



**Andy Beshear**  
GOVERNOR

**CABINET FOR HEALTH AND FAMILY SERVICES**  
**OFFICE OF INSPECTOR GENERAL**

**Eric Friedlander**  
SECRETARY

**Melissa A. Moore, Director**  
Division of Regulated Child Care  
Western Branch  
901 B South Main Street  
Hopkinsville, KY 42240  
Phone: (270) 889-6052 Fax: (270) 889-6089  
<https://chfs.ky.gov/agencies/os/oig>

**Adam Mather**  
INSPECTOR GENERAL

**Inspection Report**

<b>Provider Name:</b> Hopes & Dreams Child Development Center	<b>Provider Information</b> <b>Provider Type:</b> LICENSED TYPE I	<b>CLR No:</b> L383329
<b>Provider Address:</b> 139 North Concord Avenue, Paducah, KY, 42001		<b>Capacity:</b> 60
<b>Owner(s):</b> Hopes & Dreams Child Development Center, LLC		<b>Director(s):</b> Hicks, Jackie Gale

<b>Inspection Type:</b> Renewal Application	<b>Inspection Information</b>	<b>Inspection No:</b> 292661
<b>Date Initiated:</b> 01/14/2020 10:15 AM	<b>Date Concluded:</b> 01/14/2020 2:15 PM	
	<b>No. of Children Present:</b> 25	

Inspection Report	
Background Checks	Not In Compliance
<b>20 - Out of state background checks</b>	<b>Not In Compliance</b>
<p><b>922 KAR 2:280. Section 5. Checks of Other States.</b>                      (1) In accordance with 45 C.F.R. 98.43(b)(3), a prospective child care staff member who resides in or has resided in another state within the last five (5) years shall:                      (a) Request from each state of current or prior residency, in accordance with the state's laws, policies, and procedures, with a courtesy notice to the cabinet:                          1. An in-state criminal records check by:                              a. Means of fingerprints for the state of residence; or                              b. Any means accepted by a state of prior residency;                          2. A check of the state's sex offender registry or repository; and                          3. A check of the state-based child abuse and neglect registry and database; and                      (b) Direct results of the checks required in paragraph (a) of this subsection to the Department for Community Based Services, Division of Child Care, 275 East Main Street, 3C-F, Frankfort, Kentucky 40601.</p>	
<b>Findings:</b>	
General: Based on review of documentation and interview, a staff member, hired 08/26/19, did not have proof an out-of-state criminal records check from Illinois and Arkansas were requested. Interview determined the out-of-state request was never submitted to Illinois and Arkansas. These states were the staff member's previous residences.	
<b>Supervision</b>	<b>In Compliance</b>
<b>Staffing Requirements</b>	<b>In Compliance</b>
<b>General Administration</b>	<b>In Compliance</b>
<b>Director Requirements</b>	<b>In Compliance</b>

Inspection Report

Employee Records

Not In Compliance

395 - TB Verification

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

(b) Shall provide, prior to employment and every two (2) years thereafter:

- 1. A statement from a health professional that the individual is free of active tuberculosis; or
- 2. A copy of negative tuberculin results.

Findings:

General: Based on review of documentation and interview, one (1) staff hired 11/04/19, did not have TB documentation on file. One (1) staff hired 11/24/14, had TB skin test documentation on file that was dated 12/20/17. One (1) staff hired 10/17/19, had TB skin test documentation on file that was dated 09/01/17. One (1) staff hired 10/10/16, had TB skin test documentation on file that was dated 08/29/17. One (1) staff hired 10/27/14, had TB skin test documentation on file that was dated 03/24/17.

410 - Training

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:

- (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;
- (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 1/2) hours of cabinet-approved pediatric abusive head trauma training; and
- (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 1/2) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.

Findings:

General: Based on observation and review of documentation, and interview, staff member hired 08/13/18, lacked one and one half (1 1/2) hours of cabinet approved training hours within the first year of employment.

Programming

In Compliance

Premises

Not In Compliance

520 - Inaccessible Items

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

(7) The following shall be inaccessible to a child in care:

- (a) Toxic cleaning supplies, poisons, and insecticides;
- (b) Matches, cigarettes, lighters, and flammable liquids; and
- (c) Personal belongings and medications of staff.

Findings:

General: Based on observation and interview, an adult pair of sharp scissors were stored in a pencil holder, on the counter, accessible to children in the two-year and three-year-olds' room.

Hygienic Practices

In Compliance

First Aid/Medication

Not In Compliance

730 - Medication

Not In Compliance

922 KAR 2:120. Section 7. First Aid and Medicine.

(6) Medication, including refrigerated medication, shall be:

(a) Stored in a separate and locked place, out of the reach of a child unless the medication is:

- 1. A first aid supply and is maintained in accordance with subsection (1) of this section;
  - 2. Diaper cream, sunscreen, or toothpaste. Diaper cream, sunscreen, or toothpaste shall be inaccessible to a child;
  - 3. An epinephrine auto-injector. A licensed child-care center shall comply with KRS 199.8951 and 311.646, including:
    - a. An epinephrine auto-injector shall be inaccessible to a child;
    - b. A child-care center shall have at least one (1) person onsite who has received training on the administration of an epinephrine auto-injector if the child-care center maintains an epinephrine auto-injector;
    - c. A child-care center shall seek emergency medical care for a child if an auto-injector is administered to the child; and
    - d. A child-care center shall report to the child's parent and the cabinet in accordance with 922 KAR 2:090, Section 12(1)(b) if an epinephrine auto-injector is administered to a child; or
  - 4. An emergency or rescue medication for a child in care, such as medication to respond to diabetic or asthmatic condition, as prescribed by the child's physician. Emergency or rescue medication shall be inaccessible to a child in care;
- (b) Kept in the original bottle; and
- (c) Properly labeled.
- (7) Medication shall not be given to a child if the medication's expiration date has passed.

Findings:

General: Based on observation, a 1 oz bottle of Motrin infant drops was stored inside the unlocked medicine box. In addition, the medication was not labeled to indicate to whom it belonged in the infants' room.

**Inspection Report**

**Outdoor Play Area**

**Not In Compliance**

**755 - Protective Surface**

**Not In Compliance**

**922 KAR 2:120. Section 4. Premises Requirements.**

**(21) A protective surface shall:**

**(a) Be provided for outdoor play equipment used to:**

- 1. Climb;**
- 2. Swing; and**
- 3. Slide; and**

**(b) Have a fall zone equal to the height of the equipment.**

**Findings:**

General: Based on observation, two (2) climbing/sliding apparatuses had leaves and dirt underneath and two (2) swings had dirt underneath on the outside playground. These items did not have protective surface material underneath.

**Equipment**

**Not In Compliance**

**820 - Indoor/Outdoor Equipment**

**Not In Compliance**

**922 KAR 2:120. Section 11. Toys and Furnishings.**

**(2) Indoor and outdoor equipment shall:**

**(a) Be clean, safe, and in good repair;**

**(b) Meet the physical, developmental needs, and interests of children of different age groups;**

**(c) Be free from sharp points or corners, splinters, protruding nails or bolts, loose or rusty parts, hazardous small parts, lead-based paint, poisonous material, and flaking or chalking paint; and**

**(d) Be designed to guard against entrapment or situations that may cause strangulation.**

**Findings:**

General: Based on observation, handle bars on a teeter-totter, a merry-go-round, a bus shaped climber, a truck shaped climber and the chains on two (2) swings all had visible rust. These items were located on the older children's outdoor playground.

**Transportation**

**In Compliance**

**Food Service/Food Program**

**In Compliance**

**Food Service**

**Not In Compliance**

**1050 - Bottles**

**Not In Compliance**

**922 KAR 2:120. Section 8. Kitchen Requirements.**

**(9) Bottles shall be:**

**(a) Individually labeled;**

**(b) Promptly refrigerated;**

**(c) Covered while not in use; and**

**(d) Consumed within one (1) hour of being heated or removed from the refrigerator.**

**Findings:**

General: Based on observation and interview, one (1) infant's bottle was not labeled to indicate to whom it belonged in the infants' room.

**Children's Records**

**Not In Compliance**

**1135 - Immunization**

**Not In Compliance**

**922 KAR 2:090. Section 9. Records.**

**(1) A child-care center shall maintain:**

**(a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;**

**Findings:**

General: Based on observation, review of documentation and interview, a child enrolled on 06/07/10, had an immunization certificate on file that was valid until 12/11/19.

**Written Documentation**

**Not In Compliance**

**1160 - Daily Attendance Records**

**Not In Compliance**

**922 KAR 2:090. Section 9. Records.**

**(1) A child-care center shall maintain:**

**(c) Daily attendance records documenting the arrival and departure time of each child, including records that are required in accordance with**

**922 KAR 2:160, Section 13, if a child receives services from the child-care center through the Child Care Assistance Program;**

**Findings:**

General: Based on review of documentation and interview, twenty-five (25) children were present and only twenty-three (23) had a documented arrival time. In addition, thirteen (13) parents had signed the DCC-94 attendance record on 01/14/2020 for the week ending 01/17/2020.

**Inspection Report**

**1170 - Professional Development**

**Not In Compliance**

**922 KAR 2:090. Section 9. Records.**

**(1) A child-care center shall maintain:**

**(f) A written annual plan for child-care staff professional development;**

**Findings:**

General: Based on review of documentation and interview, a staff, hired on 11/24/14, had an annual written professional development plan on file that was dated 11/21/18.

**Posted Documentation**

**In Compliance**

**Animals**

**In Compliance**

Signature of Provider/Representative

Title

Date