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Adam Mather
INSPECTOR GENERAL

Inspection Report

Provider Name: Beaumont Centre Family YMCA-SACC	Provider Information Provider Type: LICENSED TYPE I	CLR No: L383341
Provider Address: 3251 Beaumont Centre Circle, Lexington, KY, 40513		Capacity: 130
Owner(s): Young Men's Christian Association of Central Kentucky, Inc.		Director(s): Berry, Jessica Denise

Inspection Type: Renewal Application	Inspection Information	Inspection No: 278219
Date Initiated: 03/04/2019 3:12 PM	Date Concluded: 03/04/2019 5:30 PM	
	No. of Children Present: 76	

Inspection Report		
Background Checks	In Compliance	
Supervision	In Compliance	

125 - Ratios and Group Size

Not In Compliance

922 KAR 2:120. Section 2. Child Care Services.

(2) For an operating child-care center, minimum staff-to-child ratios and group size shall be maintained as established in the table established in this subsection.

Age of Children Ratio Maximum Group Size*

- Infant**
- 1 staff for 5 children 10**
- Toddler 12 to 24 months**
- 1 staff for 6 children 12**
- Toddler 24 to 36 months**
- 1 staff for 10 children 20**
- Preschool-age 3 to 4 years**
- 1 staff for 12 children 24**
- Preschool-age 4 to 5 years**
- 1 staff for 14 children 28**
- School-age 5 to 7 years**
- 1 staff for 15 children 30**
- School-age 7 and older**
- 1 staff for 25 children**
- (for before and after school) 30**
- 1 staff for 20 children**
- (full day of care) 30**

***Maximum Group Size shall be applicable only to Type I child-care centers.**

(b) The age of the youngest child in the group shall determine the:

- 1. Staff-to-child ratio; and**
- 2. Maximum group size.**

Findings:

General: Based on observation, this regulatory requirement was not met. Upon arrival (3:12PM) the surveyor entered Multipurpose Room A and observed twenty-one (21) children (seven (7) - eight (8) year olds) sitting at the table eating snack. A staff person (hire date: 1/9/19) was observed standing in the doorway between Multipurpose Room A and Multipurpose Room B. The surveyor walked through the doorway and observed twenty-one (21) children (five (5) - six (6) year olds) sitting at the table eating snack. At this time the surveyor observed a second staff person (hire date: 9/6/18) walk through Room B to get to Room A and she was carrying snacks. Staff-to-child ratios were not in compliance while the staff person with a 1/9/19 hire date was left alone to care for both groups of children (forty-two (42) children total) and staff-to-child ratios were also not in compliance in Room B when the staff person with a 9/6/18 hire date returned with the snacks. The youngest child in Room B was identified as a five (5) year old, making the minimum staff to child ratio one staff person for fifteen (15) children. The director arrived in Room B approximately one (1) minute after the staff person returned with snacks. The director immediately instructed a staff person that was working in the basement level to work in Room B as a second staff person. The director stated during interview that the facility walkie-talkies were not working; therefore, she had to walk downstairs to the gym to tell the staff person that she was needed upstairs in Room B and indicated that this is when the understaffing occurred.

General Administration

In Compliance

Director Requirements

In Compliance

Employee Records

Not In Compliance

385 - Personnel File

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(e) A current personnel file for each child-care center staff person to include:

- 1. Name, address, date of birth, and date of employment;**
- 2. Proof of educational qualifications;**
- 3. Record of annual performance evaluation;**
- 4. Documentation of compliance with tuberculosis screening in accordance with Section 11(1)(b) of this administrative regulation; and**
- 5. The results of background checks conducted in accordance with 922 KAR 2:280;**

Findings:

General: Based on review of documentation and interview, this regulatory requirement was not met. A review of staff files during the investigation found one (1) staff person (Bus driver) that did not have the date of employment listed in their file. The staff person in charge stated that this staff person transferred to her site from the Whitaker site on 3/1/19 and indicated that she did not know his hire date.

390 - Educational Requirements

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

(a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:

- 1. High school diploma;**
- 2. GED or qualifying documentation from a comparable educational entity; or**
- 3. Commonwealth Child Care Credential as described in 922 KAR 2:250;**

Findings:

General: Based on review of documentation and interview, this regulatory requirement was not met. One (1) staff person (hire date: 12/10/18) did not have educational documentation on file. The staff person in charge identified this staff person as a college student and indicated that the last day she worked at the facility was approximately one (1) month ago.

Inspection Report

395 - TB Verification

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

- (1) Child-care center staff:
 - (b) Shall provide, prior to employment and every two (2) years thereafter:
 - 1. A statement from a health professional that the individual is free of active tuberculosis; or
 - 2. A copy of negative tuberculin results.

Findings:

General: Based on review of documentation and interview, this regulatory requirement was not met. One (1) staff person (hire date: 12/10/18) did not have T.B. documentation on file. The staff person in charge identified this staff person as a college student and indicated that the last day she worked at the facility was approximately one (1) month ago.

400 - CPR/First Aid Coverage

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

- (3) For a child-care center licensed for infant, toddler, or preschool-age children, at least one (1) person on duty and present with the children shall be currently certified by a cabinet-approved training agency in the following skills:
 - (a) Infant and child cardiopulmonary resuscitation; and
 - (b) Infant and child first aid.
- (4) For a child-care center licensed for school-age children, at least one (1) person on duty and present with the children shall be currently certified by a cabinet-approved training agency in the following skills:
 - (a) Adult cardiopulmonary resuscitation; and
 - (b) First aid.

Findings:

General: Based on review of documentation, it was found that a bus driver and bus monitor are paired together during the transportation of children. On the day of the inspection (3/4/19), two (2) of these pairs (Bus #1 and Bus #3) were lacking C.P.R. and first aid training.

415 - Driver Requirements

Not In Compliance

922 KAR 2:120. Section 12. Transportation.

- (18) A driver of a vehicle transporting a child for a center shall:
 - (a) Be at least twenty-one (21) years old;
 - (b) Complete:
 - 1. The background checks as described in 922 KAR 2:280; and
 - 2. An annual check of the:
 - a. Kentucky driver history records in accordance with KRS 186.018; or
 - b. Driver history records through the state transportation agency that issued the driver's license;
 - (c) Hold a current driver's license which has not been suspended or revoked during the last five (5) years; and
 - (d) Not caused an accident which resulted in the death of a person.

Findings:

General: Based on review of documentation and interview, this regulatory requirement was not met. The following was found:

- 1. One (1) staff person (hire date: 8/14/17) identified as a bus driver had Kentucky driver history documentation on file dated 8/15/17; therefore, it was no longer current.
- 2. Four (4) additional staff (hire dates: 8/15/18, 10/22/18, 8/15/18 and unknown) were identified as bus drivers during the inspection. Driver history records through the state transportation agency that issued the driver's license had not been obtained. The staff person in charge stated that the driver with an unknown hire date transferred to her site from the Whitaker site on 3/1/19. Three (3) of these staff were identified as drivers that transported children on the day of the inspection.

Programming	In Compliance
Premises	In Compliance
Hygienic Practices	In Compliance
First Aid/Medication	In Compliance
Outdoor Play Area	Not Applicable
Equipment	In Compliance
Transportation	Not In Compliance

850 - Transportation Notification/Type and Vehicle

Not In Compliance

922 KAR 2:120. Section 12. Transportation.

- (3) Prior to transporting a child, a center providing transportation services of a child shall notify the cabinet or its designee in writing of the:
 - (a) Type of transportation offered;
 - (b) Type of vehicle used for transportation;

Findings:

General: Based on review of documentation, observation, and interview, the facility failed to notify the cabinet in writing of the type of vehicle used for transportation. Two (2) vehicles (2014 Chevy Express mini buses) were identified as the vehicles used to transport children by the facility during the relicensure survey conducted on 1/10/18. However, an interview with the staff person in charge during this visit found that a third mini bus was added to the facility on 3/1/19. This vehicle was used to transport children to the facility from local elementary schools on 3/1/19 and 3/4/19.

890 - Vehicle Inspection Documentation

Not In Compliance

922 KAR 2:120. Section 12. Transportation.

(6) A vehicle used to transport children shall comply with the requirements established in paragraphs (a) through (d) of this subsection.

(a) For a twelve (12) or more passenger vehicle, the child-care center shall maintain a current certification of inspection from the Transportation Cabinet.

Findings:

General: Based on review of documentation and interview, this regulatory requirement was not met. A review of the facility vehicle inspection documentation found that one (1) mini bus used by the facility to transport children on 3/1/19 and 3/4/19 had not been inspected since 10/19/17. The staff person in charge stated during interview that this vehicle was added on 3/9/19 and she indicated that she did not know that it needed an updated inspection. It is important to note that the addition of this vehicle was not reported to the DRCC office.

960 - Children's Transportation Records

Not In Compliance

922 KAR 2:120. Section 12. Transportation.

(17) Transportation services provided shall:

(a) Be recorded in writing and include:

- 1. The first and last name of the child transported; and
- 2. The time each child gets on and the time each child gets off;

(b) Be completed by a staff member other than the driver; and

(c) Be kept for five (5) years.

Findings:

General: Based on review of documentation and interview, the facility failed to document the first and last name of each child transported on the van, as well as the times on and off for each child. The surveyor reviewed the transportation forms on the buses during the visit. The transportation form on Bus #2 and Bus #3 listed the names of the children that are typically picked up each day along with the name of the school that they attend; however, the surveyor was unable to determine how many children were transported from each school on the day of the inspection (3/4/19) because the times the children got on and off the vehicle had not been documented. The staff person in charge stated that Bus #2 picked up seven (7) of the children on the list; however, she stated that she did not know how many children Bus #3 picked up.

Food Service/Food Program

In Compliance

Food Service

In Compliance

Children's Records

In Compliance

Written Documentation

In Compliance

Posted Documentation

In Compliance

Animals

Not Applicable

Signature of Provider/Representative

Title

Date