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Inspection Report

Provider Name: YMCA Afterschool at James Lane Allen	Provider Information Provider Type: LICENSED TYPE I	CLR No: L355266
Provider Address: 1901 Appomattox Road, Lexington, KY, 40504		Capacity: 150
Owner(s): Young Men's Christian Association Of Central Kentucky, Inc.		Director(s): Berry, Jessica Denise

Inspection Type: Renewal Application	Inspection Information	Inspection No: 243936
Date Initiated: 04/19/2018 2:45 PM	Date Concluded: 04/19/2018 4:05 PM	
	No. of Children Present: 27	

Inspection Report	
Background Checks	Not In Compliance
5 - Background check/left alone/dismissed/relocated	Not In Compliance
<p>922 KAR 2:280. Section 3. Implementation and Enforcement.</p> <p>(1) A person who is a child care staff member prior to January 1, 2018, shall submit to and complete background checks in accordance with this administrative regulation no later than September 30, 2018.</p> <p>(2) A child care staff member hired on or after January 1, 2018, shall:</p> <p>(a) Have completed the background checks required in accordance with this administrative regulation and found to have no disqualifying offense prior to becoming a child care staff member; or</p> <p>(b) 1. Have submitted to the background checks required in accordance with this administrative regulation;</p> <p>2. Not be left unsupervised with a child in care pending the completion of the background checks in accordance with this administrative regulation; and</p> <p>3. Be dismissed or relocated from the residence if the person is found to have a disqualifying background check result.</p> <p>Findings:</p> <p>General: Based on Review of Documentation, staff hired on 10/30/17 had a child abuse and neglect submitted on 10/26/17 but no results were found in the file. This staff member did take a child to the bathroom alone during the survey. Staff hired on 02/09/18 had a child abuse and neglect check submitted on 02/08/18 but no results were found in the file. This staff person was not present the day of the survey.</p>	
Supervision	Not In Compliance
90 - Children Supervised	Not In Compliance
<p>922 KAR 2:120. Section 2. Child Care Services.</p> <p>(3)(a) Each center shall maintain a child-care program that assures each child will be:</p> <p>1. Provided with adequate supervision at all times by a qualified staff person who ensures the child is:</p> <p>a. Within scope of vision and range of voice; or</p> <p>b. For a school-age child, within scope of vision or range of voice;</p> <p>Findings:</p> <p>General: Based on Review of Documentation, staff person hired on 10/30/17 had a child abuse and neglect check on file showing that it was submitted on 10/26/17 but there were no results on file. This staff person took a child to the bathroom at 3:15pm and returned at 3:25pm.</p>	

Inspection Report

Staffing Requirements

Not In Compliance

125 - Ratios and Group Size

Not In Compliance

922 KAR 2:120. Section 2. Child Care Services.

(2) Minimum staff-to-child ratios and group size for an operating child-care center shall be maintained as follows:

Age of Children Ratio Maximum Group Size*

Infant

1 staff for 5 children 10

Toddler 12 to 24 months

1 staff for 6 children 12

Toddler 24 to 36 months

1 staff for 10 children 20

Preschool-age 3 to 4 years

1 staff for 12 children 24

Preschool-age 4 to 5 years

1 staff for 14 children 28

School-age 5 to 7 years

1 staff for 15 children 30

School-age 7 and older

1 staff for 25 children

(for before and after school) 30

1 staff for 20 children

(full day of care) 30

*Maximum Group Size shall be applicable only to Type I child-care centers.

(b) The age of the youngest child in the group shall determine the:

1. Staff-to-child ratio; and
2. Maximum group size.

Findings:

General: Based on Observation, there were two (2) staff present in the cafeteria with twenty seven (27) children ranging in age from five to twelve (5-12) years of age on the day of the survey. At 3:18pm one (1) of the staff took a child to the bathroom leaving the other staff person alone with twenty six (26) children. The staff person returned to the cafeteria with the child at 3:25pm.

General Administration

In Compliance

Director Requirements

In Compliance

Employee Records

Not In Compliance

385 - Personnel File

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(e) A current personnel file for each child-care center staff person to include:

1. Name, address, date of birth, and date of employment;
2. Proof of educational qualifications;
3. Record of annual performance evaluation;
4. Documentation of compliance with tuberculosis screening in accordance with Section 11(1)(b) of this administrative regulation; and
5. The results of background checks conducted in accordance with 922 KAR 2:280;

Findings:

General: Based on Review of Documentation, the director's file was not present the day of the survey. An interview with the site director stated that it was not available for review. The director was not present at the time of the survey.

410 - Training

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:

- (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;
- (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 1/2) hours of cabinet-approved pediatric abusive head trauma training; and
- (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 1/2) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.

Findings:

General: Based on Review of Documentation, staff hired on 02/09/17 did not have the required fifteen (15) hours of cabinet approved training on file. Staff hired on 10/30/17 and 10/18/17 did not have the required Orientation I and II on file within ninety (90) days of employment. This informatin was verified through ECE-TRIS.

Programming

In Compliance

Premises

In Compliance

Hygienic Practices

In Compliance

First Aid/Medication

In Compliance

Outdoor Play Area

In Compliance

Equipment

In Compliance

Inspection Report

Transportation	Not Applicable
Food Service/Food Program	In Compliance
Food Service	In Compliance
Children's Records	Not In Compliance

1135 - Immunization **Not In Compliance**

922 KAR 2:090. Section 9. Records.
(1) A child-care center shall maintain:
(a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;

Findings:

General: Based on Review of Documentation, child enrolled on 08/17/17 had no immunization expiration date listed on file. Child enrolled on 07/26/17 had no immunization record on file. Child enrolled on 08/01/17 had an expired immunization record on file.

Written Documentation **Not In Compliance**

1170 - Professional Development **Not In Compliance**

922 KAR 2:090. Section 9. Records.
(1) A child-care center shall maintain:
(f) A written annual plan for child-care staff professional development;

Findings:

General: Based on Review of Documentation, staff hired on 02/09/17 did not have a professional development plan on file.

1175 - Earthquake/Tornado/Fire Drills **Not In Compliance**

922 KAR 2:090. Section 9. Records.
(1) A child-care center shall maintain:
(h) A written record of quarterly practiced earthquake drills and tornado drills detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;
(i) A written record of practiced fire drills conducted monthly detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;

Findings:

General: Based on Review of Documentation, there was no documentation on file showing a fire drill was conducted for September, October, November, December of 2017 or for January or March of 2018. There was no documentation on file showing that an earthquake and tornado drill had been conducted at all for 2017.

Posted Documentation **In Compliance**

Animals **In Compliance**

Signature of Provider/Representative

Title

Date