroom was soiled with dirt, dust, and debri.
3. The heating/air vent located by the door in the Older Two's Classroom was soiled with dust, dirt, debri, and toys.
4. The vent fan located in the restroom off of the laundry room contained a thick build up of dust. The Director was not aware of the soiled vents or fan.

640 - Toilet Room

Not In Compliance

922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.

- (2) A toilet room shall:
 - (a) 1. Be provided for each gender; or
 - 2. A plan shall be implemented to use the same toilet room at separate times;
 - (b) Have a supply of toilet paper; and
 - (c) Be cleaned and disinfected daily.

Findings:

General: Based on observation and interview, the surveyor found that the sink located in the restroom off of the Younger School Age Classroom was soiled with a thick coating of dust. The Director stated that the restroom and classroom have not yet been utilized for child care.

645 - Sink

Not In Compliance

922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.

- (3) A sink shall be:**
- (a) Located in or immediately adjacent to toilet rooms;**
 - (b) Equipped with hot and cold running water that allows for hand washing;**
 - (c) Equipped with hot water at a minimum temperature of ninety (90) degrees Fahrenheit and a maximum of 120 degrees Fahrenheit;**
 - (d) Equipped with liquid soap;**
 - (e) Equipped with hand-drying blower or single use disposable hand drying material;**
 - (f) Equipped with an easily cleanable waste receptacle; and**
 - (g) Immediately adjacent to a changing area used for infants and toddlers.**

Findings:

General: Based on observation and interview, the surveyor found that the sink located in the Younger School Age Restroom was not equipped with hand soap, hand dryer, or hand towels. The Director stated that the restroom has not yet been utilized by any children.

650 - Toilet

Not In Compliance

922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.

- (4) Each toilet shall:**
- (a) Be kept in clean condition;**
 - (b) Be kept in good repair;**
 - (c) Be in a lighted room; and**
 - (d) Have ventilation to outside air.**

Findings:

General: Based on observation and interview, the surveyor found that the toilet located in the Younger School Age restroom was soiled. The Director stated that the restroom has not yet been utilized by any children.

Hygienic Practices

Not In Compliance

680 - Soiled Diapers/Clothing

Not In Compliance

922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.

- (8) Diapers or clothing shall be:**
- (a) Changed when soiled or wet;**
 - (b) Stored in a covered container temporarily; and**
 - (c) Washed or disposed of at least once a day.**

Findings:

General: Based on observation and interview, the surveyor found that soiled pull ups were stored in an uncovered trash can located in the Three's Classroom. Staff were not aware of the located of the trash can lid.

685 - Diaper Changing Area/Surface

Not In Compliance

922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.

- (10) When a child is diapered, the child shall:**
- (b) Be placed on a surface that is:**
- 1. Clean;**
 - 2. Padded;**
 - 3. Free of holes, rips, tears, or other damage;**
 - 4. Nonabsorbent;**
 - 5. Easily cleaned; and**
 - 6. Free of any items not used for diaper changing.**

Findings:

General: Based on observation and interview, the surveyor found that the diaper changing surface in the Three's Classroom contained an approximate one (1) inch tear which exposed the foam filling thus preventing it from being adequately sanitized. The Director stated that she was aware of the tear.

710 - Toy Sanitation Procedure

Not In Compliance

922 KAR 2:120. Section 11. Toys and Furnishings.

- (4) A toy or another item that is considered a mouth contact surface by a child not toilet trained shall be sanitized daily by:**
- (a) 1. Scrubbing in warm, soapy water using a brush to reach into crevices;**
 - 2. Rinsing in clean water;**
 - 3. Submerging in a sanitizing solution for at least two (2) minutes; and**
 - 4. Air dried; or**
 - (b) Cleaning in a dishwasher if the toy or other item is dishwasher safe.**

Findings:

General: Based on interview, the surveyor found that a staff member could not clearly state the correct methods of sanitizing mouth contact toys for the Nursery Classroom. Staff initially stated the mouth contact toys are sanitized by spraying them with a sanitizing solution. The staff member stated that the toys are washed off by using a wash clothe and did not mention the use of a brush to reach inside cracks/crevices.

Inspection Report

First Aid/Medication

Not In Compliance

730 - Medication

Not In Compliance

922 KAR 2:120. Section 7. First Aid and Medicine.

(6) Medication, including refrigerated medication, shall be:

(a) Stored in a separate and locked place, out of the reach of a child unless the medication is:

- 1. A first aid supply and is maintained in accordance with subsection (1) of this section;**
- 2. Diaper cream, sunscreen, or toothpaste. Diaper cream, sunscreen, or toothpaste shall be inaccessible to a child;**
- 3. An epinephrine auto-injector. A licensed child-care center shall comply with KRS 199.8951 and 311.646, including:**
 - a. An epinephrine auto-injector shall be inaccessible to a child;**
 - b. A child-care center shall have at least one (1) person onsite who has received training on the administration of an epinephrine auto-injector if the child-care center maintains an epinephrine auto-injector;**
 - c. A child-care center shall seek emergency medical care for a child if an auto-injector is administered to the child; and**
 - d. A child-care center shall report to the child's parent and the cabinet in accordance with 922 KAR 2:090, Section 12(1)(b) if an epinephrine auto-injector is administered to a child; or**
- 4. An emergency or rescue medication for a child in care, such as medication to respond to diabetic or asthmatic condition, as prescribed by the child's physician. Emergency or rescue medication shall be inaccessible to a child in care;**

(b) Kept in the original bottle; and

(c) Properly labeled.

(7) Medication shall not be given to a child if the medication's expiration date has passed.

Findings:

General: Based on observation and interview, the surveyor found the following:

- 1. Children's Motrin was stored in an unlocked cubby shelf in the Younger One's Classroom.
- 2. Children's Ibuprofen was stored in an unlocked cubby shelf in the Younger One's Classroom.
- 3. A tube of Oragel was stored in an unlocked cubby shelf in the Nursery Classroom.
- 4. The surveyor found that a bottle of Antacid Tablets were stored on an unlocked shelf covered by a curtain in the Five's Classroom.

The Director stated that the shelf is for storage of teachers teaching materials. Staff stated that parents often place the medication inside the children's cubbies.

Outdoor Play Area

Not In Compliance

745 - Playground Clean

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

(20) An outdoor play area shall be:

(c) Free from:

- 1. Litter;**
- 2. Glass;**
- 3. Rubbish; and**
- 4. Flammable materials;**

Findings:

General: Based on observation and interview, the surveyor found that both playgrounds were littered with garbage and debris. The Director stated that the garbage blows onto the playgrounds from a neighboring business.

Equipment

Not In Compliance

815 - Toys/Furniture

Not In Compliance

922 KAR 2:120. Section 11. Toys and Furnishings.

(1) All toys and furniture contacted by a child shall be:

- (a) Kept clean and in good repair; and**
- (b) Free of peeling, flaking, or chalking paint.**

Findings:

General: Based on observation and interview, the surveyor found that the green jumper and two (2) exersaucers located in the Nursery Classroom had trays that were soiled with dirt, dust, and debris. Staff stated that the exersaucers and jumper are cleaned weekly on Friday.

Transportation

In Compliance

Food Service/Food Program

In Compliance

Inspection Report

Food Service

Not In Compliance

1025 - Refrigerator

Not In Compliance

922 KAR 2:120. Section 8. Kitchen Requirements.

- (4) A cold-storage facility used for storage of perishable food in a nonfrozen state shall:**
 - (a) Have an indicating thermometer or other appropriate temperature measuring device;**
 - (b) Be in a safe environment for preservation; and**
 - (c) Be forty (40) degrees Fahrenheit or below.**

Findings:

General: Based on observation and interview, the surveyor found the following:

1. There was not a thermometer located in the mini refrigerator located in the Younger School Age Classroom; therefore, the surveyor was not able to determine if the refrigerator was maintained at forty (40) degrees Fahrenheit.
2. There was not a thermometer located in the mini refrigerator located in the Four's Classroom; therefore, the surveyor was not able to determine if the refrigerator was maintained at forty (40) degrees Fahrenheit.

Upon interview, staff were not aware that the thermometers were missing.

1030 - Frozen Food

Not In Compliance

922 KAR 2:120. Section 8. Kitchen Requirements.

- (5) Frozen food shall be:**
 - (a) Kept at a temperature of zero degrees Fahrenheit or below; and**
 - (b) Thawed:**
 - 1. At refrigerator temperatures;**
 - 2. Under cool, potable running water;**
 - 3. As part of the cooking process; or**
 - 4. By another method in accordance with the Department of Public Health's food safety standards and permits, established in KRS Chapter 217.**

Findings:

General: Based on observation and interview, the following were found:

1. The surveyor could not determine if the frozen food was maintained at a temperature of zero (0) degrees Fahrenheit in either of the two (2) freezers by the kitchen entry door as they did not contain thermometers.
2. The thermometer located in the chest freezer was reading at ten (10) degrees Fahrenheit rather than the required zero (0) degrees Fahrenheit or below.

The Director stated that she thought all of the freezers had a thermometer in them.

1125 - Same Food

Not In Compliance

922 KAR 2:120. Section 9. Food and Meal Requirements.

- (14) All children should be offered the same food items unless the child's parent or health professional documents a dietary restriction that necessitates an alternative food item for the child.**

Findings:

General: Based on observation and interview, the surveyor observed that one (1) child and a staff member were eating McDonalds for lunch in the Three's Classroom while other children were being served the center's lunch. Therefore, all children were not offered the same food.

Upon interview, staff stated that the child eating with her was her own child.

Children's Records

In Compliance

Written Documentation

Not In Compliance

1170 - Professional Development

Not In Compliance

922 KAR 2:090. Section 9. Records.

- (1) A child-care center shall maintain:**
 - (f) A written annual plan for child-care staff professional development;**

Findings:

General: Based on interview and review of documentation, the surveyor was not presented with an annual professional development plan for a staff member (DOH: 12/23/14). The Director was not aware that the professional development plan was not in the file.

Posted Documentation

Not In Compliance

1215 - Daily Activities

Not In Compliance

922 KAR 2:090. Section 10. Director Requirements and Responsibilities.

- (1) A director shall:**
 - (h) Post a schedule of daily activities, to include dates and times of activities to be conducted with the children in each classroom;**

Findings:

General: Based on observation and interview, the surveyor found the following:

1. A daily schedule was not posted in the Three's Classroom.
2. A daily schedule was not posted in the Five's Classroom.

Staff stated that the classrooms had recently been re-organized and the daily schedules were not reposted.

Inspection Report

1220 - Diapering/Handwashing

Not In Compliance

922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.

(9) The proper methods of diapering and hand-washing shall be posted at each diaper changing area.

Findings:

General: Based on observation and interview, the surveyor found the diapering procedures were not posted near the diaper changing area in the Younger Two's Classroom. Staff stated the diapering procedures were just not reposted after the classroom was re-organized.

Animals

In Compliance

Signature of Provider/Representative

Title

Date